

Financial and legal aspects of e-Infrastructures projects under Call 9

European Commission, DG INFSO
GÉANT & e-Infrastructure Unit



e-infrastructure

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Funding Schemes in Call 9

Combination of CP and CSA (CP-CSA)

- INFRA 2011 1.2.1: e-Science environments
- INFRA 2011 1.2.2: Data infrastructures for e-Science
- INFRA-2011-2.3.5: Second implementation phase of the European High Performance Computing (HPC) service PRACE

Coordination and Support Actions (CSA: CSA-CA or CSA-SA)

- INFRA-2011-3.4: Coordination actions, conferences and studies supporting policy development, including international cooperation, for e-Infrastructures
- INFRA-2011-3.5: Trans-national cooperation among NCPs

CP-CSA - *The I3 Model*

Activities in an Integrated Infrastructure Initiative (**I3**) "*should combine in a closely co-ordinated manner*" (see Work Programme):

- **Joint Research** activities (RTD)
 - **Networking** activities (Coordination)
 - **Service** activities (Other)
- + Consortium **management** activities (Management)

"All 3 categories of activities are mandatory as synergistic effects are expected from these 3 components"

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Beneficiaries

- Minimum **3** from 3 different MS or AC
 - Only for CSA-SA: at least 1 legal entity is required
- Legal validation of all beneficiaries
- Ex-ante financial viability checks limited to coordinators and participants requesting > K€ 500
- Consortium Agreement is mandatory

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Third Countries

- Organisations from all countries can participate
- Organisations from International Cooperation Partner Countries (ICPC) can receive funding (*if necessary to achieve the objectives of the programme*)
- Lump sum financing (ICPC)
 - ICPC listed under Annex I of the Work Programme

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Reimbursement of eligible costs

- Principles of non profit and co-financing (cost > funding)
- Beneficiaries report their direct and indirect (overhead) eligible costs
- Single pre-financing at the beginning of the project + intermediate payment at the end of each reporting period
- Final payment (10% retention) and return of guarantee (5%) after successful completion

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Eligible and non-eligible costs

- **Eligible costs**
 - Actual
 - Incurred by the beneficiary
 - Incurred during the duration of the project
 - Determined according the usual accounting and management principles/practices
 - Used solely to achieve project objectives
 - Consistent with principles of economy, efficiency and effectiveness
 - Recorded in the accounts (or the accounts of third parties)
 - Must be indicated in the estimated overall budget
- **Non eligible costs**
 - Identifiable indirect taxes including VAT,...

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Direct costs & Reimbursement rates

- **RTD activities:** max **50%** funding of eligible costs
 - Except for: Public bodies, Secondary and higher education establishments, Research organisations, SMEs: max **75%** funding
- **Other activities:** max **100%** funding
 - including e.g. consortium management, service activities
 - **Connectivity:** max **50%** funding
- **Coordination & Support:** max 100% funding
 - Including networking activities, coordination or support activities

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Indirect costs & Reimbursement rates

- **Actual** indirect costs (participants may alternatively use a simplified method of calculation)
- **Flat-rate** of direct eligible costs excluding subcontracts
 - Normally 20%; except for...
 - Public bodies, sec. and higher education establishments, research organisations and SMEs unable to identify real indirect costs: 60% **for funding scheme with RTD.**

All Coordination and Support actions:

reimbursement of indirect costs limited to 7% of the direct costs (less subcontracting and third party contribution) for all participants

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CP-CSA reimbursement table

Maximum reimbursement rates of eligible costs	Research and technological development (*)	Support activities	Networking activities	Management of the consortium activities	Other activities
Collaborative project	50% / 75%			100%	100% 50% Connectivity
Coordination and support action		100% 7% indirect	100% 7% indirect		

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CP-CSA budget example

	RTD	Coordination	Management	Other	Total
Personnel costs	80.000	10.000	5.000	40.000	135.000
Subcontracting	0	0	2.000	0	2.000
Other direct costs	20.000	10.000	0	10.000	40.000
Indirect costs	60.000	12.000	3.000	30.000	105.000
Total costs	160.000	32.000	10.000	80.000	282.000
Requested EC contribution	120.000	21.400	10.000	80.000	231.400

- ✓ **Beneficiary under the Specific Flat Rate (60%)**
- ✓ **Eligible to 75% funding for RTD activities**

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CSA budget example

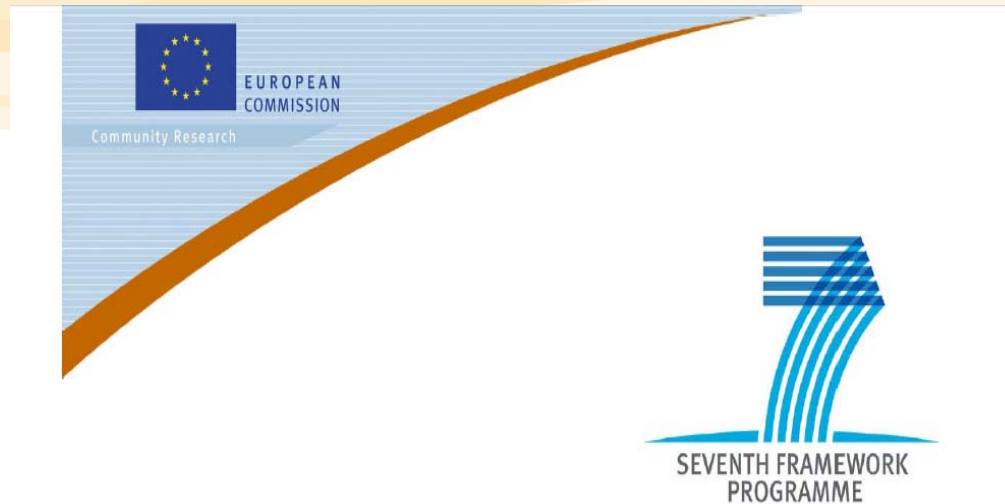
	Coordination/Support	Management	Total
Personnel costs	10.000	5.000	15.000
Subcontracting	0	2.000	2.000
Other direct costs	10.000	0	10.000
Indirect costs	4.000	1.000	5.000
Max reimb indirect costs	1.400	350	1.750
Total costs	24.000	8.000	32.000
Requested EC contribution	21.400	7.350	28.750

✓ **Beneficiary under the Specific Flat Rate 60% => 20%**

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Guide to Financial Issues



Guide to Financial Issues relating to FP7 Indirect Actions

Version 02/04/2009

ftp://ftp.cordis.europa.eu/pub/fp7/docs/financialguide_en.pdf

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Contact & Further Information

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- Further information
http://cordis.europa.eu/fp7/ict/e-infrastructure/calls_en.html

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