



**CRS-i**  
**FP7 – 318563**  
**Coordination Action**

**Cognitive Radio Standardization-initiative: from FP7 research to global standards**

**D2.2**

**White paper on “How to Use the CRS-i consultancy services”**

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**Abstract:**

This document contains the non-formatted white paper on “How to Use the CRS-i consultancy services”, it is intended as one communication tool for CRS-i and summarizes the project objectives. It discusses the complexity of bridging the gap between research and standardization and describes the process how FP7 projects can be more engaged in standardisation, via the CRS-i consultancy service.

**Keyword list:** Project cluster, FP7, Standardization, Consultancy Service, Cognitive Radio

## Executive Summary

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This Deliverable contains the non-formatted white paper on “How to Use the CRS-i consultancy services”, it is intended as one communication tool for CRS-i and summarizes the project objectives. It discusses the complexity of bridging the gap between research and standardization and describes the process how FP7 projects can be more engaged in standardization, via the CRS-i consultancy service.

More specifically, this deliverable presents:

- A brief introduction of the main objectives of the CRS-i Coordination Action;
- The European regulatory framework for spectrum sharing;
- An overview of the standards creation process in the two main targeted organizations, i.e., ETSI and IEEE;
- An overview of the CR standardisation ecosystem and roadmap, describing briefly the activities of the main CR-related standardization bodies;
- An overview of the challenges to the effective participation of FP7 projects in CR global standardization;
- A detailed description of the CRS-i consultancy service structure and information flow, emphasizing on the potential benefits offered to the involved projects.
- A case study demonstrating the process starting from the identification of an interesting research idea with standardization potential, followed by the assistance of the CRS-i consultancy service in bringing a technical contribution to IEEE.

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## List of Abbreviations

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3GPP	3 <sup>rd</sup> Generation Partnership Project
BRAN	Broadband Radio Access Networks
CEN	European Committee for Standardization
CENELEC	European Committee for Electrotechnical Standardization
CEPT	Conférence Européenne des Administrations des Postes et des Télécommunications
COGEU	FP7 Call 4 STREP “COGnitive radio systems for efficient sharing of TV white spaces in EUropean context”
CR	Cognitive Radio
DVB-T	Digital Video Broadcast – Terrestrial
DSA	Dynamic Spectrum Access
DySPAN-SC	Dynamic Spectrum Access Networks Standards Committee
ECC	European Communication Council
ETSI	European Telecommunications Standards Institute
EU	European Union
FP7	The Seventh Framework Programme
GSM	Global System for Mobile Communications
ICT	Information and Communications Technology
IEEE	Institute of Electrical and Electronics Engineers
IEEE-SA	IEEE Standards Association
IETF	Internet Engineering Task Force
ITU	International Telecommunication Union
LTE	Long Term Evolution
MAC	Medium Access Control
NSO	National Standardization Organization
OneFit	FP7 STREP “Opportunistic networks and cognitive management Systems for Efficient Application Provision in the Future Internet”
PAR	Project Approval Request
PAWS	Protocol to Access White Space database
ProSe	Proximity Services
QoS MOS	FP7 Call 4 IP “Quality of Service and Mobility driven cognitive radio systems”
R&D	Research and Development
RFC	Request for Comments
RRM	Radio Resource Management
RRS	Reconfigurable Radio Systems
SACRA	FP7 Call 4 STREP “Spectrum and Energy efficiency through multi-band cognitive radio”
SDO	Standards Development Organization
TVBD	TV Band Device
TVWS	TV White Spaces
UHF	Ultra-High Frequency
US	United States
Wi-Fi	Wireless Fidelity
WI	Work Item

# 1 Introduction and main objectives of CRS-i

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Research into cognitive radio (CR) systems and CR networks has matured for more than ten years now. Many research and industry projects and initiatives have provided proof of concept implementations, demonstrators and showcases. But to date, there are only few standards that may be used as benchmark for the certification and interoperability tests of CR equipment. The standards under study are rather incomplete and fragmented. There is a range of standards in the IEEE 802 family (e.g. 802.22, 802.11af), in the IEEE DySPAN P1900.x family and efforts by the ETSI Reconfigurable Radio Systems (RRS) technical committee and related working groups.

Considering this status, and looking at the amount of project outcomes and the individual rather small scale standardisation efforts, there is a clear need to support research efforts through a coordinated standardisation approach. To achieve the biggest impact, either de-facto leadership of standards needs to be established, e.g., as happened with the Global System for Mobile Communications (GSM), or IEEE 802.11., or concerted efforts, e.g. Long Term Evolution (LTE), need to be undertaken. In the Digital Agenda for Europe, the European Commission rises the growing importance of Information and Communications Technology (ICT) standards developed by global fora and highlights the need of coordination: “Enhancing interoperability through coordination: Europe's standard-setting framework must catch up with fast-moving technology markets because standards are vital for interoperability” [1].

The aim of CRS-i is to coordinate and support existing and future projects and to facilitate the exploitation of their results by strengthening their momentum and impact on CR standardisation. In CRS-i, this is achieved by stimulating, facilitating and easing cooperation and exchange between current as well as future Seventh Framework Programme (FP7) research projects on CR systems and ICT standardization organizations.

More specifically, CRS-i has the following specific three objectives:

- Offer a consultancy service on standardization to FP7 projects addressing CR, Dynamic Spectrum Access (DSA) and Coexistence issues. Through its Consultancy Service, CRS-i will identify and concentrate on the most promising standard developments and standardization groups, will review their work-plans and will make the research projects aware about forthcoming opportunities and topics that would be positively received in the different groups. Research projects will then be able to concentrate on the technical contributions and immediate follow up rather than spend time and effort on monitoring several standards groups.
- Extend standardization activities of the ongoing FP7 projects beyond the projects' lifetime, namely QoS MOS ([www.ict-qosmos.eu](http://www.ict-qosmos.eu)), COGEU ([www.ict-cogeu.eu](http://www.ict-cogeu.eu)), SACRA ([www.ict-sacra.eu](http://www.ict-sacra.eu)) and OneFIT ([www.ict-onefit.eu](http://www.ict-onefit.eu)). These projects have relevant and successful track record, but their impact stopped before the standardisation projects were finalized. CRS-i, helps promote and continue their impact on standard, thereby strengthening European research outcome into standardization development.
- Reinforce the collaboration of FP7 projects with United States (US) and Japan “CR stakeholders” and ensure that Europe are be able to turn the research outcomes into standard compliant products. US and Japan have been very active in the promotion of CR oriented standards, notably in IEEE standardization committees (802 and DySPAN). It is important that strong links are established between these groups and European research in order to reach worldwide CR adoption.

In CRS-i, coordination towards standardization will be achieved through task forces with partners from CRS-i liaison projects (also called CRS cluster), in order to target specific CR standardization groups. These task forces exploit results from FP7 projects on CR, avoiding fragmentation of the standardization effort and unnecessary competition between European Union (EU) projects and between standards. Through these clusters, the extension of ongoing standardization activities, CRS-i aims at global harmonization and standardization, which is the key to achieve mass market and economy of scale of CR systems and networks.

This white paper, provides a comprehensive overview of the current state of work in standardization organizations in all fields related to cognitive radio and dynamic spectrum access. It discusses the complexity of bridging the gap between research and standardization and describes the process how FP7 projects can be more engaged in standardisation, via the CRS-i consultancy service.

## 2 European regulatory framework for spectrum sharing

This section addresses recent advances on the European regulatory landscape for the adoption of spectrum sharing technologies.

At European level, the European Commission (EC), the European Telecommunications Standards Institute (ETSI) and the Electronic Communications Committee (ECC) of the European Conference of Postal and Telecommunications Administrations (CEPT) cooperate on aspects related to the regulatory environment for radio equipment and spectrum both at the EU level and at the wider intergovernmental level across Europe. As indicated in Figure 1, the CEPT can request feasibility studies from the ECC, which is composed of working groups (WGs), task groups, and project teams. Representatives of national spectrum regulators participate to the activity of CEPT WGs. WGs and the ETSI Technical Committees (TC) collaborate through Liaisons Statements (LS). The requirements specified in CEPT WGs are the input for the definition of the standards created in ETSI TCs. The EC can request specific standardization mandates from CEPT and/or ETSI on specific technologies. A good introduction to the European regulatory environment for radio equipment and spectrum is given in [21].

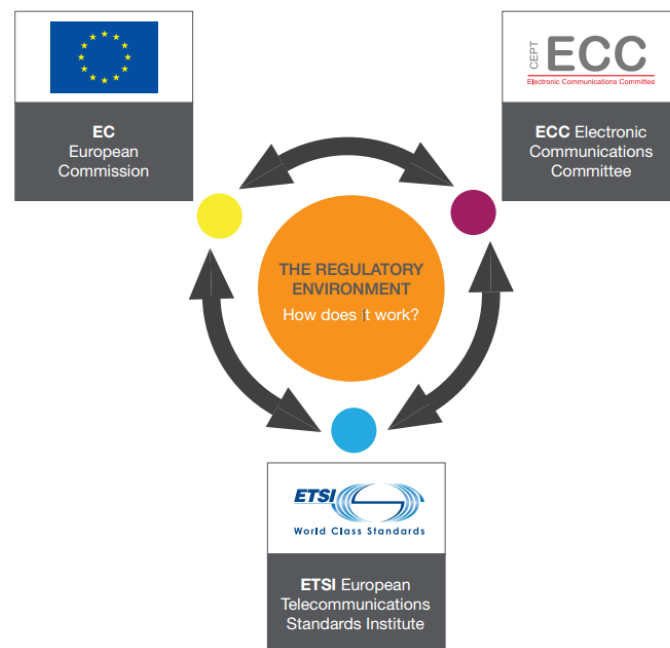


Figure 1: Relationship among entities involved in European spectrum regulation [21].

As a first step in the process of translating political priorities into strategic policy objectives for radio spectrum use, the European Parliament and Council approved the first Radio Spectrum Policy Programme (RSPP) in March 2012 [2]. While moving towards the freeing up of significant new pieces of radio spectrum and promoting the trading of usage rights, the Program also sets out a number of actions with regard to spectrum sharing including CR solutions. Specifically with regard to spectrum sharing, a study was commissioned by the EC and published in March 2012 [3]. Based on its RSPP principles and the outcomes of this study, the Commission released a Communication on “Promoting the shared use of radio spectrum resources in the internal market” in September of that year [4]. In this Communication, the Commission sets forth two actions:

- The development of an EU approach to identify so-called beneficial sharing opportunities (BSOs), defined as situations in which “the combined net socio-economic benefit of multiple applications sharing a band is greater than the net socio-economic benefit of a single application, taking into account additional costs resulting from shared use”. After defining sharing conditions and rules, a harmonized, transparent and incentivizing procedure for gaining access to BSO frequencies should be set out.
- The authorization of Licensed Shared spectrum Access (LSA) via so-called spectrum sharing contracts, with regulators handing out “shared spectrum access rights” (SSAR) and acting as impartial technical advisers and registrars of the contract terms.

Mentioned as a specific, high-potential BSO in this Communication, and also referred to in the RSPP, is the shared use of so-called TV White Spaces in the 470-790 MHz band. Several European regulatory actions have already been taken into account in this dossier. In a first report, ECC Report 24 (“Technical  
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considerations regarding harmonization options for the Digital Dividend”) [5] the European white spaces were defined, while in ECC Report 159: “Technical and Operational requirements for the possible operation of cognitive radio systems in the “White Spaces” of the frequency band 470-790 MHz” [6], CEPT thoroughly addresses the way forward in European TV White Spaces, assessing both geolocation database and spectrum sensing as enabling technologies and setting out technical requirements for the use of TVWS. With regard to LSA as a second BSO, CEPT approved a report in April 2012 [7].

Subsequently, in September 2012 CEPT created a new project team (called PT FM53) on Reconfigurable Radio Systems (RRS) and Licensed Shared Access (LSA). The objectives of this Project Team are to continue to monitor and investigate CR systems, in order to develop a relevant regulatory framework and to work on technical and business requirements, regulatory and harmonization framework and general and band/specific technical and operational conditions for the implementation of LSA.

Almost simultaneously with the establishment of FM53, in November 2012 the European Commission issued a mandate to European standardization organizations CEN, CENELEC and ETSI in the domain of Reconfigurable Radio Systems [7], asking these organizations to develop harmonized standards with three objectives:

- a. to deploy and operate cognitive radio systems (CRSs) including white space devices and devices under Licensed Shared Access regime, dependent for their use of radio spectrum on information obtained from geo-location databases;
- b. to ensure standardization of suitable, SCA-based SDR architecture(s) for the military domain;
- c. to explore potential areas of synergy among commercial, civil security and military applications

Final results of this mandate are expected by the Commission to come within 42 months after its acceptance.

Another impact field of regulatory activity relevant to the enabling of spectrum sharing is the revision of the EU Radio & Telecommunications Terminal Equipment (RTT&T) Directive. In October 2012, after extensive consultation [8], the Commission put forward its proposals aiming at “ensuring that software can only be used with radio equipment after the compliance of that particular combination of software and radio equipment has been demonstrated”. Concretely, manufacturers and software providers would need to provide the Member States and the Commission with information on the compliance of intended combinations of wireless equipment and software with the essential requirements put forward by the Directive [9].

More recently the ECC approved in January 2013 the Report 185 [10] “Further definition of technical and operational requirements for the operation of white space devices in the band 470-790 MHz” and the Report 186 [11] “Technical and operational requirements for the operation of white space devices under geo-location approach”.

### 3 The standards making process

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Different standardization organizations have different procedures, and it would lead us too far to describe all of them in detail here. As the CRS-i consultancy service starts to offer assistance to specific projects for their standardization activities, detailed roadmaps and procedures will be discussed depending on the SDO (Standardization Organization) concerned. Rather, the objective in this section is to provide an overview of the overall process for two of the main targeted organisations, i.e. ETSI and IEEE. We have chosen these two since:

- They are large SDOs with a wide range of standardisation activities, so they are not concerned with one particular technology, as *de facto* industry consortia often are, and may cater to the needs of a diverse set of research projects;
- They are internationally oriented. A number of important SDOs such as CEN, CENELEC and ISO work in a tiered system, where the members are national standards bodies which appoint delegates to the SDO's committees. Both ETSI and IEEE have a direct membership of individuals, enterprises and/or public administrations<sup>1</sup>.

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<sup>1</sup> For the sake of completeness, it needs to be added that, for ETSI European Standards, a public enquiry and a vote by the National Standardization Organisations resorting under ETSI, is also required. See [17] for more information.

- They have important completed as well as ongoing standardisation activities in the field of heterogeneous networks, efficient spectrum management, cognitive and reconfigurable radio, with Committees, Working Groups and Working Items already in place.

Therefore, the processes outlined below are primarily based on those used within these bodies. However, they are also largely applicable to the procedures of other organisations such as CEN, CENELEC, ISO, IETF etc.

Most SDOs are comprised of one or more Boards, a (significant) number of Committees (Areas in IETF) in which the standardization activities are carried out, and a central staff to set up and maintain rules and procedures, facilitate the standardization activities and publicize and market the eventual standards. The number of Committees is relatively stable as they already comprise a diverse set of topics and are relatively flexible in the topics on which standardization activities can be initiated. Therefore, such activities are usually oriented at and started in a specific, existing Committee. However, sometimes the need is felt to create a new Committee in order to deal with the standardization of a new set of technologies, requiring specific expertise. For example, the ETSI Technical Committee on Reconfigurable Radio Systems (ETSI RRS) first started as an ad-hoc group, established by the ETSI Board in February 2007 in order to evaluate the potential for standardisation on these topics and propose orientation to the Board. On year later, after a number of meetings and the publishing of a report, the Technical Committee was established. Similarly, the IEEE Dynamic Spectrum Access Networks Standards Committee (DySPAN-SC) was established in 2005 (then as the P1900 Committee).

Typically, a formal request is made within a Committee to start work on a new Standard, which is sponsored by one or more members. For example, in ETSI, four members are required to start a new Work Item, which usually resorts under one of the Working Groups of a Technical Committee. Similarly, in IEEE a Project Approval Request (PAR) needs to be filed and approved. When a proposal for a new standard is approved, a team of contributors starts to work on the standard within the Working Group. In ETSI, WI activities take place under the direction of a rapporteur. This active process, in which Members continuously file contributions to a reference document and in which contributions are assessed, discussed and approved at face-to-face meetings and using electronic means, can take from months to a year or more, before a standard draft is finalised and can go through an approval process.

Participation rules vary widely per SDO: within some organisations, membership and meeting attendance is free of charge and attendance and regular contribution are not required, while in others, a minimum attendance to meetings and a minimum contribution is obliged in order to obtain and/or maintain voting rights, and members need to pay for membership and/or to attend individual meetings. With not all meetings offering the possibility to be conducted on-line as yet, and with Committees of internationally oriented SDOs (such as IEEE DYSPAN-SC) rotating meetings between North-America, Europe and Asia while also requiring presence (and the payment of a meeting fee) to obtain voting rights, the barriers of entry to actual participation is highly diverging. Another potential complexity is the specific jargon and structure in which standards are created, the correct use of which might require some assistance to newcomers. In ETSI, for example, a number of these conventions are captured by the Drafting Rules, which complement the SDO's Technical Working Procedures.

Once a draft standard is ready, the approval process can start. In ETSI, this process is dependent on the deliverable type of the document up for approve –note that this type is pre-established at the point of approval of a Work Item: types are European Standards, ETSI Standards, ETSI Guides, Special Reports, ETSI Technical Specifications, ETSI Technical Report and ETSI Group Specification.<sup>2</sup> Depending on the deliverable type, approval within the Committee might be sufficient, or ETSI-wide and/or national standardizations bodies' approval might be required. In IETF, an approved draft becomes an RFC (Request for Comments) Document. In IEEE, after approval of a draft within the Working Group, a balloting process is opened in which all the members of the Sponsor (IEEE-SA or one of its associated bodies), as well as entities which have paid a balloting fee, may vote on the proposal. Here again, voting procedures (voting rights, timing, quora, majority rules, balloting fees etc.) diverge from one SDO to the other. In most cases, however, the approval of a standard does not mean that the document will no longer change.

The IEEE, for example, attributed different kinds of status to its standards documents [18]:

<sup>2</sup> Of these types, the Technical Specifications, ETSI Standards and ETSI European Standards contain normative elements and therefore have the most impact.



- Approved Project - An initial project request is approved, in stages of group formation.
- Active Project - An active standards development project.
- Withdrawn Project - A cancelled standards development project.
- Approved Standard - The standard is approved and published for public use.
- Withdrawn Standard - The standard is no longer market relevant or active.
- Superseded Standard - The standard has been replaced by a new standard.

In keeping with the standards development lifecycle, Working Groups may also go through periodic stages of activity or dormancy. Depending on where a standard is in its lifecycle a standard may be accompanied by supplemental documents that are produced by its respective Working Group. These may include errata (which address errors in the standard), amendments (which modify sections of the standard), corrigenda (which only correct errors or ambiguities in a standard), handbooks, tutorials and other related materials. Supplemental documents help interested parties better understand and apply the standard. An overview of the standards development process in IEEE is given in Figure 2.

Finally, in some cases, the standardization ecosystem related to a research project is not ready/in place to progress its standardization objectives. In this case, a research focused standardization phase needs to complement the classical standardization process to feed it with a stream of de-risked ideas that will, if successful, lead to a full standardized solution. For this reason, this phase is generally referred to as the pre-standardization phase. Specific instruments have been created by different bodies (such as ETSI's Industry Specification Groups or IRTF- Internet Research Task Force Research Groups) to offer an lightweight, open environment for pre-standardization, in addition to existing opportunities such as “Informative RFCs”, “Technical Reports”, “Feasibility Studies” etc...

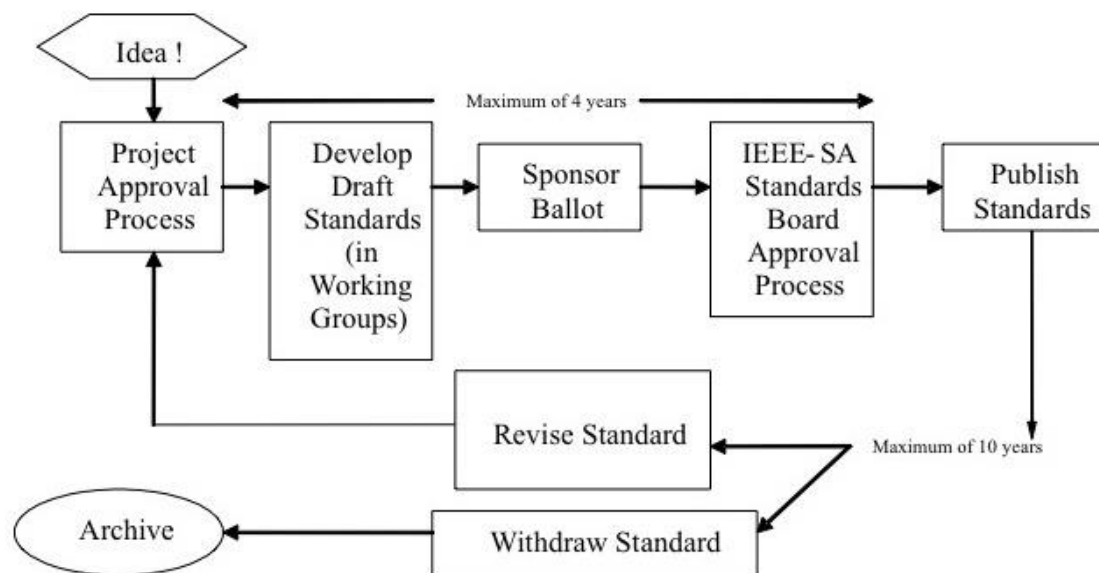


Figure 2: The standards development process in IEEE [18].

For additional information on the standardization making process the reader is referred to:

- CENELEC - Bridging the Gap between Research and Standardization [16] ;
- ETSI standards creation process [17];
- IEEE Standards Association - How are standards made? [18]
- IETF standards process [22]

## 4 CR standardization framework and roadmap

Standardization of cognitive radio and dynamic spectrum technologies is spread to several organizations around the world. CRS-i deliverable D3.1 [19] gives a comprehensive state of the art on CR standardization in ETSI, IEEE, 3GPP and IETF. Figure 3 and Figure 4 highlight the complexity of the current international standardization activities dealing with CR, DSA and Coexistence issues.

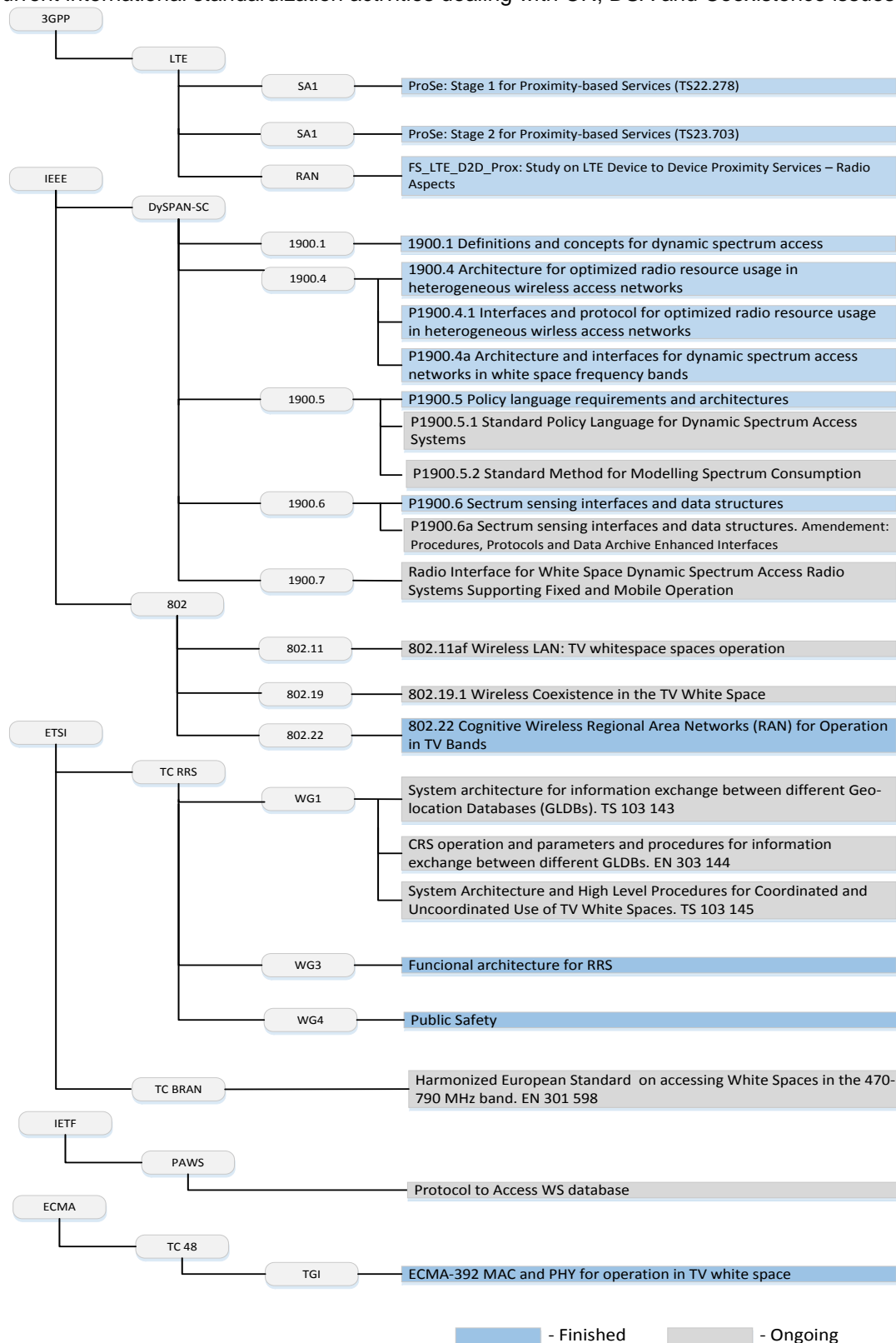


Figure 3 Summary of international standardization on Cognitive Radio, Dynamic Spectrum Access and Coexistence issues.

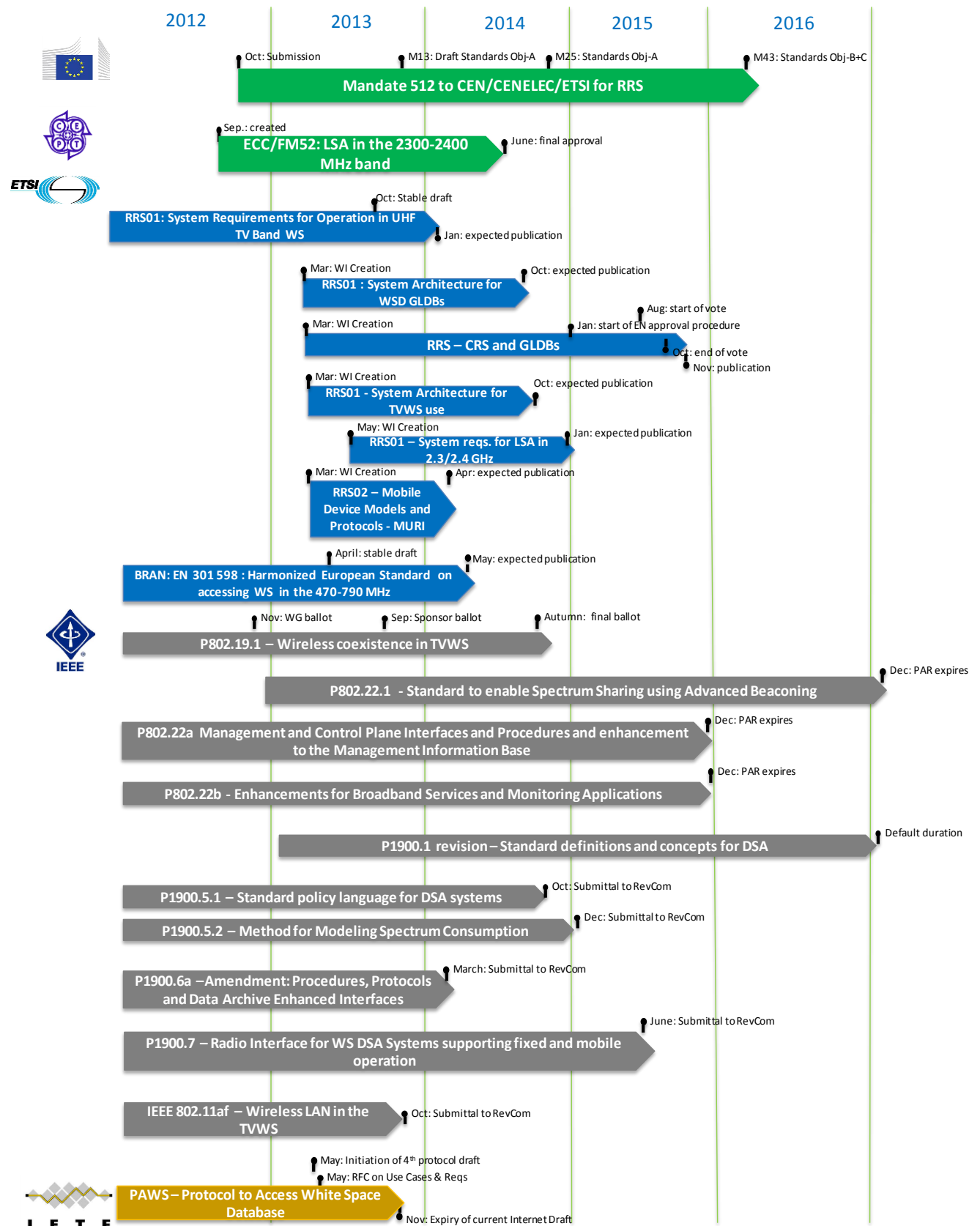


Figure 4: The overall CR roadmap (only shows active WI in June 2013).

## 5 Challenges to the effective participation of FP7 projects in CR global standardization

The generic challenges to the participation of FP7 projects in ICT standardization were identified by the IST Support Action COPRAS (COoperation Platform for Research And Standards) [13]. Many different issues creating barriers were pointed out by COPRAS, such as confidentiality, IPR or membership of a standards organization, mapping research activities with standards work, or finding the standards and standards organizations most relevant to a project, and contacting them. The conclusions of the COPRAS action final report state [13]:

*“Despite the good overall qualitative and quantitative results, analysis of projects’ and standards organizations’ experience also shows that projects are many times too optimistic with respect to the standards work they will be able to complete, and frequently have to adjust their plans due to lack of resources.”*

This means that the common approach of many projects to tackle as many standardization groups as available, often with very limited effort and with the common resource allocations, is counterproductive. This means that the necessary know-how and customised roadmaps for individual projects are needed to be able to overcome these barriers. CRS-i will address the main challenges, which are summarized in the following.

### 5.1 Challenge: Many standards – identification of the right standard family and best time window

Innovation through standardization requires identification of a target standard ecosystem and alignment of research and standard life-cycles. In particular, the CR standardization environment is a dynamic environment with several standards bodies and many working groups, making it relatively hard for research projects finding the organization(s) and particular working group that best fit their needs and objectives (this can be realised by the complexity of the CR roadmap described in Figure 4).

In the CR area there are many standards families with various degrees of maturity and intensity of activities as illustrated by Figure 5. As a consequence, windows for standardization often appear too short or are even missed out on, causing resources being wasted (both on the side of research projects and standards bodies), and projects’ output not becoming available to industry and society.

What further complicates and slows down the process of CR Standardisation is the fact that this technology envisages a new use of radio spectrum which has to convince not only standards committees (e.g. ETSI) but also regulators (e.g. CEPT).

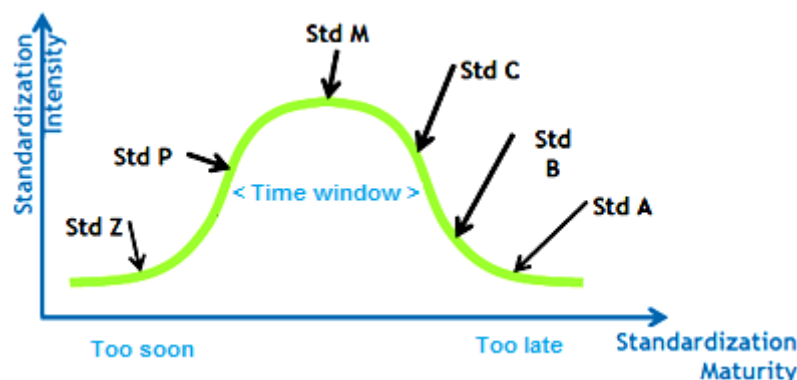


Figure 5: Understand the complex standard ecosystem is important to identify the best time window for project contributions, adapted from [14].

#### CRS-i approach

As in most research projects the actual standardization effort is rather low (in terms of allocated person months), projects often spread themselves too thinly to be able to make a meaningful contribution. Input to standardization groups is not only the provision of technical content, but it needs to be at the right time and needs to be followed up to be meaningful and impacting.

CRS-i, through its Consultancy Service, will identify and concentrate on the most promising standard developments and standardization groups, it will review their work plans and will make the research projects aware about forthcoming opportunities and topics that would be positively received in the

different groups. Research projects will then be able to concentrate on the technical contributions and immediate follow up rather than spending time and effort monitoring several standards groups.

## **5.2 Challenge: Lack of time, resources and effective industrial involvement to influence standards**

Given the tasks and the resources required to interface with standards bodies, it can be a major challenge for the project partners to accommodate standardisation activities within an existing project programme or budget. In particular, partners in project consortia comprised of smaller or regional organisations may not have resources to regularly participate in standards activities including travel costs and fees for membership, but also effort required for the active monitoring and evaluation of contributions.

Another issue is that academic and SMEs contributions from FP7 projects are extremely valuable when contributing to the development of standards. However they need to advise or work alongside large industrial partners which have a much larger impact. Even in cases when project partners are global players and they do have standards delegates in other parts of their company, it does happen that the researchers are not able to get sufficient internal support from their companies.

Only certain participating members of the FP7 project may have access through membership (for example ETSI). Membership is important to get and keep voting rights and influence the standard. For instance, in ETSI a minimum of 4 ETSI members are required to propose a Work Item for a new standard.

### **CRS-i approach**

To facilitate the access to standards, especially by SMEs participating in FP7 projects CRS-i will coordinate and support the submission of technical findings. In cases where project outcomes would be suitable but no project partner is able to submit the work themselves, CRS-i will identify potential caretakers that can help presenting and support submissions. Two key players in CR global standardization arena, NSN and NEC are CRS-i partners and they are committed to advise standardization initiatives from CRS-cluster projects.

Impact and exploitation activities in most research projects are kept to a rather small part of the overall budget, this means there is usually very little effort and time allocated for actual standardization activities. To be able to concentrate the available standardization effort in these research projects CRS-i will pinpoint the most suitable standards group, depending on the type of technical output from the research projects and will recommend the most efficient course of action that should be taken to achieve the best possible standardization impact during the life time of the research project.

CRS-i will create task forces with partners from CRS cluster projects to target specific CR standardization groups. These task forces will exploit results from FP7 projects on cognitive radio, avoiding fragmentation of standardization effort and unnecessary competition between EU projects and between standards.

## **5.3 Challenge: Legalities issues**

Some standards bodies require that membership agreements between the standards body and a legal entity. This may be because there are provisions related to copyrights, confidentiality, etc. that can be sometimes difficult to interpret when dealing with an individual or project. Some project partners may have internal procedures that make it difficult for them to become a member of a standards body.

### **CRS-i approach**

CRS-i will support projects that produce technical output that should feed into standards by either identifying suitable caretakers or proxies that can put research findings forward, e.g. in standard working groups with individual voting rights attendees can act as agent for the project. In such cases the project can use external individuals to pursue submissions that individual project members could not do.

## 6 The CRS-i consultancy service

The objective of the CRS-i consultancy service is to strengthen the position of the research projects that comprise the CRS cluster in standardization bodies related to cognitive radio at a global level, and to facilitate the exploitation of the European project outcomes.

### 6.1 Procedures of the CRS-i consultancy service

The overall idea and information flow of the standardization consultancy service proposed by CRS-i is shown in Figure 6.

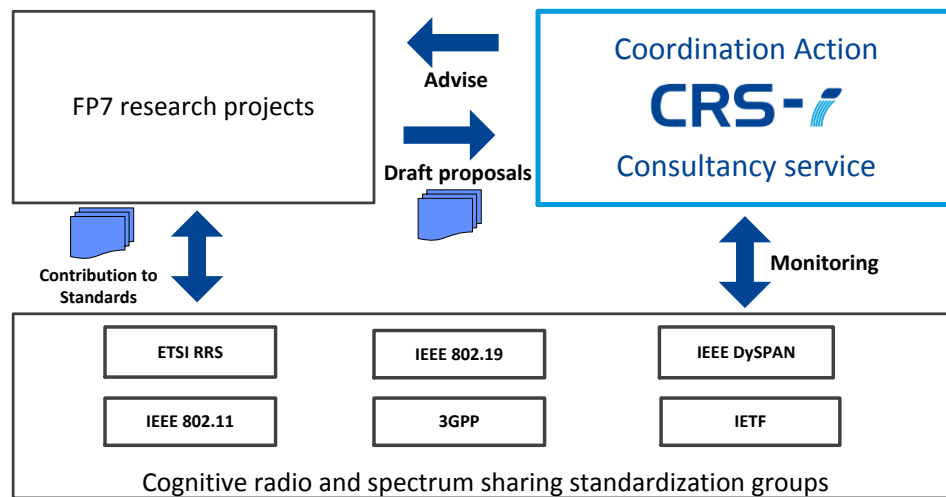


Figure 6: The CRS-i consultancy service

The standardization consultancy process is structured into the following phases:

1. The first step towards the initiation of the information sharing process between the CRS-cluster projects and the CRS-i Coordination Action is the establishment of the necessary legal framework to address confidentiality between them. To this end, as a framework to handle and govern the interactions and information exchanges with each cluster project, CRS-i provides a liaison agreement that outlines the nature of the interactions and the rules under which information shall be shared. The details of this liaison agreement can be found in [15].
2. CRS-i will determine and familiarize with the standardization target and potential of the CRS-cluster member projects and will derive a coherent standardization plan for each of them. Meanwhile, CRS-i will pro-actively investigate and seek opportunities for contributions to standards that potentially match the objectives of the different research projects.

In order to achieve this goal and provide appropriate and up-to-date information to the FP7 projects, the CRS-i members will continuously monitor the activities of the main standardization bodies of interest. To this end, regular reports on their progress, plans and priorities, will be produced and distributed to the CRS cluster projects along with the respective calls for contributions. More details on these tailored standardization reports will be provided in section 6.4.

In this phase, the issues that need to be addressed by the CRS-cluster research projects are the following:

- Determination of the project results to be standardized.
- Selection of the most appropriate standardization body.
- Selection of the proper time window for standardization.
- Allocation of the funding required for membership, and participation to the different standardization activities.
- Selection of the partners that will participate to the standardization process.
- IPR ownership issues.

In case that no suitable Working Item is opened in any SDO another option is to trigger a pre-standardization activity (e.g. a TR (Technical Report) in ETSI)<sup>3</sup>.

3. The FP7 projects (or, more specifically, the project partners responsible for the project standardization activities), based on their own standardization plans and research results, will submit draft proposals to be analyzed by the CRS-i consultancy service. This will be done in a voluntary basis.
4. The CRS-i consultancy service will consider these draft proposals and will provide the respective recommendations with the aim to increase the likelihood of their acceptance by the target standardization bodies. Such recommendations include the appropriate time window and best location to standardize a specific Research and Development (R&D) result, editorial revision, etc. CRS-i will also pro-actively suggest potential convergence points between the different FP7 projects with potential for standardization, thus avoiding effort fragmentation and unnecessary competition between research projects and between standardization groups. Moreover, task forces can be built up in order to create sufficient momentum for successfully introducing new technical ideas into standardization or to initiate the foundation of a new Work Item or Working Group in standardization, if needed;
5. The FP7 project will then revise the draft proposal taking into consideration the CRS-i recommendations or will ask for further advice. Finally, the project (or the project partner responsible for the standardization activities) will submit the contribution to the target standardization Working Group;
6. The CRS-i partners, taking advantage of their deep involvement in the global CR standardization activities, will follow and support the contribution through the standardization process providing continuous feedback to the FP7 R&D projects. A mix of support from different types of organizations can be seen as a stronger endorsement of the EU project proposals.

## 6.2 Benefits of the CRS-i consultancy service

The benefits of the CRS-i consultancy service to the CRS-cluster research projects are the following:

- Derivation of a plan for interfacing with the various standardization bodies at the beginning of a new project's activities. This plan will allow the project to synchronize with relevant on-going standardization processes, and start the process of building the consensus required in order to achieve the project's goals;
- Selection of the most suitable standardization Working Groups for each research project and regular provision of feedback regarding their progress, roadmap and priorities through tailored standardization reports;
- Provision of advice on the most appropriate time-window in order to achieve an effective standardization impact and the requested standardization procedure;
- Dissemination of calls for contributions among the different CRS-i cluster projects;
- Setting up a communication flow within the CRS-i cluster, concerning potential synergies and common interests across projects in standardization bodies;
- Following-up of the standardization contributions resulting from the interaction between the member projects and the CRS-i consultancy service.
- Provision of up-to-date information with respect to the discussions and progress in the CR standardization Working Groups.
- Circulation of information from standardization bodies that is directly relevant to the research community/projects.
- Creation of a platform for joint contributions and submissions to relevant standardization bodies.

## 6.3 Implementing the CRS-i consultancy service

A detailed roadmap for the three years of the CRS-i consultancy service is as follows:

<sup>3</sup> ETSI also develops Technical Reports (named System Reference Documents (SRDoc) providing technical, legal and economic background on new radio systems under standardisation and informs the ECC accordingly.

**Roadmap of the 1<sup>st</sup> year:****1. Taking over the chairmanship of the RAS cluster.**

The FP7 research projects on Future Networks are grouped into a set of clusters of common interest to develop synergies and critical mass. A specific objective of this process, referred to as “concertation”, is to enhance the project cooperation activities, sharing of best practices and opportunities for (pre-) standardization. In this context, the Radio Access and Spectrum (RAS) is a cluster activity comprising a portfolio of more than 20 research projects participating in FP7 (Objective 1.1 - Future Networks) and investigating Radio Access and Spectrum aspects of future wireless networks.

During the revision of the “concertation” process carried out by the EC (unit CONNECT/E1) in January 2013, CRS-i has taken the coordination of the RAS cluster activity. Paulo Marques (CRS-i project manager) was appointed as chairman of the RAS cluster. This activity is not envisaged in the Description of Work, however the coordination of the RAS cluster is considered instrumental for the success of CRS-i facilitating the interaction with the projects.

**2. Building up the RAS cluster framework.**

As part of the CRS-i commitment to assist and coordinate the RAS cluster, CRS-i has developed a new RAS cluster website ([www.ict-ras.eu](http://www.ict-ras.eu)). The structure of the website was discussed with the projects. The RAS website is a central point where all RAS clusters projects can be reachable.

In the context of the RAS cluster, CRS-i initiated a consultation process within the RAS cluster (mainly new Call 8 projects) to assess opportunities for cooperation. The outcome of this process led to a new organization of the RAS cluster. Two main research areas were identified and two Working Groups were established: WG1-High Capacity PHY for Future Radio Access and WG2-High Capacity Radio Network Architecture.

CRS-i, in cooperation with DG CONNECT, has prepared the agenda and chaired the 11th and 12th RAS Cluster “concertation” meetings that took place in Brussels, on 27 February 2013 and 22 October 2013, respectively. Standardization aspects of radio access technologies were addressed in both meetings.

**3. Identification of the projects with objectives aligned with the three CRS-i streams: White Spaces Access, Device-to-Device communications and Licensed Shared Access.**

Taking advantage of the coordination of the RAS cluster, CRS-i has created a CRS-cluster as part of the RAS cluster. The CRS-cluster comprises projects working on Cognitive Radio, Dynamic Spectrum Access and Coexistence related issues. More projects from Call 11 are expected to join the CRS-cluster in 2014.

**4. Survey of the standardization activities in the RAS cluster.**

In order to prepare for a structured interaction with the CRS-cluster projects, it was important to understand their current standardization activities and expectations, as well as to identify opportunities and bottlenecks for collaboration in standardization. To this end, an on-line survey was performed, with the aim to obtain useful information on: i) past, current and planned standardization activities by the member projects, ii) the way in which they deal with these activities from a management perspective, iii) interactions with other projects, iv) whether these activities are required/desirable from both a regulatory and business perspective, v) how the solutions proposed complement/compete against other solutions within or outside the SDO targeted, and vi) what are the exact barriers preventing these projects from filling the gaps identified.

**5. Analysis of the survey outcomes.**

The outcome of the survey allowed CRS-i to identify the research areas where the different CRS cluster projects have similar standardisation target and identified a set of potential synergies between them, as well the SDOs and Working Groups which are more relevant for each specific project.

**6. Liaison agreement with the identified projects and setting up of the CRS cluster.**

In order to initiate the process of sharing information between the CRS-cluster projects and the coordination action CRS-i, a legal framework was established based on a Liaison Agreement between CRS-i and each of the CRS cluster projects. As a framework to handle and govern the



interactions and information exchanges with the various cluster projects, CRS-i provides a Liaison Agreement that outlines the nature of the interactions and the rules under which information shall be shared.

**7. Introduction of the projects to the target standardization groups.**

The next step following the identification of the most appropriate standardization organization for each CRS-cluster member is their introduction to the respective standardization groups. Some of the projects were already active in these groups, however in some cases the initial interaction with the standardization group was triggered through presentations of the respective projects in the standardization meetings. Special attention is given to projects with mature results, i.e., in the final stage of their research plan, which are more appropriate for consideration by the standardization groups. It has to be noted that several projects are in this case, thus highlighting the important role of the CRS-i consultancy service.

**8. Initial feedback from the standardization organizations.**

The CRS-i consultancy service continuously monitors the activities of the target standardization groups in order to provide useful feedback to the interested research projects. To this end, regular reports on their progress, plans and priorities, will be produced and distributed to the CRS cluster projects. Moreover calls for contributions are disseminated among the CRS cluster projects, providing of up-to-date information with respect to the discussions and progress in the CR standardization Working Groups.

**Initial roadmap for the second 2<sup>nd</sup> year:**

**1. Continue the implementation of the tailored standardization report service.**

The CRS-i members will regularly attend the meetings of the target standardization groups in order to closely monitor their activities and obtain the necessary insight on their progress, short term plans as well as long-term roadmap and priorities. As a result of this monitoring, an overview of the working groups' activities will be regularly documented through the production of tailored standardization reports (more details on which will be provided in the following section) that will be distributed to the respective research projects.

**2. Promote the participation of CRS project partners in the standardization meetings.**

A major goal of the CRS-i standardization consultancy service is to further promote the participation of the CRS-cluster projects to the physical meetings organized by the standardization working groups. This will allow the projects to actively participate in the standardization process and promote their results, increasing their impact. Moreover, through this process, the potential of joint contributions between the CRS-cluster projects and the CRS-i members will be considered.

**3. Implementation of a feedback mechanism between CRS-i and the CRS-cluster projects.**

In order to verify that the CRS-i consultancy service achieves its goals and efficiently assists the CRS-cluster projects to enhance their standardization activities, a feedback mechanism will be implemented. According to this, the CRS-cluster projects that receive the tailored standardization report produced following a standardization working group meeting will provide feedback regarding the added value of the information provided to CRS-i. Thus, The CRS-i consultancy service will be able to assess its effectiveness in order to meet the individual needs/characteristics of each research project.

**4. Attract projects from Call 11.**

The CRS-cluster is a dynamic structure and will aim to attract research projects from the FP7 Call 11, i.e., ADEL and SOLDER. It is very important for a research project to consider standardization at the early stages of its activities, as this will enable the direction of the conducted research in a standardization/regulation compliant manner, which will also improve the potential for increased impact and efficient exploitation of the final project results.

**5. CRS-i 2<sup>nd</sup> Workshop.**

CRS-i will organize its second annual workshop in October 2014, aiming to bring together again all stakeholders involved in the research/standards interfacing process, and to feature well-known speakers from both the research and the CR standards communities, as well as representatives from the USA, Japan and Europe. It should be noted that the annual CRS-i workshops serve as the primary points of interaction with the International Advisory Board.

**Initial roadmap for the 3<sup>rd</sup> year:****1. Implement the tailored standardization report service.**

The CRS-i consultancy service will continue providing the tailored standardization reports in order to provide useful feedback regarding the progress in standardization.

**2. Continue implementing the feedback mechanism between the CRS-cluster project and the consultancy service.**

CRS-i will continue taking into careful consideration the feedback provided by the CRS-cluster projects regarding the quality of the tailored standardization reports in order to ensure the provision of a high quality consultancy service.

**3. Enhance the possibility of joint standardization contributions.**

The CRS-i consultancy service will actively work towards identified synergies towards standardization between the CRS-cluster projects in order to further promote the potential of joint contributions in the target standardization working groups and further reinforce the EU presence in the global CR standardization.

**4. Attract projects from H2020.**

CRS-i aims to attract new projects that will be funded by the Horizon 2020 Framework in the area of Cognitive Radio, Dynamic Spectrum Access and Coexistence issues in order to guarantee the strengthening of their position in European and Global standardization.

**5. CRS-i 3<sup>rd</sup> Workshop.**

The 3<sup>rd</sup> and final CRS-i Workshop will be organised towards the end of the 3<sup>rd</sup> year of the Coordination Action. This will provide the opportunity to consolidate the results of the consultancy service and enhance the potential for future interaction between the projects and the major stakeholders in European and global standardization.

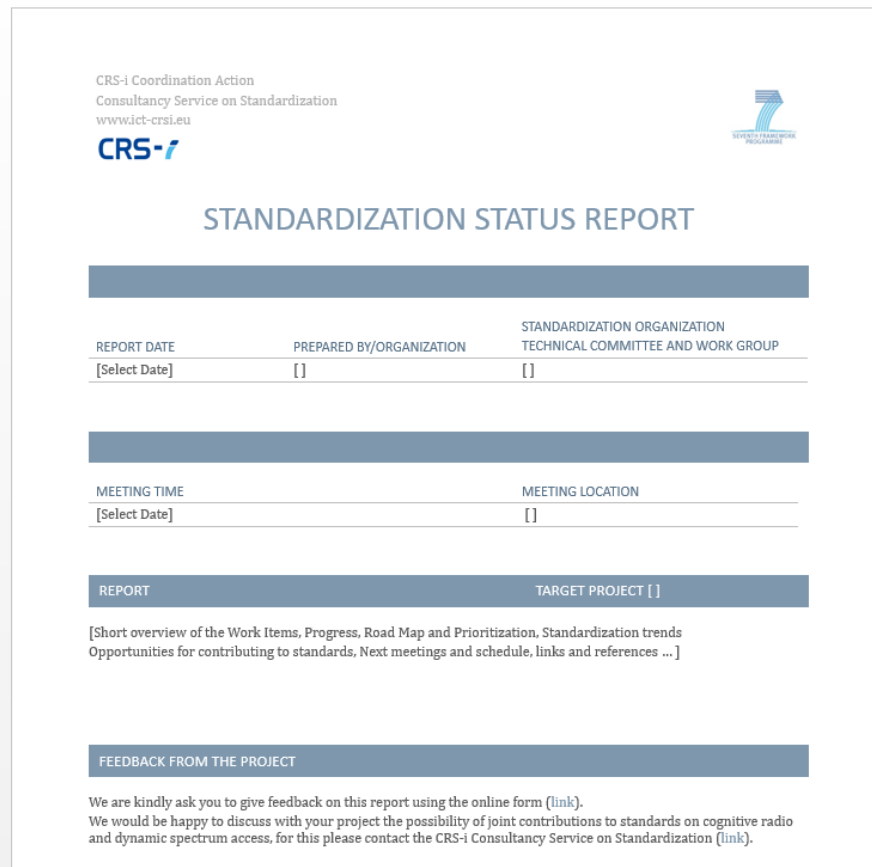
## **6.4 Tailored standardization report**

As already described in the previous sections, the CRS-i members will regularly attend the physical meetings of the target Standardization Working Groups in order to closely monitor their activities and obtain detailed insight on their status, progress, and most importantly their priorities towards short-term and long-term standardization vision.

In order to efficiently convey the gained insight to the CRS-cluster projects, the CRS-i members will produce a tailored standardization report, which will contain an overview of the activities as well as the results and conclusion of each standardization meeting. More specifically, the standardization report will contain information on:

- Short overview of the Work Items, followed by the respective links and references to allow easier access to the respective material.
- Progress, Roadmap and Prioritization.
- Useful information for the target research projects.
- Standardization trends.
- Opportunities for contributions to standards.

A sample of the standardization report is shown in Figure 7.



CRS-i Coordination Action  
Consultancy Service on Standardization  
www.ict-crsi.eu

**CRS-i**

**STANDARDIZATION STATUS REPORT**

REPORT DATE	PREPARED BY/ORGANIZATION	STANDARDIZATION ORGANIZATION TECHNICAL COMMITTEE AND WORK GROUP
[Select Date]	[ ]	[ ]

MEETING TIME	MEETING LOCATION
[Select Date]	[ ]

REPORT	TARGET PROJECT [ ]
[Short overview of the Work Items, Progress, Road Map and Prioritization, Standardization trends Opportunities for contributing to standards, Next meetings and schedule, links and references ...]	

**FEEDBACK FROM THE PROJECT**

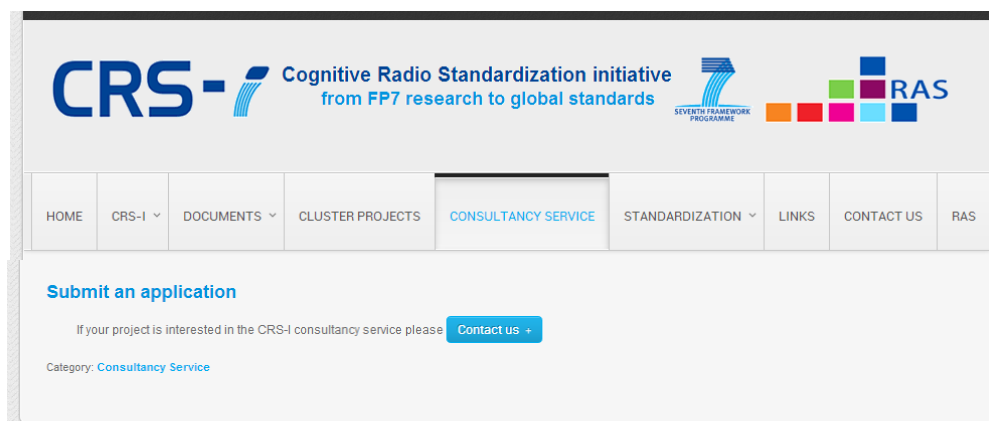
We are kindly ask you to give feedback on this report using the online form ([link](#)).  
We would be happy to discuss with your project the possibility of joint contributions to standards on cognitive radio and dynamic spectrum access, for this please contact the CRS-i Consultancy Service on Standardization ([link](#)).

Figure 7: Sample of the tailored standardization report

According to the feedback mechanism that will be implemented in the 2<sup>nd</sup> and 3<sup>rd</sup> years of the CRS-i consultancy service, the CRS-cluster projects will be asked to provide feedback regarding the quality and added value of the information included in the tailored standardization report. The aim of this feedback mechanism is to allow the CRS-i members to appreciate the individual needs of each project and provide them with the most relevant information in order to improve the efficiency of the service and enhance their standardization potential.

## 6.5 Who to contact

A research project in the areas of Cognitive Radio, Dynamic Spectrum Access and Coexistence that wants to take advantage of the CRS-i consultancy service can submit an application through the project website ([www.ict-crsi.eu](http://www.ict-crsi.eu)) as shown in Figure 8.



**CRS-i** Cognitive Radio Standardization initiative  
from FP7 research to global standards

SEVENTH FRAMEWORK PROGRAMME

RAS

HOME	CRS-i	DOCUMENTS	CLUSTER PROJECTS	CONSULTANCY SERVICE	STANDARDIZATION	LINKS	CONTACT US	RAS
<p><b>Submit an application</b></p> <p>If your project is interested in the CRS-i consultancy service please <a href="#">Contact us</a></p> <p>Category: <a href="#">Consultancy Service</a></p>								

Figure 8: View of the application submission section in the CRS-i website.

CRS-i has designated the members who are in charge of each Standardization Working Group and Regulatory body and who can be directly contacted by a research project. Their contact information is included in Table 1.

Table 1: List of CRS-i members in charge of each Standardization Working Group

Standardization Group	Contact	Partner	Email
IEEE P1900 (DySPAN-SC)	Dominique Noguét	CEA-LETI	dominique.noguét@cea.fr
ETSI RRS WG1 (LSA)	Michael Gundlach	NSN	michael.gundlach@nsn.com
ETSI RRS WG1 (TVWS and Data Bases)	Paulo Marques	IT	pmarques@av.it.pt
ETSI RRS WG4 (Public Safety)	Dionysia Triantafyllopoulou	UNIS	d.triantafyllopoulou@surrey.ac.uk
3GPP LTE D2D (ProxServices)	Christian Mouton	NTUK	Christian.Mouton@necotech.fr
Aspects related with spectrum regulation	Simon Delaere	iMINDS	Simon.Delaere@vub.ac.be

## 6.6 Limitations of the CRS-i consultancy service

While the CRS-i consultancy service has a broad range of means of assistance to be provided to the CRS-cluster projects, from dissemination of calls for contributions to assistance in drafting a standardization proposal, there are certain limitations on what can be provided.

CRS-i members cannot provide the standardization contributions on behalf of the CRS-cluster project members. On the contrary, based on the potential synergies identified, the consultancy service can set-up a task force within the SDO, consisting of members from both the cluster projects and CRS-i. In such a case, the CRS-i members will provide useful feedback and assistance by reviewing the draft contributions prepared by the project members prior to their submission to the Working Group.

CRS-i cannot provide financial support to the CRS-cluster projects in order for them to attend the physical meetings of the Standardization Working Groups. However, taking advantage of the presence of its members in the physical meetings, CRS-i can present the project results, following the signing of the Liaison Agreement.

Motivated by the fact that specialization and focus is important in order to have significant impact in the standardization process, the CRS-i consultancy service can only provide support to research projects interested in the areas of the three main CRS-i standardization streams: White Spaces Access, D2D and Licensed Shared Access.

## 6.7 Alignment between projects and the standards roadmap

In order to enhance the efficiency of the feedback provided to the research projects and allow them to align their research activities with the standardization progress, a timeline of the activities of each standardization organization is provided in the following sub-sections.

### 6.7.1 ETSI RRS

CRS-i is specifically considering and supporting the standardization related to LSA (Licensed Shared Access). ETSI TC RRS is currently working on stage 1 (TS 103 154 – System requirements for operation of Mobile Broadband Systems in the 2300 MHz - 2400 MHz band under Licensed Shared Access (LSA) regime) which shall be finalized until March 2014. In December 2013, ETSI TC RRS has started with stage 2 (TS 103 235 – System Architecture and High Level Procedures for operation of Licensed Shared Access (LSA) in the 2300 MHz-2400 MHz band) which shall be finalized until September 2014. After that, stage 3 may be done within 3GPP.

Planned face-to-face meetings of ETSI TC RRS in 2014 are as follows.

- RRS#25+WGs meeting 3-7 March 2014, Paris, France
- RRS#26+WGs meeting 23-27 June 2014, Montreal, Canada

- RRS#27+WGs meeting 22-26 September 2014, Mainz, Germany
- RRS#28+WGs meeting 1-5 December 2014, Sophia Antipolis, France

Contributions shall be in line with results and proposals of the METIS project, but also with the results of CEPT ECC PT 52 and PT 53.

### 6.7.2 IEEE DySPAN

In December 2013, the P1900.7 WG agreed to extend the project roadmap slightly. The draft preparation is extended until the end of 2014 in order to leave more time for technical contributions. Then the draft will run into a letter ballot stage as described in the agenda below (Figure 9).

	2014			2015			2016		
	1	2	3	1	2	3	1	2	3
Draft preparation									
WG letter ballot									
Sponsor ballot									
Publication									

Figure 9: IEEE P1900.7 draft preparation agenda

In 2014, three face to face meetings are scheduled. It is expected that they will mainly focus on the discussions related to new technical items to consolidate the draft. The schedule is as follows:

- [DySPAN-SC] 8-10 April 2014, Grenoble, France
- [DySPAN-SC] 25-28 August 2014, Piscataway, NJ, USA
- [DySPAN-SC] Nov/Dec 2014, Singapore (meeting dates TBD)

CRS-i plans to support contribution on MAC issues related to multi-carrier usage for TVWS application. CEA-LETI will participate in the meetings and make contributions to the group.

### 6.7.3 3GPP RAN D2D

Among other important activities, 3GPP TSG RAN and 3GPP TSG SA are also involved in D2D proximity services from overall work plan management. In September 2013, during SA 61 meeting, 3GPP TSG SA reviewed the Rel-12 progress in different working groups. Due to the important activities in the radio access area, not only due to proximity services, 3GPP TSG RAN proposed to reduce the scope of proximity services in Rel-12. Similarly, experimenting an overload situation, 3GPP WG SA2 proposed to limit Rel-12 to a list of essential features. Based on these information, 3GPP TSG SA decided to limit the proximity features for Rel-12 to discovery and public safety group communication.

3GPP ProSe tentative roadmap for Rel-12 and Rel-13 can be found in Figure 10. The UE-Relay feature was maintained in Rel-12, but is considered as a lower priority item and remains at risk for the final release. Regarding the overall schedule for Rel-12, it is highly possible to have a planning exception and complete three months later than the official timescale currently set to June 2014. The service aspects and network requirements (covered by stage 1) are now complete, but the completion of the architecture (stage 2) would then be finalized by March 2014 and the completion of the protocols (stage 3) would be finalized by September 2014 for both Core Network (CN) and RAN-related aspects. With respect to Rel-13, the main work will probably concern direct discovery feature (including interaction with 3rd party applications and UE terminal applications), direct 1:many communication feature for commercial use, UE-Relay feature with RAN impact (not covered by Rel-12) and service continuity aspects.

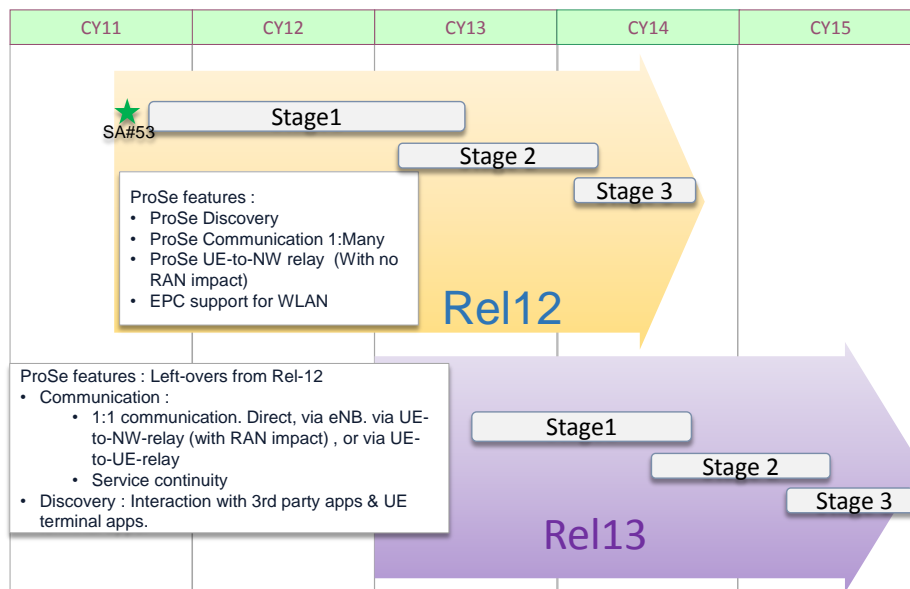


Figure 10: 3GPP ProSe Tentative Roadmap for Rel-12 and Rel-13

It is within the CRS-i plans to contribute to D2D/ProSe standardization in the following meetings:

3GPP RAN2#85, Prague, Czech Republic	9 February 2014
3GPP RAN2#86, Seoul, Korea	19 May 2014
3GPP RAN2#87, Dresden, Germany	18 August 2014
3GPP RAN2#87, Bis, China	6 October 2014
3GPP RAN2#88, San Francisco, USA	17 November 2014
3GPP RAN2#89, Athens, Greece (TBC)	13 February 2015

## 7 A success story of CRS-i consultancy service

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One of the main goals of CRS-i is to promote and assist in transferring relevant results of research projects into the best fitting standardization fora. This is an essential step in order to deploy new technologies into products and systems. This section introduces a case study of the CRS-i consultancy service throughout the process of submitting a research idea to a standardization body.

As an example for first achievements of the CRS-i standardization consultancy service, we refer to COST Action IC0902 (Cognitive Radio and Networking for Cooperative Coexistence of Heterogeneous Wireless Networks) [20]. After some interactions CRS-i has identified a potential contribution to IEEE 802.11 on self-coordination of channel assignment in adjacent Wi-Fi Access Points.

Working Group 3 of COST Action IC0902 is responsible for the definition of network-wide mechanisms for enabling the cognitive approach. This group has provided a Temporary Working Document on “Radio Resource Management Protocol for Control Plane Signaling of Co-operative Dynamic Spectrum Access Algorithms”. Scope of this working document is the following:

- In Wireless Local Area Network (WLAN) deployment scenarios there is a very high probability that the access points (APs) of different manufacturers apply different algorithms to select the radio channel potentially leading to exaggerate interference.
- In order to properly cooperate, exchange of the needed information has to take place requiring beforehand the establishment of cooperation agreements among adjacent APs.
- A unified control plane signaling framework is required to allow multiple cooperative DSA techniques to operate on a general interface.

The protocol defines a common set of packet formats and procedures that allow the handling and exchange of basic agreement formation/negotiation messages. A simple negotiation procedure involves an agreement request including the proposed deal, a corresponding reply, and an ACK/NACK potentially leading to multiple message exchanges. The agreement can be renegotiated or terminated by using the same set of messages.

The proposed Radio Resource Management (RRM) protocol can be used for both inter-operator and intra-operator purposes allowing multiple vendor-specific/firmware specific algorithms embedded in the deployed Cognitive APs to interact. Renegotiations may happen when particular conditions arise.

On the other hand, the need for standardized methods for Automatic Channel Selection has been repeatedly raised in Wi-Fi standardization. Specifically, IEEE 802.11 is in early discussions on potential standard enhancements for “Carrier Wi-Fi”. The “Radio Resource Management Protocol for Control Plane Signaling of Cooperative Dynamic Spectrum Access Algorithms” as been specified by the above mentioned Working Group 3 would be a potential candidate for solving the Automatic Channel Selection problem in Wi-Fi. That means: A potential standardization opportunity exists in IEEE802.11.

CRS-i, represented by NSN, discussed with COST Action IC0902 on the standardization potential of this contribution and has also assisted in drafting the standardization contribution. The contribution will be presented at the IEEE 802.11 plenary in Geneva in July 2013 as official activity of the COST Action IC0902. NSN will also assist in giving the presentations and in the relevant discussions.

## 8 Conclusions

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Standardization is a global process by nature. Significant developments in CR and SDR have in recent years been achieved by a wide array of academia, research institutions and industry spread all over the world, and have been inputs to equally distributed standardization organizations –the vast majority of which have a global reach. Within Europe, some of the most prominent advances have been made by EU funded collaborative projects such as E2R, E3, SACRA, COGEU, OneFIT and QoS MOS, and many of these projects have actively pushed standardization, with the E3 project for example being at the basis of activities within both IEEE and ETSI. Therefore, most of the research foundations for CR advance are already laid in international collaborative programmes, and the standardization of these technologies also by definition needs to take place across boundaries, in order to create the necessary economies of scale for manufacturers and operators.

Despite recent advances, we concluded that barriers still remain to the efficient participation of FP7 projects in the CR standardization process and we have identified the main challenges to be addressed. In that regard, CRS-i aims to facilitate a more systematic policy driven approach, coupling R&D with standards.

Although many EU projects on CR are present in the standardization domain, and most industrial partners are aware of its importance for the commercial viability of CR solutions, standardization is but one of the objectives of these projects, and is usually –and logically- subordinate to the realization of technical objectives. As a consequence, the European CR standardization is fragmented, both within projects, between projects and between standardization organizations. Such fragmentation is firstly significantly slowing down the commercial introduction of CR solutions which, due to their high complexity, the degree of coordination required and the operational risks associated with any malfunctions, are treated with caution and restraint by vendors and operators as long as no regionally and globally standardized and certified systems are available. Secondly, it is also holding back reforms in the regulatory frameworks required to allow their introduction, since regulators equally demand industry-wide consensus on trusted systems for CR before committing to such reform. Thirdly, fragmentation between projects’ standardization activities is undermining the position of European industry compared to its global counterparts and decreasing the overall impact of the EU FP7 research efforts into CR and SDR.

For these reasons, the coordination action CRS-i is implementing a European approach to coordinating research efforts towards the complex CR standardization ecosystem. The goal is to have a concerted, reinforced European input to the standardization of CR as a foundation for regulation and commercial deployment, while also promoting European IPR in the global domain.

To this end, this deliverable introduced the CRS-i consultancy service and described its structure and information flow, emphasizing on the benefits offered to the FP7 projects that participate in the CRS-cluster. These are summarised as follows:

- Derivation of an efficient plan to provide an interface to the various standardization bodies at the beginning of a new project's activities;
- Selection of the most suitable standardization Working Groups for each research project;
- Achievement of an effective standardization impact through advice on the most appropriate time-window and the requested standardization procedure;
- Dissemination of calls for contributions among the different CRS-i cluster projects;
- Setting up a communication flow within the CRS-i cluster, concerning potential synergies and common interests across projects in standardization bodies;
- Following-up of the standardization contributions resulting from the interaction between the member projects and the CRS-i consultancy service;
- Provision of up-to-date information with respect to the discussions and progress in the CR standardization Working Groups;
- Circulation of information from standardization bodies that is directly relevant to the research community/projects;
- Creation of a platform for joint contributions and submissions to relevant standardization bodies;

The next step towards the implementation of the CRS-i consultancy service is the careful consideration of the concept and objectives of the research projects that comprise the CRS-cluster. Emphasis will be given on the standardisation activities undertaken by each research project, their standardisation objectives as well as the standardisation bodies of interest. In order to identify areas of standardisation gaps, as well as overlapping standardisation activities that could provide an opportunity for collaboration



among projects, a mapping between the different projects and their targeted standardisation bodies will be provided. This process will allow the identification of potential synergies among the CRS-cluster projects and, more specifically, the areas where the different CRS cluster projects appear to have similar standardisation targets and where collaboration between the projects could be considered as advantageous. Moreover, the strategies in order to exploit the identified strategies within the CRS-i standardization streams, i.e., White Spaces Systems, Device-to-Device communications and Licensed Shared Access, will be developed.

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