Deliverable Report

Deliverable No: D2.5

Deliverable Title: OAM spectrum effects in reflection

Grant Agreement number: 255914

Project acronym: PHORBITECH

Project title: A Toolbox for Photon Orbital Angular Momentum Technology

Project website address: www.phorbitech.eu

Name, title and organisation of the scientific representative of deliverable’s lead beneficiary (task leader):

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D2.5) OAM spectrum effects in reflection: Theoretical and experimental analysis of the alterations of the OAM spectrum of light in reflection / refraction phenomena. Determination of the conditions (angle of incidence, refractive indices, dielectric versus metal interface, Gaussian beam waist, ...) where these shifts do not appreciably affect free-space manipulation of OAM beams by standard optical elements as mirrors and lenses. [Excerpt from the GA-Annex I describing the deliverables of WP2, page 16]

This deliverable has been realized as a publication [1] which we briefly summarize in the following.

We have investigated how the OAM of a paraxial light beam is affected upon reflection at a planar interface on the OAM state of a beam. This is a relevant issue since reflection is the simplest possible optical operation and is often unavoidable in experiments and applications. An analogous issue is well known for the case of light’s polarization; this is generally strongly affected by Fresnel reflection. What happens in the OAM case? Everyday experience tells us that image distortion does not occur if we use a planar mirror; this suggests that the spatial state of the input beam (and thus also the OAM spectrum) should be well conserved upon reflection.

First we have developed an analytical theory based upon spatial Fresnel coefficients, in analogy with the well known polarization Fresnel coefficients. As a result we find that the OAM state is indeed conserved for an ideal mirror which we define as a (planar) mirror with infinite dielectric contrast. However, a practical mirror has a finite dielectric contrast; in this case wave optics leads to diffractive corrections upon Snell’s Reflection Law, such as the Goos-Hänchen (GH) and Imbert-Fedorov (IF) shifts: the reflected beam is shifted relative to the geometrical-optics reflected ray. Consequently the spatial state of the light beam is modified by reflection. In lowest paraxial order this takes the form of OAM sideband generation; if the input beam has L as OAM value, the reflected beam contains not only L but also (weakly) L+1 and L-1. The strength of the sidebands depends on many parameters: dielectric contrast, external reflection versus total internal reflection, the angle of incidence, the opening angle of the beam. Furthermore, a superposition of OAM modes as input beam is not conserved in reflection since the underlying GH and IF shifts depend on the value of L.

We have checked these predictions experimentally and find good agreement with theory. First we have studied the case of external reflection at an air-glass interface. By scanning the OAM of the input and output modes we map the matrix containing the spatial Fresnel coefficients. For a softly focused input beam (opening angle 5x10^-2) we find that the first order paraxial sidebands have a relative strength in the few % range. For a well collimated input beam (opening angle 2x10^-4) the sideband intensity is orders of magnitude weaker and in fact unmeasurable, unless the angle of incidence coincides within 0.5 degree with the Brewster angle. We have compared this with reflection at a metal surface (Ag). In this case the sidebands are negligible (<10^-5) for any angle of incidence, i.e. a “Brewster resonance” does not occur. The smaller sideband intensity of the metal mirror as compared to the air-glass interface is basically due to the reflectivity...
being much larger; this leads to the GH and IF shifts being much smaller. For multilayer dielectric Bragg mirrors we expect similar behavior.

PHORBITECH contribution to this deliverable
PHORBITECH contribution in this work has been both in developing the theory (in collaboration with Andrea Aiello from Max Planck Institute for the Science of Light, in Erlangen, Germany) and in carrying out the experiment.

PHORBITECH contributors to this deliverable:
ULEID: W. Loeffler and J. P. Woerdman

Publication(s) included in this deliverable:
A light beam, either classical or quantum, possesses spatially transverse degrees of freedom. A very popular example is the orbital angular momentum (OAM) of light [1]; this has important applications in quantum communication [2]. The key advantage of OAM for quantum communication is its high dimensionality; this allows a single photon to carry much more [3,4] than the single bit of information (qubit) enabled by the polarization degree of freedom. In this Letter, we investigate, theoretically and experimentally, the effect of optical reflection at a planar interface on the OAM state of a beam. This is a relevant issue, since reflection is the simplest possible optical operation, and is often unavoidable in experiments and applications. An analogous issue is well known for the case of light’s polarization; this is generally strongly affected by Fresnel reflection (apart from special cases [5]). What happens in the OAM case? Everyday experience tells us that image distortion does not occur if we use a planar mirror; this suggests that the spatial state of the input beam (and thus also the OAM spectrum) should be well preserved upon reflection.

As we will see, the OAM state is indeed conserved if we use an ideal mirror, which we define as a (planar) mirror with infinite dielectric contrast. However, a practical mirror has a finite dielectric contrast; think for instance of a single dielectric interface, or a multilayer dielectric mirror, or a metal mirror. In this case, wave optics leads to diffractive corrections upon Snell’s reflection law such as the Goos-Hänchen (GH) [6] and Imbert-Fedorov (IF) [7,8] shifts: the reflected beam is shifted relative to the geometrical optics reflected ray [9–13]. Consequently, the spatial state of light is modified by reflection; we describe this theoretically by spatial Fresnel coefficients that act upon the transverse modes of the incident light beam, analogous to the conventional polarization Fresnel coefficients that act upon the polarization modes. We find that the reflection-induced modification of the OAM state depends on the angular spread of the beam; this leads to OAM sidebands, even in the paraxial approximation. This is confirmed by our experimental results.

Our work connects with several papers that report on OAM spectral broadening due to (unintentional) experimental misalignment of the reference frame with respect to the OAM beam [4,14,15]. Such broadening can obviously be removed by proper readjustment of the setup to compensate the reference misalignment. However, in our case the misalignment is intrinsic to reflection (due to GH and IF shifts) and (as we will see) depends on the spatial OAM mode. Therefore, it cannot be cancelled simply by optical adjustment if we deal with a superposition of spatial modes as input states. We aim here to quantify the corresponding OAM spectral broadening. Our work also connects with that of Okuda and Sasada [16,17] who study giant (non-perturbative) deformation of an OAM mode due to total internal reflection for incidence very close to the critical angle. In such a singular regime, beam shifts (GH and IF), and thus the OAM mode spectrum, are ill-defined concepts [17]; we do not consider this singular total internal reflection case in the present Letter. Finally, there is also a connection with very recent work on the weak measurement aspects and topology of vortex singularities occurring in planar reflection [18,19].

Theoretically, we describe the reflection process in terms of a scattering operator \( \hat{S} = \sum_{\lambda} \hat{P}_\lambda \otimes \hat{M}_\lambda \), where \( \hat{P}_\lambda \) acts on the polarization state \( |\lambda\rangle \) \( |\lambda = 1 \) and \( \lambda = 2 \) correspond to \( p \) (in plane) and \( s \) (out of plane) polarization, respectively] and \( \hat{M}_\lambda \) on the spatial state \( |\psi\rangle \). Here, we have adopted a quantum notation for the sake of clarity. We restrict ourselves to a paraxial light field; in this case, polarization and spatial degree of freedom factorize: \( |in\rangle = |\lambda\rangle |\psi\rangle \). Upon reflection, \( \hat{S} \) mixes the polarization and spatial part; thus, after scattering, it is not possible to write the state as before in a product of polarization times spatial state [12,20]; this establishes a link between beam shifts and weak measurements [10,19].

We investigate how the orbital angular momentum of a paraxial light beam is affected upon reflection at a planar interface. Theoretically, the unavoidable angular spread of the beam leads to orbital angular momentum sidebands, which are found to be already significant for a modest beam spread (0.05). In analogy to the polarization Fresnel coefficients, we develop an analytical theory based upon spatial Fresnel coefficients; this allows a straightforward prediction of the strength of the sidebands. We confirm this by experiment and numerical simulation.

DOI: 10.1103/PhysRevLett.109.113602
In more detail, we discuss the incoming field \( \mathbf{E}_i(x_i, y_i, z_i, t) = \text{Re}[A_i(x_i, y_i, z_i) e^{-i\omega t}] \) in terms of its analytic signal \( [21–23] \) 
\( A_i(x_i, y_i, z_i) = \sum_i a_i \hat{\mathbf{e}}'_i \psi(x_i, y_i, z_i) \), where \( \psi \) describes the spatial shape of the beam (which we keep arbitrary at this point), \( \hat{\mathbf{e}}'_i = \hat{x}_i \) and \( \hat{\mathbf{e}}'_i = \hat{y}_i \) are the incoming-beam unit vectors, and \( a_{1,2} \) are the polarization coefficients. All coordinates are expressed in units of \( k \) and are consequently dimensionless. The reflected field can be written as

\[
\mathbf{A}(x, y, z) = \sum a_i \hat{\mathbf{e}}_i(\theta) \psi(-x + X_A, y - Y_A, z) \hat{\mathbf{e}}_i,
\]

where \( \hat{\mathbf{e}}_i = \hat{x} \), and \( \hat{\mathbf{e}}_2 = \hat{y} \) are the unit vectors in the reflected-beam coordinate system (Fig. 1). The reflected field \( \mathbf{A} \) depends on the Fresnel reflection coefficients \( r_x \) and \( r_y \) and the four complex beam shifts \( X_A \) and \( Y_A \), whose real (imaginary) part corresponds to spatial (angular) longitudinal Goos–Hänchen [6] and transverse Imbert–Fedorov [8,9] shifts, respectively [22]:

\[
X_A = -i \theta_0 \text{Im}[r_x(\theta)] \quad \text{and} \quad Y_A = i \theta_0 \text{Im}[r_y(\theta)]
\]

where \( r_x = \frac{a_y}{a_x} (1 + \frac{
abla}{a_x}) \cot \theta \) and \( r_y = \frac{a_x}{a_y} (1 + \frac{
abla}{a_y}) \cot \theta \). By analyzing \( X_A \) and \( Y_A \), it can easily be seen that only an infinite refractive index contrast makes them disappear, so, only at reflection from such a perfect mirror, the reflected mode \( \mathbf{A}(x, y, z) \) is not perturbed. Since the combined shift \( \mathbf{R}_A = (X_A, Y_A) \) is supposedly small, we can Taylor-expand the shifted function \( \psi \) to find deviations from geometrical optics reflection. We obtain for the spatial part with \( \mathbf{R} = (x, y) \)

\[
\langle x, y, z | \hat{\mathbf{M}}_A | \psi \rangle = \psi(-x + X_A, y - Y_A, z) = \psi(x, y, z) + \mathbf{R} \cdot \frac{\partial}{\partial \mathbf{R}} \psi(x, y, z).
\]

Now, we specialize to a specific mode basis and expand the spatial part of the input field in terms of the Laguerre-Gauss (LG) modes \( \phi_{\ell p}^m \) as \( \psi = \sum_{\ell p} c_{\ell p}^m \phi_{\ell p}^m \). Here, \( \ell \) and \( p \) are the azimuthal and radial mode indices, respectively. Our goal is to predict the reflected state, from which we can derive the spatial mode scattering matrix \( \hat{\mathbf{M}}_A \), which has as elements the spatial Fresnel coefficients (SFCs)

\[
c_{\ell p, c', p'}^m = \langle \phi_{c', p'}^m | \hat{\mathbf{M}}_A | \phi_{\ell p}^m \rangle.
\]

To obtain these coefficients, we use two properties of LG modes: (i) \( \phi_{\ell p}^m(-x, y, z) = (-1)^\ell \phi_{\ell p}^m(x, y, z) \) and (ii) the known spatial derivatives of LG modes, \( \frac{\partial \phi_{\ell p}^m}{\partial x} \) and \( \frac{\partial \phi_{\ell p}^m}{\partial y} \), up to first order in \( \theta_0 (= \lambda / \pi \alpha_0) \), the mode half-opening angle. We find the following first-order coefficients

\[
c_{\ell p, c', p'}^m \approx \begin{pmatrix} \ell + 1 & -\ell & \ell + 1 \\ p - 1 & \pm Z_\lambda^\pm \sqrt{p} & 0 & 0 \\ p & \pm Z_\lambda^\pm |\ell| + p + 1 & (-1)^\ell & \pm Z_\lambda^\pm \sqrt{|\ell| + p} \\ p + 1 & 0 & 0 & \pm Z_\lambda^\pm \sqrt{p + 1} \end{pmatrix}
\]

Here, we have combined all occurring shifts, i.e., the longitudinal and transverse, in each case the spatial and angular variants, in a single complex number \( Z_\lambda^\pm = \frac{\theta_0}{2\pi} (-1)^\ell (X_A \pm iY_A) \). This is specific to Laguerre-Gauss modes. Figure 2 shows exemplarily the SFC intensities

\[
C_{\ell p, c', p'}^m = |c_{\ell p, c', p'}^m|^2
\]

for air-glass reflection (\( \theta = 70^\circ \)) of a \( p \)-polarized LG mode with \( \theta_0 = 0.05 \), assuming for now a purely azimuthal LG mode (\( p = 0 \)). We find that reflection described by the SFCs induces a transformation of a pure \( \{\ell \} \) mode into a superposition of \( \{-\ell - 1, -\ell, -\ell + 1\} \) modes, where the minus sign stems simply from OAM reversal upon reflection. The coupling strength to the OAM sideband modes \( \{-\ell - 1, -\ell, -\ell + 1\} \) is proportional to \( \theta_0^2 \) and depends linearly on \( \ell \). In our case of pure azimuthal modes, the coupling strength \( C_{\ell p, c', p'}^m \) is simply proportional to \( \langle \theta_0 \sqrt{|\ell|} \rangle^2 \); here, we recognize the effective mode opening angle which is proportional to \( \theta_0 \sqrt{|\ell|} \). We also see that the mode coupling is governed by intrinsic displacement induced by beam shifts \( X_A \) and \( Y_A \). In the particular case of external reflection at a dielectric, only angular beam shifts occur [9,11]; this can be seen by analyzing \( X_A \) and \( Y_A \); for linear \( s \) or \( p \) polarization, \( Y_A \) vanishes, and \( X_A \) is purely imaginary—this corresponds to an angular shift within the plane of incidence.
The discussion above was for the case of a single OAM input state; however, it is straightforward to extend this to an OAM superposition input state. The mode coupling by reflection is a coherent scattering process so that a superposition can be handled by decomposition into its constituent OAM modes.

As a demonstration experiment, we investigate the case displayed in Fig. 2, i.e., external reflection at an air-glass interface. As shown in Fig. 1, light from a single mode fiber pigtailed 635 nm laser is collimated using a 20× microscope objective M1 (beam waist \(\omega_0 = 900\mu m\); this corresponds to \(\theta_0 = 0.0002\)). The light is sent to a spatial light modulator SLM1 (10 \(\mu m\) pixel size, 3 mrad blaze angle), which imprints the desired azimuthal phase to produce the input OAM spectrum \(\ell\). This beam is then focused, externally reflected at the hypotenuse plane of a standard BK7 \((n = 1.52)\) glass prism, and then recollimated to a beam waist of \(\omega_0 = 900\mu m\). For this telescope configuration, we use underfilled 10× microscopy objectives (to minimize aberrations) M3 and M4 (numerical aperture 0.25) to obtain \(\theta_0 = 0.05\) inside the telescope, which is well within the paraxial approximation. The reflected beam \(|\text{out}\rangle\) is sent to SLM2 set to detect OAM index \(\ell'\) in combination with microscopy objective M4 and a single mode fiber. The reflected-beam axis is adjusted to be the axis of the reflected \(s\)-polarized fundamental Gaussian beam. The output fiber is connected to a photodiode (PD); its photocurrent is proportional to \(C_{\ell,\ell'}^n\). By scanning the OAM of the input \(\ell\) and output \(\ell'\) modes, we map the matrix containing the spatial Fresnel coefficients.

As a side step, we note that we use standard phase-only fork holograms on the SLMs to imprint the desired azimuthal OAM phase \(e^{i\ell \phi}\) onto the field (blaze angle 3 mrad).

This transforms (for instance) a fundamental Gaussian mode not into a pure LG mode but into a superposition of LG modes, which have the same azimuthal index \(\ell\) but different radial index \(p\) [24]. In principle, this presents a problem since the radial modes suffer different transmission losses through the optical train. Therefore, we verify our experimental results by comparing with a fit-parameter-free numerical simulation [25], which not only accounts for spatial filtering but also for diffractive effects. We find that mode-dependent losses in the setup effectively lessen the influence of higher-order radial modes. As a bonus, this numerical method also enables validation of our analytical theory, as shown for the case \(p = 0\) [26].

Continuing now on the main line, we deduce from the measured \(C_{\ell,\ell'}^n\) the relative intensity in the OAM sidebands compared to the total reflected intensity \(I_{rel}^n(\ell) = (C_{\ell-\ell+1}^n + C_{\ell,\ell-1}^n)/\sum_{n} C_{\ell,\ell'}^n\). In order to improve the signal-to-noise ratio, we use polarization modulation \((s\) versus \(p\)) by rotating half-wave plates (WP1 and WP2) before and after reflection from the interface; this enables polarization-differential measurement. The experiment thus yields the polarization differential \(I_{pd}(\ell) = I_{rel}^n(\ell) - I_{rel}^n(\ell')\), which is plotted in Fig. 3 versus the input \(\ell\), at a fixed angle of incidence \((\theta_0 = 70^\circ)\). The data for \(\theta_0 = 0.0002\) have been obtained without the telescope. In this case, our theory predicts polarization-differential sidebands \(<10^{-5}\) in the experimentally addressed \(\ell\) range, which is much smaller than (but consistent with) the experimental accuracy. For \(\theta_0 = 0.05\), the mode coupling is increased, in good agreement with theory. From experiments, as well as from numerical simulation, we

![FIG. 2 (color online). Calculated SFC intensity \(C_{\ell,\ell'}^p = |e_{\ell,\ell'}^p|^2\) for the case of \(p\)-polarized Laguerre-Gauss modes externally reflected at a dielectric interface \(((n = 1.52, \theta = 70^\circ, \theta_0 = 0.05)\). Input \(\ell\) and output \(\ell'\) OAM is shown on the horizontal and vertical axes, respectively (radial mode index \(p = 0\)).](image)

![FIG. 3 (color online). Experimental (solid circles) and numerical (open circles) polarization-differential SFC sideband intensity \(I_{pd}(\ell)\). For an input beam with \(\ell\) (horizontal axis), it shows the sum of the two OAM sidebands (with \(-\ell - 1\) and \(-\ell + 1\)) appearing after external reflection by an air-glass interface, at an angle of incidence \(\theta = 70^\circ\), for two values of the beam spread \(\theta_0\). Error bars are estimated from multiple measurement runs to take mechanical drifts and misalignment into account.](image)
we find that an OAM mode $\ell$ acquires sidebands. The sidebands are due to first-order diffractive corrections to geometric optics (GH and IF effects); these lead to mode-dependent displacement and thus to coupling to “neighboring” OAM modes. We find that these effects scale with the angular spread of the beam. The sidebands disappear only in the limit of a completely reflecting mirror with an infinite refractive index step. Such mirrors do not exist, so in practice sidebands do occur. Nevertheless, for a well-collimated beam, the sidebands are small; this bodes well for the use of folding mirrors or beam splitters in optical setups in the laboratory and for mirror-assisted free-space OAM communication. However, already for a moderately focused beam ($\theta_0 = 0.05$) the sidebands become measurable (relative intensity of a few %) and have to be accounted for in any experiment involving partial reflection of OAM beams.

An intriguing question is, can we undo the SFC-induced mode coupling? The reflective scattering process described by the matrix $c^\ell_{\ell'}$ is reversible, so that in principle superposition input states can be recovered with unity fidelity. But how can this be achieved experimentally? An ordinary mirror cannot do this since it simply adds to the diffractive beam shifts (GH and IF). We thus need an optical device that cancels intrinsic beam shifts; possibly, a negative-index metamaterial [27] or photonic crystal [28] could achieve this task.

We acknowledge fruitful discussions with M. J. A. de Dood, J. B. Götte, and G. Nienhuis, and financial support by NWO and the EU STREP program 255914 (PHORBITECH).

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[5] Only the eigenstates, $s$ or $p$ polarization, remain pure upon reflection, albeit attenuated.

[25] The field is propagated by the Kirchhoff integral and reflected at the interface using the Debye approximation; exact dimensions are given in Fig. 3.
