



Project no. 004758

## **GORDA**

# **Open Replication Of Databases**

Specific Targeted Research Project
Software and Services

# User Case Studies and Requirements Report

**GORDA Deliverable D1.2** 

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Continuent

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RE	Restricted to a group specified by the consortium (including the Commission Services)				
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#### **Abstract**

This report identifies relevant use cases and requirements embodying the key issues in database replication in current information systems. The selected use cases stem essentially from Continuent's experience and its users base. These include current client deployments as well as short term prospective scenarios for which base requirements are known. The report starts by describing each use case in detail and then presents a set of requirements generally applicable.

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# **Chapter 1**

# Introduction

An explicitly stated aim of the GORDA project is to "foster research, development and deployment of database replication solutions". While the majority of the GORDA participant institutions are from the academic community, it is becoming clear that this community of participants is learning to strike a healthy balance between providing solutions for *interesting* problems and providing solutions for *real world* problems.

The use cases and system requirements presented in this document reflect this balance of the ideal and the practical and lay a substantial foundation for the elaboration and validation of the GORDA system architecture, implementation and deployment The GORDA flexibility will provide Continuent with a framework to address all client scenarios and requirements.

The document starts by presenting the use cases for a set of industry standard benchmarks that are widely considered to be representative of workloads encountered in real world E-Commerce and OLTP applications. Then we present several real-world case studies and model a set of use cases based on them. Finally, we present the complete set of requirements for GORDA. These requirements will be constantly referred to, refined, and updated for the life of the project.

# 1.1 Objectives

The GORDA User case Studies and requirements report, has the following goals:

- identify relevant case studies embodying the key issues in database replication in current information systems;
- define a set of usage scenarios for GORDA compliant databases;
- establish the base for a set of strategic research guidelines.

# 1.2 Relationship With Other Deliverables

By identifying the usage scenarios for GORDA compliant databases, this document is of major relevance for Deliverable D1.3 - Strategic Research Directions Report.

Furthermore, this document guidelines will be taken into account by Deliverable D5.2 - Prototype of the Integrated System, on the choices shaping the resulting prototype.

# Chapter 2

# **Case Studies and Use Cases**

# 2.1 LAN Replication Case Studies and Use Cases

This section describes a set of use cases that will be used to guide the enumeration of system requirements for GORDA as well as to validate the GORDA architecture. The selected use cases fall into three broad categories. The first category consists of use cases that are defined by a set of industry standard benchmarks. The second category focuses on use cases that have been captured from current and prospective commercial customers for Continuent's uni/cluster, a middleware LAN-based database replication solution. The last category consists of hypothetical use cases for database replication over WAN and are derived from one of Continuent's customers.

# 2.1.1 E-Commerce Enterprise, Web Shopping Application

This first case study is founded on an analysis of the TPC-W benchmark from the Transaction Processing Council and represents an E-Commerce enterprise web shopping application. Applications of this type are widely deployed and well understood. An additional advantage of using this case study as part of the GORDA requirements is that we will also be able to run the benchmark at key iteration phases of development and thus can catch any issues that may arise prior to the first demonstration deployments.

The TPC-W benchmark is summarized as follows, on www.tpc.org/tpcw The summary has been directly quoted:

"TPC Benchmark<sup>TM</sup> W (TPC-W) is a transactional web benchmark. The workload is performed in a controlled internet commerce environment that simulates the activities of a business oriented transactional web server. The workload exercises a breadth of system components associated with such environments, which are characterized by:

- Multiple on-line browser sessions
- · Dynamic page generation with database access and update
- Consistent web objects
- The simultaneous execution of multiple transaction types that span a breadth of complexity
- On-line transaction execution modes
- Databases consisting of many tables with a wide variety of sizes, attributes, and relationships
- Transaction integrity (ACID properties)
- · Contention on data access and update"

Figure 2.1 show a TPC-W setup with a set of emulated users through the Internet connecting to a cluster of application servers. Application logic connects to the database cluster that uses GORDA replication techniques to provide data in a consistent and scalable way. A typical TPC-W setup would have 2 to 6 database replicas.

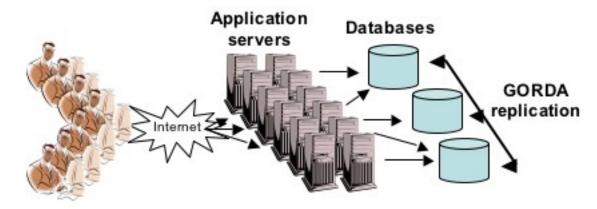


Figure 2.1: Typical TPC-W setup

"The performance metric reported by TPC-W is the number of web interactions processed per second. Multiple web interactions are used to simulate the activity of a retail store, and each interaction is subject to a response time constraint."

"TPC-W simulates three different profiles by varying the ratio of browse to buy: primarily shopping (WIPS), browsing (WIPSb) and web-based ordering (WIPSo). The primary metrics are the WIPS rate, the associated price per WIPS (\$/WIPS), and the availability date of the priced configuration."

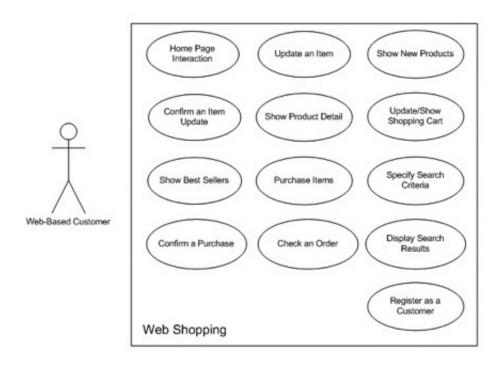


Figure 2.2: Web Shopping Use Cases

#### Web Shopping DB Access Use Cases

The set of use cases which appear in the above diagram and in the following sections have been adapted from the text of the TPC-W specification titled **TPC BENCHMARK** <sup>TM</sup> **W** (**Web Commerce**) **Specification**, Version 1.8. Rather than refer the reader to this specification, we have chosen to adapt, summarize, and quote material which appears in this document.

A detailed description of TPC-W can be found by following this link: www.tpc.org/tpcw/spec/TPCW\_V1.8.doc

- **CS-WSA-A-1: Home Page Interaction** "This unprotected web interaction returns to the EB (Emulated Browser) a web page which contains links to product lists for new products and for best sellers. This is the initial web interaction requested by all Users starting a new User Session. It is also a navigation option from most other web pages."
- **CS-WSA-A-2:** Update an Item "This unprotected web interaction returns to the EB a web page which allows a User to request the update of an item."
- **CS-WSA-A-3:** Confirm an Item Update "This unprotected web interaction updates an item and returns to the EB a web page which contains the details of the updated item."
- **CS-WSA-A-4:** Specify Search Criteria "This unprotected web interaction returns to the EB a web page which allows a User to specify search criteria to find qualifying items."
- **CS-WSA-A-5: Display Search Results** "This unprotected web interaction returns to the EB a web page which contains the list of items that match a given search criteria."
- CS-WSA-A-6: Show New Products "This unprotected web interaction returns to the EB a web page which contains the list of recently released items."
- **CS-WSA-A-7:** Show Product Detail "This unprotected web interaction returns to the EB a web page which contains detailed information on a selected item."
- CS-WSA-A-8: Update/Show Shopping Cart "This unprotected web interaction updates the associated CART (refreshing the CART's date and optionally adding a new item or updating existing items) and always returns to the EB a web page which displays the updated contents of the User's CART. If a Shopping Session is not identified at the start of this web interaction, a new Shopping Session is created."
- **CS-WSA-A-9:** Show Best Sellers "This unprotected web interaction returns to the EB a web page which contains the list of best seller items."
- CS-WSA-A-10: Purchase Items "This secure web interaction registers a new customer or identifies a returning customer and returns to the EB a web page which displays information about the customer, confirming either the registration or the identification, and displays a summary of the items in the associated CART. The page provides editable fields for entering credit card information and selecting shipping options."
- CS-WSA-A-11: Confirm a Purchase "This secure web interaction transfers the content of the associated CART into a newly created order for the registered customer and executes a full payment authorization. It then returns to the EB a web page containing the details of the newly created order."

- *CS-WSA-A-12: Look up and Order* "This secure web interaction returns to the EB a web page which allows a User to provide the information necessary to enter or confirm their identity as a returning customer. This is the first step in displaying information about the customer's last order."
- CS-WSA-A-13: Display an Order "This secure web interaction returns to the EB a web page which displays the status of the last order placed by the customer."
- **CS-WSA-A-14: Register as a Customer** "This unprotected web interaction returns to the EB a web page which allows a User to provide the information necessary to register as a known Customer or as a new Customer and to submit their registration. This is the first step in buying the contents of the CART."

# **Web Shopping Cluster Operations Use Cases**

The following set of use cases illustrates some typical cluster operations tasks. Because these use cases are cluster specific, they do not appear in the original TPC-W document.

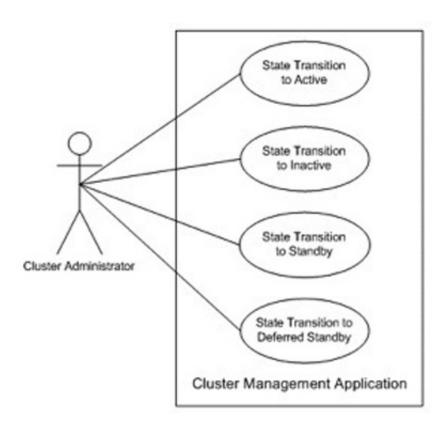


Figure 2.3: Cluster Operations Use Cases

- **CS-WSA-O-1:** State Transition to Active This cluster operations interaction starts when a cluster administrator initiates a state transition for cluster node from the inactive to the active state and terminates when the node has reached the active state and is sharing the processing of read requests with the rest of the active nodes in the cluster.
- **CS-WSA-O-2:** State Transition to Inactive This cluster operations interaction begins when a cluster administrator removes a given cluster node from active operation, performs a memory upgrade, and terminates when the node resumes active operation.

**CS-WSA-O-3:** State Transition to Standby This cluster operations interaction begins when a cluster administrator initiates an operation to perform a hot backup of all of the databases that are maintained by a given cluster and terminates when the backup has been completed.

**CS-WSA-O-4:** State Transition to Deferred Standby This cluster operations interaction begins when a cluster administrator initiates a sequence of events that allow for the re-indexing of a given table without any downtime for the cluster and the propagation of the re-indexed table to the other nodes of the cluster.

# 2.1.2 Telecom Equipment Vendor, LSMS for LNP

Holy acronyms, Batman!! You have entered the telecom zone, but don't worry - The telecom world, in general, is widely known for building redundancy into their hardware and software platforms in order to provide the appropriate 'carrier grade' levels of availability. So database replication is a natural fit when it comes to providing this kind of redundancy on the database tier of any application.

A major telecom equipment vendor provides software and hardware to telephone service carriers that allows them to fully support Local Number Portability (**LNP**). They need a replicated database solution for their Local Service Management System (LSMS). A GORDA-compliant database with 2 or 3 replicas can be used to provide high availability properties to LSMS.

The Local Service Management System (**LSMS**) receives number portability data from any given Number Portability Administration Center (**NPAC**). There are eight regional NPAC in the United States and one in Canada. The LSMS then properly structures that data for use by the company's Service Control Point (SCP) hardware/software platform and transfers the data, as required, to an SCP. The SCP, in turn, uses the data provided to perform the actual telephone network-level operations necessary to provide the LNP service. A description of the full details of this service is beyond the scope of this paper. The following diagram shows the principal architecture of the LNP system.

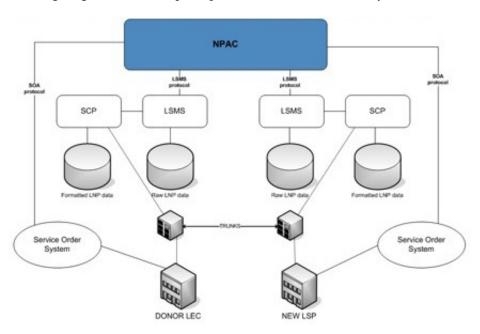


Figure 2.4: LNP Architecture

#### **LSMS DB Access Use Cases**

The following set of use cases all ultimately involve database access and, therefore, are the set of use cases which will drive requirements for database replication, high availability, performance and fault monitoring, etc.

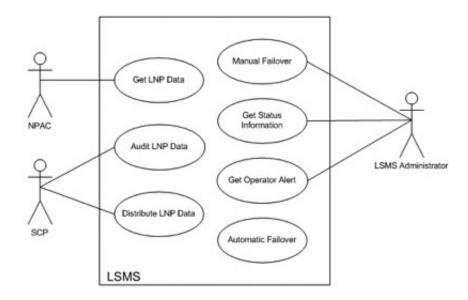


Figure 2.5: LSMS DB Use Cases

- CS-LSMS-A-1: Get LNP Data LSMS gets 25 LNP records/second per NPAC and there is a single connection to each of 8 NPAC
  - 1. each LNP record is a max of 500 bytes
  - 2. it takes 2 database reads and 2 writes to process each record
- *CS-LSMS-A-2: Audit LNP Data* The SCP executes a request to the LSMS which, in turn, executes a query that scans a range of records in the LNP database and compares this data to the data that it has to verify that the local SCP data is correct.
- **CS-LSMS-A-3: Distribute LNP Data** The LSMS coordinates the distribution of a set of formatted LNP records to a given SCP.

# **LSMS Cluster Operations Use Cases**

- **CS-LSMS-O-1: Manual Failover** The LSMS Administrator uses a management interface to command the LSMS to switch from the current active cluster node to the hot-standby cluster node. However, with a GORDA compliant replicated database, such failover would be fully transparent and automated.
- **CS-LSMS-0-2:** Get Status Information The LSMS Administrator requests summary status information on the operation of the LSMS.
- CS-LSMS-O-3: Get Operator Alert: Database Server Exit A fault detection component of the cluster detects that the database server process has exited. The appropriate node state transition is initiated and an explicit alert is sent to the LSMS operator.

# 2.1.3 Brick and Mortar Enterprise, OLTP Application

This case study is founded on an analysis of the TPC-C benchmark from the Transaction Processing Council (tpc.org) and represents an OLTP application that can typically be found in any large enterprise that sells products or services. Applications of this type have been widely deployed and well understood for several decades. As in the TPC-W based case study, an additional advantage of using this case study and set of use cases as part of the GORDA requirements gathering and validation activity is that we will also be able to run the benchmark at key iteration phases of development and thus can catch any issues that may arise prior to the first demonstration deployments.

A detailed description of TPC-C can be found by following this link: www.tpc.org/tpcc/detail.asp. Figure 2.6 shows a typical TPC-C setup where the number of database replicas can vary from 2 to 6 databases.

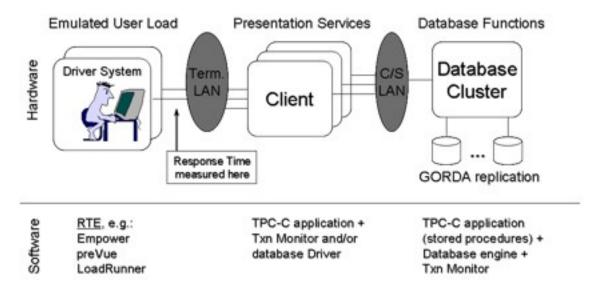


Figure 2.6: Typical TPC-C setup

"Approved in July of 1992, TPC Benchmark C is an on-line transaction processing (OLTP) benchmark. TPC-C is more complex than previous OLTP benchmarks such as TPC-A because of its multiple transaction types, more complex database and overall execution structure. TPC-C involves a mix of five concurrent transactions of different types and complexity either executed on-line or queued for deferred execution. The database is comprised of nine types of tables with a wide range of record and population sizes. TPC-C is measured in transactions per minute (tpmC)."

"TPC-C simulates a complete computing environment where a population of users executes transactions against a database. The benchmark is centered around the principal activities (transactions) of an order-entry environment. These transactions include entering and delivering orders, recording payments, checking the status of orders, and monitoring the level of stock at the warehouses. While the benchmark portrays the activity of a wholesale supplier, TPC-C is not limited to the activity of any particular business segment, but, rather represents any industry that must manage, sell, or distribute a product or service."

#### **OLTP DB Access Use Cases**

The set of use cases which appear in the above diagram and in the following sections have been adapted from the text of the TPC-C specification titled TPC BENCHMARK<sup>TM</sup> C, Standard Specification,

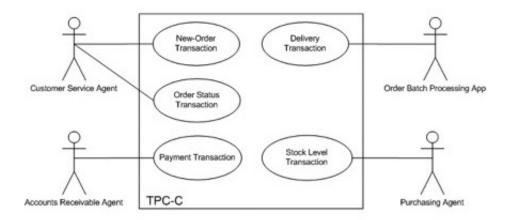


Figure 2.7: OLTP DB Access Use Cases

Revision 5.4 Rather than refer the reader to this specification, we have chosen to adapt, summarize, and quote material which appears in this document.

- **CS-OLTP-A-1:** New-Order Transaction The New-Order business transaction consists of entering a complete order through a single database transaction. It represents a mid-weight, read-write transaction with a high frequency of execution and stringent response time requirements to satisfy on-line users. This transaction is the backbone of the workload. It is designed to place a variable load on the system to reflect on-line database activity as typically found in production environments.
- **CS-OLTP-A-2: Order Status Transaction** The Order-Status business transaction queries the status of a customer's last order. It represents a mid-weight read-only database transaction with a low frequency of execution and response time requirement to satisfy on-line users. In addition, this table includes non-primary key access to the CUSTOMER table
- CS-OLTP-A-3: Payment Transaction The Payment business transaction updates the customer's balance and reflects the payment on the district and warehouse sales statistics. It represents a light-weight, read-write transaction with a high frequency of execution and stringent response time requirements to satisfy on-line users. In addition, this transaction includes non-primary key access to the CUSTOMER table.
- CS-OLTP-A-4: Delivery Transaction The Delivery business transaction consists of processing a batch of 10 new (not yet delivered) orders. Each order is processed (delivered) in full within the scope of a read-write database transaction. The number of orders delivered as a group (or batched) within the same database transaction is implementation specific. The business transaction, comprised of one or more (up to 10) database transactions, has a low frequency of execution and must complete within a relaxed response time requirement.

The Delivery transaction is intended to be executed in deferred mode through a queuing mechanism, rather than interactively, with terminal response indicating transaction completion. The result of the deferred execution is recorded into a result file.

**CS-OLTP-A-5:** Stock Level Transaction The Stock-Level business transaction determines the number of recently sold items that have a stock level below a specified threshold. It represents a heavy readonly database transaction with a low frequency of execution, a relaxed response time requirement, and relaxed consistency requirements.

# 2.2 WAN Replication Case Studies and Use Cases

We currently have one reference case study in this section and, for the present, it is representative enough of the most prominent set of requirements in this area. The intention is, however, to add an additional case study that highlights a less common but somewhat more interesting set of requirements involving synchronous replication.

# 2.2.1 FSecure Subscription Authorization and Profile Application

The application under study here is used by FSecure, a company that provides a wide range of computer and network security products via subscriptions. In this application, a central or **master** database, hosted at a single network operations center (NOC) maintains the subscription authorization and profile information for all of the customers for a specific security product. Data from this primary database, as well as the subscription content, is then propagated to a set of **backup** subscription servers which are hosted at a number of different NOC in geographically diverse areas. When an FSecure customer starts the FSecure software that is resident on their computer, the software initiates a set of operations with one of these backup replicas. For example, the software checks to make sure that the license is valid and whether or not any new content (virus definitions, for example) is available.

In the FSecure system architecture, accesses from the subscription clients is made via a BackWeb client application that resides on the customer's machine to a BackWeb host that is part of each subscription server. If database access is needed, the BackWeb (BW) host invokes a BW hook which is, essentially, a means for making access to a database via ODBC.

Current plans specify that the primary subscription database requires high availability and it's anticipated that that high availability will be provided by some sort of a LAN replication based cluster. A GORDA compliant replicated database would use 4 to 8 replicas. For the backups, there will generally be only a single database node unless the number of subscriptions managed by that node exceeds some threshold, in which case LAN replication clustering of 2 to 3 replicas may be used to provide a degree of read scalability.

#### F-Secure Subscription Service DB Access Use Cases

The use cases described in the following sections involve direct access to the data stored in the primary database and may result in replication activity to the backup databases.

**CS-SUBADMIN-A-1:** Add Subscription The subscription administrator uses a GUI to provide the input data for a new subscription. This adds a new row to the SUBSCRIPTION table.

When a new subscription is added, the subscription data must be immediately propagated to all of the backup servers.

**CS-SUBADMIN-A-2: Change Subscription** The subscription administrator makes a change to the subscription data. For example, a subscription can be cancelled. This updates fields in the SUBSCRIPTION table and adds a new row to the SUBSCRIPTION\_HIST table.

When a subscription is changed, the appropriate changes must be immediately propagated to all of the backup servers.

CS-SUBADMIN-A-3: Reactivate Subscription The subscription administrator resets a subscription to its initial state. This updates fields in the SUBSCRIPTION table, adds a row to the SUBSCRIPTION\_HIST table, removes one or more rows from the BWINSTALLED table (if there is one) and adds one or more associated rows to the BWINSTALLED\_HIST table.

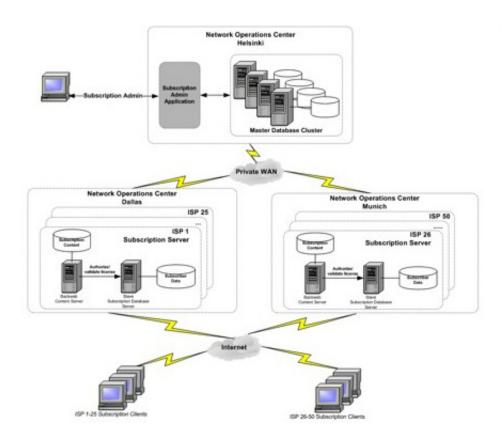


Figure 2.8: Subscription Services Architecture

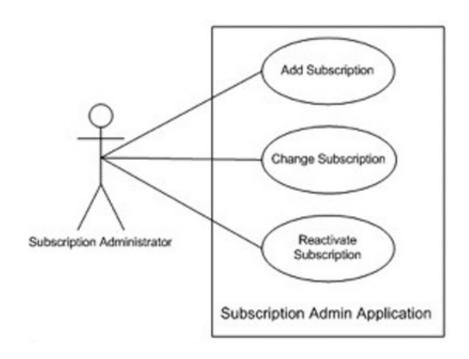


Figure 2.9: Subscription Administration Use Cases.

When a subscription is reactivated, the appropriate changes must be immediately propagated to all of the backup servers.

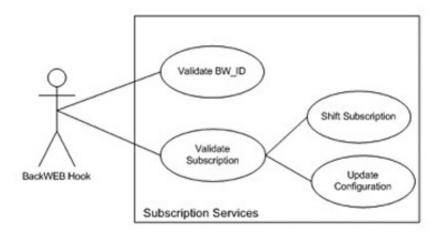


Figure 2.10: Subscription Use Cases.

**NOTE:** A BackWEB (BW) Hook is, essentially, an application that is called by the BW server and that uses ODBC to connect to a local database to perform a specific task. For the purposes of the following use cases, the word *HOOK* will be used to refer to BW Hook.

- CS-SUBSVC-A-1: Validate BW\_ID Given a BW\_ID, a BW Server uses the HOOK to look up the BW\_ID in the BWINSTALLED table. The status is returned as appropriate i.e. NOT\_FOUND or a valid SUBSCRIPTION\_ID.
- CS-SUBSVC-A-2: Validate Subscription Given a SUBSCRIPTION\_ID, a BW Server uses the HOOK to check whether the subscription exists and is up to date. If the subscription is found, some status fields are updated in the BWINSTALLED table including, if necessary, fields associated with a configuration change on the client. If there was a configuration change on the client, one or more events get added to the EVENTS table.
- CS-SUBSVC-A-3: Shift Subscription If the subscription ID has changed, the HOOK attempts to find the new subscription and the BWINSTALLED, BWINSTALLED\_HIST, EVENT, EVENT\_HIST and SUBSCRIPTION\_HIST tables have rows updated, added or deleted.
- CS-SUBSVC-A-4: Propagate Configuration and Host Changes Based on some pre-defined interval, information about changes that have been made on subscriber machines as well as statistical information collected on each local, backup, server must be propagated to the primary. In this use case, it is assumed that the rule is for there never to be a case where data from the same subscription client is present on more than one subscription server (backup server). This fact, in turn, prevents complexity in logic to ensure that updates are never lost.

# **Chapter 3**

# Requirements

The following sections provide the full set of requirements for a GORDA-based system that is capable, in turn, of successfully executing the use cases outlined in the previous sections.

In what follows, we define some acronyms used throughout our explanation.

**hybrid** used in the context of this paper, the word hybrid refers to a model for GORDA that includes both a generic, high-availability framework and replication protocols as well as a modified version of a target DBMS which, through cooperative execution, meets the GORDA requirements.

**GORDA-H** refers to the GORDA hybrid model.

**middleware** when used in conjunction with the term GORDA, middleware refers to a model for **GORDA** which includes a generic, high-availability framework and replication protocols but does NOT rely on any modifications, whatsoever, to the target DBMS.

**GORDA-M** refers to the GORDA middleware model.

# 3.1 Application Transparency

Application transparency can be defined, in practical terms, as follows:

- Any application that will run against a given DBMS shall also run, without alterations, against a GORDA compliant system.
- Because GORDA will, undoubtedly, involve clustering technology, the requirements outlined in this section step a little in the direction of design details.

## 3.1.1 SQL Transparency

There are essentially three broad categories into which SQL statements can be categorized: **DML**, **DDL**, and **DBMS ADMIN commands**. The transparency level required for commands from each of these categories may vary since not all statements have equal importance in the real world nor can all commands be supported transparently under all conditions.

GORDA shall provide 100% transparency for all DML commands and DDL commands. The
only exception to this is that stored procedures shall not be supported by the GORDA middleware
implementation.

- GORDA shall provide as much transparent support for DBMS maintenance and administration
  commands as possible. A good example of this, in MySQL, would be to support the 'kill' command, which kills a thread, in such a way that threads are killed across all nodes in the cluster if
  appropriate. This may be necessary, for example, if a thread is found to be holding locks etc.
- GORDA shall provide for local execution of some DBMS DDL, maintenance and administration commands as an option to transparency. An example of this would be a command that rebuilds an index on a table: a user may not want to tie up the table on all of the nodes, but only on a single node, and propagate the changes to the other nodes when the re-indexing is completed.

# 3.1.2 Concurrency/Lock Granularity

- GORDA-H shall support the most fine-grained level of locking possible, and, ideally, will transparently show through the locking granularity present in the underlying DBMS.
- GORDA-M shall provide a configurable range of alternatives for providing the highest levels of concurrency for a given workload at the middleware level without requiring any changes to the target DBMS. Specifically:
  - one-write-operation-operation-at-a-time, which means that the replicas are updated per write-operation instead of waiting to commit statement.
  - table-level locking
  - predicate locking

# 3.1.3 User Accounts, Authorization and Permissions Transparency

- GORDA shall provide 100% transparency for all facets of user account administration, user account-based permissions etc. In practice, this means that whatever security constraints are enforced with a single instance of a given DBMS for a given set of applications must also be enforced, identically, by GORDA.
- GORDA shall provide transparent support for client application authorization protocols.
- GORDA shall provide for application connectivity in such a way that the application does not need to be aware that there is more than one DBMS in operation. For instance, in a cluster, a typical solution to this requirement is to implement a single *virtual IP address* for the entire cluster.

#### 3.1.4 Error Status Transparency

• GORDA shall propagate DBMS specific error status information transparently to client applications i.e. error status semantics must be maintained.

## 3.1.5 Connection Status Transparency

GORDA shall propagate any fatal errors which would result in the loss of client application connectivity to the application as normal. This requirement explicitly rules out automatic, application-transparent failover in the case of lost connectivity.

# 3.2 Database Consistency Criteria

- GORDA replication protocols should be able to provide 1-copy-equivalence with respect to the
  underlying DBMS consistency criterion. Complementary, weaker criteria, justifiably tolerated
  by the application and ensuring bounded divergence, should be provided whenever allowing to
  increased the system performance.
- GORDA shall ensure that ACID properties inherent in the underlying DBMS are fully supported.
   GORDA does not need to exhibit ACID properties for operations which do not already provide for them in the underlying DBMS.

# 3.3 Performance and Scalability

### 3.3.1 Read Scalability

- GORDA shall provide a means to achieve scalability for read operations.
- Given a steady, consistent workload composed of 100% read activity, GORDA shall provide for an increase in read throughput at the same average response time.

# 3.3.2 Connection Scalability

GORDA shall provide a means to achieve scalability for the number of concurrent client connections that can be handled by a given GORDA system. The actual level of scalability for connections will depend on the read/write ratio of the workload being processed and, potentially, on whether or not the workload involves the execution of multi-statement transactions.

#### 3.3.3 Load Balancing

• GORDA shall provide a means to distribute the total system workload across a plurality of processing elements.

# 3.4 WAN Support

## 3.4.1 Primary/Backup Asynchronous Replication

- GORDA shall support asynchronous replication, over a WAN, in a primary/backup configuration.
  In this configuration, all writes are applied against the primary GORDA system instance and writes
  are then propagated from the primary GORDA system instance to one or more backup GORDA
  system instances.
- GORDA primary/backup lazy replication shall be recoverable in the face of network outages, system faults etc.

### 3.4.2 Replication with Synchronous Semantics

- GORDA shall support eager replication over a WAN. In this configuration, write operations may
  be applied at any GORDA system instance and are propagated, by GORDA, to all other GORDA
  system instances.
- GORDA eager replication shall exhibit one-copy-equivalence for all operations.

#### 3.4.3 SSL Support

• GORDA shall support SSL encrypted WAN links.

## 3.4.4 System Management

• GORDA system management shall support management of all GORDA instances, including those present at disparate geographical locations, from a single access point.

# 3.5 Security

# 3.5.1 SSL Support

• GORDA shall transparently support secure links for all data replication operations over SSL.

# 3.6 DBMS Support

• Both GORDA-H and GORDA-M shall support the PostgreSQL 8.x and Apache Derby 10.x.

# 3.7 Supportability and Testability

# 3.7.1 Fine-Grained Dynamic Diagnostics

- GORDA shall provide a means for dynamically enabling and disabling the collection, distribution and display of system diagnostic information on a very fine-grained level in two domains:
  - within the source code itself, down to the level of an specific method invocation
  - within the running system itself, down to the level of components running on a specific node or set of nodes.

# 3.7.2 Fine-Grained Dynamic Test Hooks

- GORDA shall provide a means of dynamically enabling and disabling a wide range of built-in testing facilities including but not limited to:
  - fault simulation traps
  - process synchronization barriers
  - inter-process synchronization primitives

# 3.7.3 System Diagnostic Dump Facility

- GORDA shall collect, at runtime, using the most efficient means possible, a sufficient quantity and quality of data to enable a trained engineer to get a high level view of an entire running system at the time of a system fault.
- GORDA shall dump the collected system fault data, under all conditions where it is possible, to a flat file or other non-volatile storage.

# 3.8 System Management

## 3.8.1 Centralized Management

- GORDA shall provide for the management and monitoring of all aspects of the cluster from a single reference point.
- GORDA shall provide system management facilities that can manage one or more GORDA system instances from a central point.

#### 3.8.2 Text-Based Socket Interface

 GORDA system management shall provide a text based interface accessible via a standard protocol.

# **3.8.3 Java API**

 GORDA system management shall provide a Java API that can be used by third party vendors and customers to create/integrate management tools etc. on top of GORDA system management facilities.

#### 3.8.4 SNMP Traps

• GORDA system management shall provide SNMP version 1.0 traps where appropriate.

#### 3.8.5 Extensive Statistics

• GORDA system management shall provide a high level of detail for statistical information for a running GORDA system.

#### 3.8.6 Dynamic Configuration

• GORDA system management shall provide a means for changing system configuration parameters both dynamically and statically.

### 3.8.7 Integrated Database Configuration

• GORDA system management shall provide an integrated means for the configuration of both the GORDA system as well as of the underlying DBMS such that the underlying DBMS is always assured of being configured correctly in support of a given GORDA system configuration.

# 3.9 GORDA System Software Upgrades

# 3.9.1 Zero Downtime Field Upgradeable

• GORDA system software shall be field upgradeable without causing any system downtime.

## 3.9.2 Rolling DBMS Upgrades

GORDA system software shall support the rolling upgrade of the underlying DBMS version without causing system downtime.

# 3.10 Network Equipment Requirements/Compatibility

- GORDA shall be capable of operating in any standard internetworking environment with layer 2 or layer 3 switching.
- GORDA shall not require any special models of switches or routers in order to operate correctly.