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1 EXECUTIVE SUMMARY

This document is the first report on CoRaSat standardisation activities, covering the first two years of the project (October 2012 – September 2014). The aim of the CoRaSat “Standardization” activities is to define the impact on standards of the proposed technologies for the different use case system architectures and possibly contribute to relevant standardization processes with the outcomes of the study.

To this aim, the CoRaSat project produced a Technical Report for approval at the Technical Committee on *Satellite Earth Stations and Systems* (TC-SES) within ETSI. The technical report was submitted to TC-SES as well as to the Technical Committee on *Electromagnetic Compatibility and Radio Spectrum Matters* (TC-ERM) and subsequently converted in a *System Reference Document* (SRDoc) intended for liaison with CEPT FM44. The System Reference Document was successfully published by ETSI as TR 103-263 v1.1.1 "*Cognitive Radio Techniques operating in Ka-band*" in July 2014, that represents a major achievement of the CoRaSat activity on Standardization. In parallel, CEPT FM44 replied to ETSI TC-ERM with a liaison statement with comments on the SRDoc identified technologies and scenarios as detailed in the companion CoRaSat deliverable D5.3 "*Regulatory Activity Report*". Moreover, CoRaSat has been also invited to produce contributions to the IEEE 1900.6 Working Group on "*Spectrum Sensing Interfaces and Data Structures for Dynamic Spectrum Access and other Advanced Radio Communication Systems*".

For the final project year, CoRaSat plans to contribute to the new work item opened in TC-ERM aimed at replying to the CEPT liaison statement and to the IEEE 1900.6 Working Group.

This document details the contributions made in this period in the context of the above standardisation groups, and gives an outlook on the activities planned for the third year of the project.

2 SCOPE AND STRUCTURE OF THE DOCUMENT

This deliverable provides an overview of the standardisation activities covered in the first two years of the project. In particular, the CoRaSat project deeply interacted with ETSI, producing a SRDoc for discussion within TC-SES and TC-ERM, and was also invited by IEEE 1900.6 Chairman to contribute to the activities of this Working Group. This document also aims at describing the plan for standardisation activities during the third and last year.

This deliverable is organized as follows:

Chapter 3 summarizes the activities of CoRaSat in ETSI in both TC-SES and TC-ERM bodies, consisting in a first version of a System Reference Document on Cognitive Radio techniques for Satellite Communication systems.

Chapter 4 covers the planned standardisation activities.

Finally, Chapter 5 provides some concluding remarks on the achievements of the first two years, as well as on the plan for the third year of the CoRaSat project.

3 STANDARDISATION ACTIVITIES

The main objective of the CoRaSat project [1] within the standardisation context is to demonstrate that FSS system can make use of ITU non-exclusive frequency bands, which are also allocated to FS or BSS, by means of cognitive radio techniques. This will allow to increase the throughput of broadband satellite system by achieving a significantly higher efficiency in spectrum utilization.

This Chapter summarizes the approach followed by the CoRaSat project to achieve such improvement spectrum utilization for SatCom. In particular, these standardisation activities were performed within ETSI and IEEE.

3.1 ETSI

The CoRaSat project initially planned to develop in ETSI, within the Technical Committee on Satellite Earth Stations and Systems (TC-SES), a technical report (TR) defining Architectural impacts, Operational requirements and performance assessment results associated to the incorporation of Cognitive Radio techniques on Satellite Communication systems.

CoRaSat consortium partners which are also supporting ETSI Member organisations are: TAS (through its THALES affiliations), SES S.A., UNIS (through its CCSR affiliation), UNIBO (through its CNIT affiliation), and SnT - University of Luxembourg.

TAS, which represents CoRaSat within ETSI standardisation activities, proposed this work item receiving a very positive feedback. A delegate from ECO invited CoRaSat to adopt the System Reference Document template defined by TC-ERM, and use it to describe innovative system concepts that require modification to regulatory framework. TC-SES approved the work item and assigned the work to the SCN WG (Satellite Communication & Navigation Working Group) of ETSI.

In the following, we list the steps that have been performed within ETSI.

- A first contribution was prepared by the CoRaSat project and presented to the SCN WG during its 4th meeting, held at Oberpfaffenhofen in Germany, on October 8-10, 2012.

The work item (WI) aims at developing a technical report to “Propose and analyse SatCom architectures implementing cognitive radio techniques for different scenarios where the SatCom service shall not create any harmful interference to another terrestrial or satellite service entitled to use the same spectrum on a primary basis. The document will also identify the ETSI standards that have to be created or changed for enabling this kind of architectures.” This technical report will then be shared with CEPT to demonstrate the feasibility/added value of cognitive radio techniques to exploit shared spectrum.

- The work item was then presented to TC-SES during its 82th session held in Sophia-Antipolis, France, on December 4-6, 2012. TC-SES approved the submitted work item (See SES(12)000083r2). However, it was requested to accelerate the work given the momentum on the subject. In addition, Thomas Weber from CEPT requested if possible the Technical Report TO be drafted following the System Reference Document template (see ETSI EG 201788) to be even more effective. This was agreed by the rapporteur N. Chuberre (TAS).

Below, an extract from the TC-SES#82 meeting report (reference: SES(12)000148_Draft_Meeting_report) is provided:

“4.2 Potential new work items

4 new work items were proposed:

- *Revision of EN 301 426 V1.2.1 to add extended L band frequencies.*
- ***Creation of a TR on « Cognitive radio techniques for Satellite Communications »***
- *Creation of a TR on « Environmental impact of Satellite broadband network »*
- *Creation of a TR on « Hybrid satellite/terrestrial network architecture for high speed broadband access », See SES(12)00145 for back ground information*

The new work items were approved as they appear respectively in doc SES(12)143, SES(12)83rev2, SES(12)119rev3 and SES(12)120rev3 with the following remarks:

§ For the first one, a maximum EIRP will be defined for the terminals at the request of OFCOM (CH).

§ For the second one, the schedule was accelerated upon request from the chairman given that there seems to be momentum on the subject. Iteration with CEPT is expected during the elaboration of the TR therefore it may be considered to produce an ETSI SRDoc (system reference document)”

- Furthermore, TAS attended the ETSI workshop on Reconfigurable Radio Systems on December 12, 2012 in Cannes that showed that shared spectrum is high on the agenda of the European Commission, CEPT, ETSI, as well as the industry. TAS raised awareness about the CoRaSat project and the recent approval of a WI on Cognitive Radios for SatCom in ETSI to the following persons, which all expressed high interest and are keen to be kept informed about the CoRaSat progress:
 - Andreas Geiss, Deputy Head of Unit - Spectrum Policy DG CONNECT European Commission: He will take contact with Pertti Jauhiainen to monitor the CoRaSat project.
 - Michael Gundlach, Senior Standardization Expert (NSN); Chair of IEEE1900.6a (Standard for Spectrum Sensing Interfaces and Data Structures for Dynamic Spectrum Access and other Advanced Radio Communication Systems Amendment): Michael is keen to provide elements about the standardisation progress at IEEE DySPAN SC on Cognitive Radio systems.
 - Markus Mueck, Intel, ETSI TC RRS Chairman, indicated its interest to review the Technical Report.
 - Paulo Marques, Coordinator of the FP7 ICT CRS-I (Cognitive Radio Standardization-initiative) Coordination Action which aims at coordinating and supporting existing and future FP7 projects and to facilitate the exploitation of their results by establishing a concentrated approach to Cognitive Radio Systems standardization. Paulo will reserve a slot for CoRaSat in a workshop to be organised during the FUNEMS 2013 in Portugal.
- During ETSI/TC SES/SCN#5 meeting, TAS presented a Table of Content for the Technical Report for WI DTR/SES-00343 “Cognitive radio techniques for Satellite Communications”.

An extract from the SCN#5 meeting report (reference: SESSCN(13)00022_SCN_5_meeting_report) is provided in the following:

“6.2.3 Cognitive radio techniques for Satellite communication systems

Thales presented a template for the System Reference document following the EG 201788 produced by TC-ERM.

At this stage it is not decided whether a multi part document shall be developed for the different system scenarios.

A liaison statement to TC-ERM shall be considered when early draft will be available to inform about this SRD on « Cognitive radio techniques for Satellite Communications ».

It was suggested to take into considerations

- *the Report ITU-R SM.2152 Definition of Software Defined Radio (SDR) and Cognitive Radio Systems (09/2009)*
- *the Report ITU-R M.2117 Software defined radio in the land mobile amateur and amateur satellite services*

The CoRaSat Consortium agreed that the SRDoc objectives are the following:

- To demonstrate the added value of using cognitive radio techniques to ensure exploitation of the shared bands (e.g., 17.7 – 19.7 GHz) by SatComs.
- To identify the type of protection from competing services necessary to improve SatCom performance (e.g., FS).

The project then decided

- To exclude from the SRdoc CoRaSat scenarios having no or limited regulatory impact.
 - To give priorities to Ka-band system scenarios
- During the ETSI/TC SES/SCN#6 meeting, TAS presented an updated version of the System Reference document on Cognitive Radio techniques for Satellite Communication systems incorporating contributions to the market and technical information sections. Relying on the outcomes of the WP2, namely on the market analysis (WP2.1), on the analysis (WP2.2) as well as on the analysis of the CR technology frame work (WP2.3), a contribution to the ETSI SRDoc on Cognitive radio techniques for SatCom has been further elaborated.
 - During ETSI/TC SES/SCN#7 meeting, a new version of the SRdoc on Cognitive radio techniques for SatCom was presented incorporating definition of the general approach to the assessment of Cognitive radio techniques gain in the context of SatCom operating in Ka band and definition of the system scenarios and the Key Performance Indicators as well as some information about the frequency band status provided by Thomas Weber from European Communication Office.
 - Following a transfer of the Work Item to TC-ERM, the document was presented to ETSI TC-ERM on 27th March (by conference call, see doc ERM(14)52_055r1, 56r2 and 105r1). An ETSI enquiry process was started in April 2014.
 - The document was then sent to CEPT WG FM44 where it was reviewed on 10th June 2014.
 - A liaison statement was prepared by the CEPT FM44 WG under reference ECC FM44(14)024. It was addressed as ETSI SES(14)036 during the TC-SES meeting held on 19th June 2014. The main comments from CEPT WG FM44 were
 - Scenario A (17.3-17.7 GHz)
 - The WGFM has currently no work item for this frequency band. Therefore if action is required from CEPT, ETSI shall formalize such a request in the final version of the SRdoc.
 - Scenario B (17.7-19.7 GHz)
 - WG FM has an active work item dealing with enhanced operating conditions in the band 17.7-19.7 GHz for uncoordinated earth stations. ECC/DEC(00)07 is being revised in this occasion and a report is being developed. For your

information, this work is expected to be finalized by May 2015. If new information from ETSI has to be taken into account on this topic, it should preferably be conveyed to the responsible group (WGFM44) before the end of 2014.

- Scenario C (27.5-29.5 GHz)
 - ECC/DEC(05)01 identifies portions of the band for FS use. In the further elaboration of the SRdoc, the identified options shall not introduce constraints on the existing and future deployment of FS in these bands.
 - It is expected that a similar software approach than for the 17.7-19.7 GHz band could be investigated.
- The SRDoc has been published by ETSI and is attached to this document [2].

3.2 IEEE

Representatives of the project (TAS and UniS) participated to a Workshop on Radio Access and Spectrum chaired by Paulo Marques (Instituto de Telecomunicações, Portugal) and organised during the EuCNC conference in Bologna last week of June 2014.

During this workshop the following presentations relevant to CoRaSat were made

- FP7 SOLDIER intermediate results and standardization strategy, Oliver Holland (King's College London, United Kingdom)
- FP7 CORASAT intermediate results and standardization strategy: Cognitive Radio Techniques in Ka Band SatCom Context, Nicolas Chuberre (Thales Alenia Space, France)

CoRaSat presentation triggered interaction with Oliver Holland, very much involved in IEEE (Technical Editor of the 1900.6a standards) who invited to consider the standardisation activity of IEEE DySpan-SC selected Working Groups.

Table 1 - IEEE 1900.6 Working Group

Work Group	Scope	Organisation	Status
1900.6	Spectrum Sensing Interfaces and Data Structures for Dynamic Spectrum Access and other Advanced Radio Communication Systems	Chair: Dr. Michael Gundlach, Nokia Siemens Networks (Germany) Secretary: HaNguyen Tran, NICT (Japan)	

Oliver Holland then invited the CoRaSat project to consider the applicability of the TVWS data based structure defined in the WG 1900.6 to the context of CR for Satellite Comlunications. In particular the following standards shall be considered:

- 1900-6-2011 covers the procedures and protocols as well as architectures of Spectrum Sensing and databases. It also describes the interfaces between the elements.
- 1900-6a-2014 includes amendments to the 2011 version to cover aspects of wide band sensing, cooperation, updated models, postal addresses as well as GPS, energy efficiency and spectrum mapping.

- 1900-6b a new standard on spectrum data base systems is being developed with a reporting date of June 2017. This includes Spectrum Sensing to support databases, LSA concepts and applications in TVWS and small cells. It also proposes caching of data locally rather than direct data base interrogation.

If the data base structures are relevant for the CR in SatCom context provided some adaptations, they could be incorporated in the 1900-6b standard currently being developed.

4 PLANNED STANDARDISATION ACTIVITIES

In this Chapter, an overview of the standardisation activities foreseen for the third year of the CoRaSat project is provided. This plan is outlined by moving from the results achieved in the first two years and provided in the previous Chapter.

4.1 ETSI

A new work item RTR/ERM-516 “Electromagnetic compatibility and Radio spectrum Matters (ERM); System Reference document (SRDoc); Cognitive radio techniques for Satellite Communications operating in Ka band” has been created by ETSI TC-ERM to update the SRDoc and take into account CEPT feedbacks.

The scope of work to be undertaken will take into account guidance from ECC/CEPT-WGFM PT44 and further develop the document with description of potential solutions.

The project is currently preparing a contribution incorporating the description of selected Cognitive Radio techniques and an assessment of their impact on the system performances.

CoRaSat consortium partners which are also supporting ETSI Member organisations are: TAS (through its THALES affiliations), SES S.A., UNIS (through its CCSR affiliation), UNIBO (through its CNIT affiliation), and SnT - University of Luxembourg.

4.2 IEEE

The project will analyse the data base structure defined in 1900-6b currently being developed and assess its applicability to CR in SatCom context and identify possible needs for modifications/adaptations.

Then contributions to the WG 1900.6 will be envisaged to have the standard become compatible with CR for SatCom.

5 CONCLUSIONS

The project has established the conditions to analyse the Cognitive Radio techniques for Satellite Communications systems operating in Ka-band in standardisation. In particular, several activities within ETSI and IEEE specific working groups have been initiated during the first two years. The participation to these standardisation studies pushed the CoRaSat project even more towards the definition of a reference System Architecture and the work on databases for sharing non-exclusive frequency bands. This deliverable summarized the activities performed in this context during year 1 and year 2.

Moreover, an outline of the plan for standardisation studies for year 3 is also provided. The aim is to keep progressing towards the main CoRaSat objective, which is to identify the enablers for improving the coexistence between FSS and FS in non-exclusive Ka-band frequencies.

6 DEFINITION, SYMBOLS AND ABBREVIATIONS

2G	Second Generation Mobile Communication
3G	Third Generation Mobile Communication
3GPP	Third Generation Partnership Project
4G	Fourth Generation Mobile Communication
BSS	Broadcast Satellite Services
CR	Cognitive Radio
CENELEC	Centre for Electro Technical Standards
CEPT	Conférence européenne des administrations des postes et télécommunications
DVB	Digital Video Broadcasting
EBU	European Broadcasting Union
ECO	European Communications Office
ETSI	European Telecommunications Standards Institute
FS	Fixed Services
FSS	Fixed Satellite Services
MS	Mobile Services
NCC	Network Control Centre
RF	Radio Frequency
SatCom	Satellite Communications
TC-ERM	Technical Committee – EMC and Radio Spectrum Matters
TC-SES	Technical Committee – Satellite Earth Stations and Systems
TVWS	TV White Space

7 REFERENCES

- [1] Corasat (COgnitive RADios for SATellite Communications), FP7 ICT STREP Grant Agreement n. 316779, Annex I, Description of Work (DOW), 2012-06-29
- [2] ETSI TR 103 263 “Electromagnetic Compatibility and Radio Spectrum Matters (ERM); System Reference document (SRdoc); Cognitive radio techniques for Satellite Communications operating in Ka-band,” available at:
http://www.etsi.org/deliver/etsi_tr/103200_103299/103263/01.01.01_60/tr_103263v010101p.pdf

8 DOCUMENT HISTORY

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1	0	4/11/2014	First Release to the European Commission	TAS



TECHNICAL REPORT

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Foreword

This Technical Report (TR) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM).

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**may not**", "**need**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Executive summary

As the Internet traffic grows, Broadband satellite systems have to increase their capacity. Beyond space segment performance upgrade, additional spectrum is needed. Ka band is the preferred frequency band for such network. It includes exclusive spectrum allocation to FSS as well as spectrum shared between FSS and other services among which FS or FSS feeder links for BSS.

Until now, the risk associated to the use of these shared bands may have discouraged its full exploitation by satellite systems.

Cognitive radio techniques may help to minimize this risk under appropriate operational and regulatory conditions.

The present document provides an overview of typical Broadband Satellite systems targeting the Ka band shared between FSS and other services, the related market data and spectrum regulation context.

It then analyses the co-existence scenarios of FSS with FS or FSS feeder links for BSS, the enabling Cognitive Radio techniques as well as operational and regulatory conditions for a safer use of the shared spectrum.

Introduction

The present document has been developed to support the co-operation between ETSI and the Electronic Communications Committee (ECC) of the European Conference of Post and Telecommunications Administrations (CEPT).

Flexible spectrum utilization is a surging trend for the optimized exploitation of spectrum resources, and the cognitive approach has already demonstrated its potential for terrestrial systems, but not yet in the SatCom domain. However, SatCom are fundamental to achieve the challenging objectives of fast broadband access for everyone by 2020: their inherent large coverage footprint makes them the most suitable access scheme to reach those areas where deployment of wired and wireless networks is not economically viable.

The Cognitive Radio (CR) paradigm has been identified as a promising solution to conciliate the existing conflicts between spectrum demand growth and spectrum underutilization, and increase the overall efficiency of spectrum exploitation.

It is worth mentioning the 03-September-2012 Communication (2012) 478 [i.8] from the European Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions on the promotion of the shared use of radio spectrum resources in the internal market. This communication provides clear guidance on the ways the technology research can help the compliance of the policy objectives.

Furthermore, in 2011, the Radio Spectrum Policy Group (European Commission) issued a *Report on Collective Use of Spectrum* that noted the high demand for shared use [i.1]. The RSPG stated that: "*there is a need to progress further on appropriate regulatory mechanisms in regard to sharing of spectrum*". The key challenge for National Radio Authorities is to find appropriate ways to authorize *shared spectrum access* to a band, i.e. to allow two or more users to use the same frequency range under a defined sharing arrangement.

This justifies the relevance of the present document that analyses the potential of CR concepts in satellite networks context, in order to improve coexistence scenarios in selected spectrum allocated to SatCom services. It has been largely drafted with the support of the EU funded project CoRaSat (see [i.2]).

1 Scope

The present document identifies the potential regulatory impacts associated to the operation of SatCom solutions implementing cognitive radio techniques. In particular it addresses different scenarios in Ka band (17,3 GHz - 20,2 GHz for space to earth and 27,5 GHz - 30,0 GHz for earth to space) where the satellite communication service should not create any harmful interference to another incumbent whether terrestrial or satellite service entitled to use the same spectrum on a primary basis. It includes in particular:

- market information;
- technical information (including expected sharing and compatibility issues);
- regulatory issues.

The present document will also identify the additional ETSI standards that have to be created or changed for enabling this kind of architectures.

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

2.1 Normative references

The following referenced documents are necessary for the application of the present document.

Not applicable .

2.2 Informative references

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Point Topic: "BB-MED TN3.1, Expected Broadband demand in 'ESA Study Countries' in 2020", March 2012.
- [i.2] COM(2010) 245: "A Digital Agenda for Europe, European Communication", Brussels, 19.05.2010.
- [i.3] ETSI EN 302 307 (V1.2.1): "Digital Video Broadcasting (DVB); Second generation framing structure, channel coding and modulation systems for Broadcasting, Interactive Services, News Gathering and other broadband satellite applications (DVB-S2)".
- [i.4] ETSI TS 101 545-1 (V1.1.1): "Digital Video Broadcasting (DVB);Second Generation DVB Interactive Satellite System (DVB-RCS2);Part 1: Overview and System Level specification".
- [i.5] ETSI EN 301 545-2 (V1.1.1): "Digital Video Broadcasting (DVB); Second Generation DVB Interactive Satellite System (DVB-RCS2); Part 2: Lower Layers for Satellite standard".
- [i.6] ETSI TS 101 545-3 (V1.1.1): "Digital Video Broadcasting (DVB);Second Generation DVB Interactive Satellite System (DVB-RCS2); Part 3: Higher Layers Satellite Specification".

[i.7] BATS Project.

NOTE: Available at: <http://www.batsproject.eu/>.

[i.8] COM(2012) 478: "Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions. Promoting the shared use of radio spectrum resources in the internal market.

NOTE: Available at http://ec.europa.eu/information_society/policy/ecomm/radio_spectrum/document_storage/com/com-ssa.pdf.

[i.9] ECC Report 152 (September 2010): "The use of the frequency bands 27.5-30.0 GHz and 17.3-20.2 GHz by satellite networks".

[i.10] Report Recommendation ITU-R SM.2152 (09/2009): "Definition of Software Defined Radio (SDR) and Cognitive Radio Systems (CRS)".

[i.11] ERC Report 099: "The analysis of the coexistence of two FW A cells in the 24.5-26.5 GHz and 27.5 - 29.5 GHz bands".

[i.12] ECC Report 32 (Oct 2003) "Mechanisms to improve co-existence of Multipoint (MP) systems".

[i.13] CEPT/ERC Report 25: "The European Table of Frequency Allocations and Utilisations Covering the Frequency Range 9 kHz to 275 GHz: Lisboa January 2002 - Dublin 2003 - Turkey 2004".

[i.14] ECC Report 76 (Feb 2006): "Cross-border coordination of multipoint fixed wireless systems in frequency bands from 3.4 GHz TO 33.4 GHz".

[i.15] Radio Regulations, ITU-Rs incorporated by reference, Edition of 2012.

[i.16] Recommendation ITU-R SF.1719: "Sharing between point-to-point and point-to-multipoint fixed service and transmitting earth stations of GSO and non-GSO FSS systems in the 27.5-29.5 GHz band".

[i.17] ETSI EN 301 459 (V1.3.1): "Satellite Earth Stations and Systems (SES); Harmonized EN for Satellite Interactive Terminals (SIT) and Satellite User Terminals (SUT) transmitting towards satellites in geostationary orbit in the 29,5 GHz to 30,0 GHz frequency bands covering essential requirements under article 3.2 of the R&TTE Directive".

[i.18] Recommendation ITU-R S.580: "Radiation diagrams for use as design objectives for antennas of earth stations operating with geostationary satellites".

[i.19] Recommendation ITU-R S.465: "Reference radiation pattern of earth station antennas in the fixed-satellite service for use in coordination and interference assessment in the frequency range from 2 to 31 GHz".

[i.20] Recommendation ITU-R F.758-5: "System parameters and considerations in the development of criteria for sharing or compatibility between digital fixed wireless systems in the fixed service and systems in other services and other sources of interference".

[i.21] ETSI TR 102 243: "Fixed Radio Systems; Representative values for transmitter power and antenna gain to support inter- and intra-compatibility and sharing analysis; Part 1: Digital point-to-point systems".

[i.22] Recommendation ITU-R F.699-7: "Reference radiation patterns for fixed wireless system antennas for use in coordination studies and interference assessment in the frequency range from 100 MHz to about 70 GHz".

[i.23] ERC/REC(01)03: "European Radiocommunications Committee (ERC) within the European Conference of Postal and Telecommunications Administrations (CEPT); ERC Recommendation (01)03; use of parts of the band 27.5-29.5 GHz for Fixed Wireless Access (FWA)".

- [i.24] ETSI EN 302 217-2-2: "Fixed Radio Systems; Characteristics and requirements for point-to-point equipment and antennas; Part 2-2: Digital systems operating in frequency bands where frequency co-ordination is applied; Harmonized EN covering the essential requirements of article 3.2 of the R&TTE Directive".
 - [i.25] ETSI EN 303 978: "Satellite Earth Stations and Systems (SES); Harmonized EN for Earth Stations on Mobile Platforms (ESOMP) transmitting towards satellites in geostationary orbit in the 27,5 GHz to 30,0 GHz frequency bands covering the essential requirements of article 3.2 of the R&TTE Directive".
 - [i.26] ETSI EN 301 360: "Satellite Earth Stations and Systems (SES); Harmonized EN for Satellite Interactive Terminals (SIT) and Satellite User Terminals (SUT) transmitting towards geostationary satellites in the 27,5 GHz to 29,5 GHz frequency bands covering essential requirements under article 3.2 of the R&TTE Directive".
 - [i.27] ETSI EN 301 359: "Satellite Earth Stations and Systems (SES); Satellite Interactive Terminals (SIT) using satellites in geostationary orbit operating in the 11 GHz to 12 GHz (space-to-earth) and 29,5 GHz to 30,0 GHz (earth-to-space) frequency bands".
 - [i.28] ETSI EN 301 358: "Satellite Earth Stations and Systems (SES); Satellite User Terminals (SUT) using satellites in geostationary orbit operating in the 19,7 GHz to 20,2 GHz (space-to-earth) and 29,5 GHz to 30 GHz (earth-to-space) frequency bands".
 - [i.29] ERC/DEC(00)07: "ERC Decision of 19 October 2000 on the shared use of the band 17.7 - 19.7 GHz by the fixed service and Earth stations of the fixed-satellite service (space-to-Earth)".
 - [i.30] CEPT Decision ECC/DEC/(05)01: "The use of the band 27.5-29.5 GHz by the Fixed Service and uncoordinated Earth stations of the Fixed-Satellite Service (Earth-to-space)".
 - [i.31] ECC/DEC(05)08: "The availability of frequency bands for high density applications in the Fixed-Satellite Service (space-to-Earth and Earth-to-space)".
 - [i.32] ECC Report 184: "The Use of Earth Stations on Mobile Platforms Operating with GSO Satellite Networks in the Frequency Range 17.3-20.2 GHz and 27.5-30.0 GHz".
 - [i.33] ERC Recommendation T/R 13-02: "Preferred channel arrangements for fixed service systems in the frequency range 22.0 - 29.5 GHz".
 - [i.34] ECC Report 198 (May 2013): "Adaptive modulation and ATPC operations in fixed point-to-point systems - Guideline on coordination procedures".
 - [i.35] ECC FM(13)126 (6 August 2013): "Summary of the WGFm Questionnaire on the 17.7-19.7 GHz Fixed Service".
 - [i.36] ECC FM44(11)039rev2 (1 November 2011): "Questionnaire to administrations on the FS use of the 28.8365-28.9485 GHz band".
 - [i.37] ECC Report 173 (04/04/2012): "Fixed Service in Europe".
 - [i.38] Recommendation ITU-R F.1245-2: "Mathematical model of average and related radiation patterns for line-of-sight point-to-point fixed wireless system antennas for use in certain coordination studies and interference assessment in the frequency range from 1 GHz to about 70 GHz".
 - [i.39] "Demography report 2010", March 2011, Eurostat, ©European Union.
 - [i.40] CEPT, FM44: "Responses of FS use of 28 GHz".
- NOTE: Available at: [Responses of FS use of 28 GHz](#).
- [i.41] Decision D-OCG 21/3.

3 Definitions, symbols and abbreviations

Where possible, definitions from the ITU Radio Regulations [i.15] should be used. If there is not a definition in the ITU Radio Regulations [i.15], wherever possible, existing definitions in the [ETSI TEDDI](#) should be used rather than creating new ones (see Decision D-OCG 21/3 [i.41]).

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

centralized reference database: structured set of records which describe the RF transmitters characteristics of the incumbent and the cognitive radio systems

EXAMPLE: Geographical location, frequency band, EIRP, bandwidth, azimuth/elevation of the main lobe.

Cognitive Radio System (CRS): employing technology that allows the system to obtain knowledge of its operational and geographical environment, established policies and its internal state; to dynamically and autonomously adjust its operational parameters and protocols according to its obtained knowledge in order to achieve predefined objectives; and to learn from the results obtained Report Recommendation ITU-R SM.2152 [i.10].

frequency sharing: sharing of a frequency band between incumbent and cognitive systems

incumbent system: system already deployed and operating in a given frequency band

spectrum sensing: mechanism which characterizes the usage of a frequency band by incumbent systems

EXAMPLE: Time slot, geographical area, frequency carrier, RF power, channel bandwidth, etc.

3.2 Symbols

For the purposes of the present document, the following symbols apply:

f	Frequency
P	Power
R	Distance
t	Time

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ACP	Adjacent channel power
ASI	Adjacent Satellite Interference
BATS	Broadband Access via integrated Terrestrial & Satellite systems
BB-MED	BroadBand Mediterranean Development
BFWA	Broadband Fixed Wireless Access
BSS	Broadcast Satellite Service
BUC	Block Up Converters
CEPT	Conférence Européenne des administrations des Postes et Télécommunications
CR	Cognitive Radio
dB	deciBel
dB _i	decibel relative to an isotropic radiator
DVB-RCS2	Digital Video Broadcasting - Return Channel via Satellite - 2 nd Generation
DVB-S	Digital Video Broadcasting - Satellite
DVB-S2	Digital Video Broadcasting - Satellite - Second Generation
EC	European Community
ECC	Electronic Communications Committee
ECO	European Communications Office
EFIS	ECO Frequency Information System (European Spectrum Information Portal)
EG	ETSI Guide

EIRP	Effective Isotropic Radiated Power
ERC	European Radiocommunications Committee
ESOMP	Earth Station On Mobile Platform
EU	European Union
FM	Frequency Management
FS	Fixed Service
FSS	Fixed Satellite Service
GSO	GeoSynchronous Orbit
GW	GateWay
HD	High Definition
HDFSS	High Density Fixed Satellite Service
HEST	High EIRP Satellite Terminals
HPA	High Power Amplifier
IC	Interference Cartography
ISSN	International Standard Serial Number
ITU	International Telecommunication Union
ITU-R	International Telecommunication Union – Radio Sector
KPI	Key Performance Indicator
LEST	Low EIRP Satellite Terminals
LNB	Low Noise Block
MF-TDMA	Multi-Frequency - Time Division Multiple Access
MS	Mobile Service
MSS	Mobile Satellite Service
MWS	Multimedia Wireless Systems
NCC	Network Control Centre
P2M	Point To Multi point
P2P	Point To Point
PC	Power Control
PT	Project Team
QoS	Quality of Service
QPSK	Quadrature Phase Shift Keying
REM	Radio Environment Map
RF	Radio Frequency
RR	Radio Regulation
RSPG	Radio Spectrum Policy Group
SCC	Satellite Control Centre
SCN	Satellite Communications and Navigation
SD	Standard Definition
SIT	Satellite Interactive Terminal
SME	Small Medium Enterprise
SPD	Spectral Power Density
SRR	Short Range Radar
SUT	Satellite User Terminal
TC-ERM	Technical Committee EMC and Radio Spectrum Matter
TC-SES	Technical Committee Satellite Earth Stations and Systems
TDM	Time Division Multiplying
TDMA	Time Division Multiple Access
TM	Transmission Mask
TTC	Telemetry, Tracking & Control
UK	United Kingdom
UT	User Terminal
WGFM	Working Group Frequency Management

4 Comments on the System Reference document

No ETSI members raised any comments.

4.1 Statements by ETSI Members

This version is preliminary. ETSI seeks guidances/feedbacks from CEPT on its content.

5 Presentation of the system or technology

This clause entails high level information such as system description, applications, new technology (if any).

The system scenario refers to the deployment of FSS earth stations in non exclusive Ka frequency band.

It implies the coexistence of a cognitive FSS system together with incumbent systems among which FS or BSS.

The FSS system is assumed to be a geo-satellite system offering broad or multi-spot beam coverage with a frequency re-use scheme. The FSS system is described further in subsequent clause.

6 Market information

The following is extracted from the D2.2 "Broadband Technologies, Capabilities & Challenges" produced by the BATS project [i.7].

"Point Topic produced, within the framework of the European Space Agency's project "BB-MED TN3.1, Expected Broadband demand in 'ESA Study Countries' in 2020", the broadband demand is forecast per country by 2020. Note that this study focused on commercial deployment of broadband; public support was considered separately. One of the key results of this study is that over a 20 % of premises in the current EU27 will either not be covered by or will not take-up a superfast broadband connection (i.e. > 30 Mbps) by 2020."

Figure 1 illustrates the superfast broadband gaps in the EU27 countries by 2020 considering both, the unavailability and the lack of take-up. Note that in many European regions more than the 50 % of households will not subscribe to or lack availability to superfast broadband.

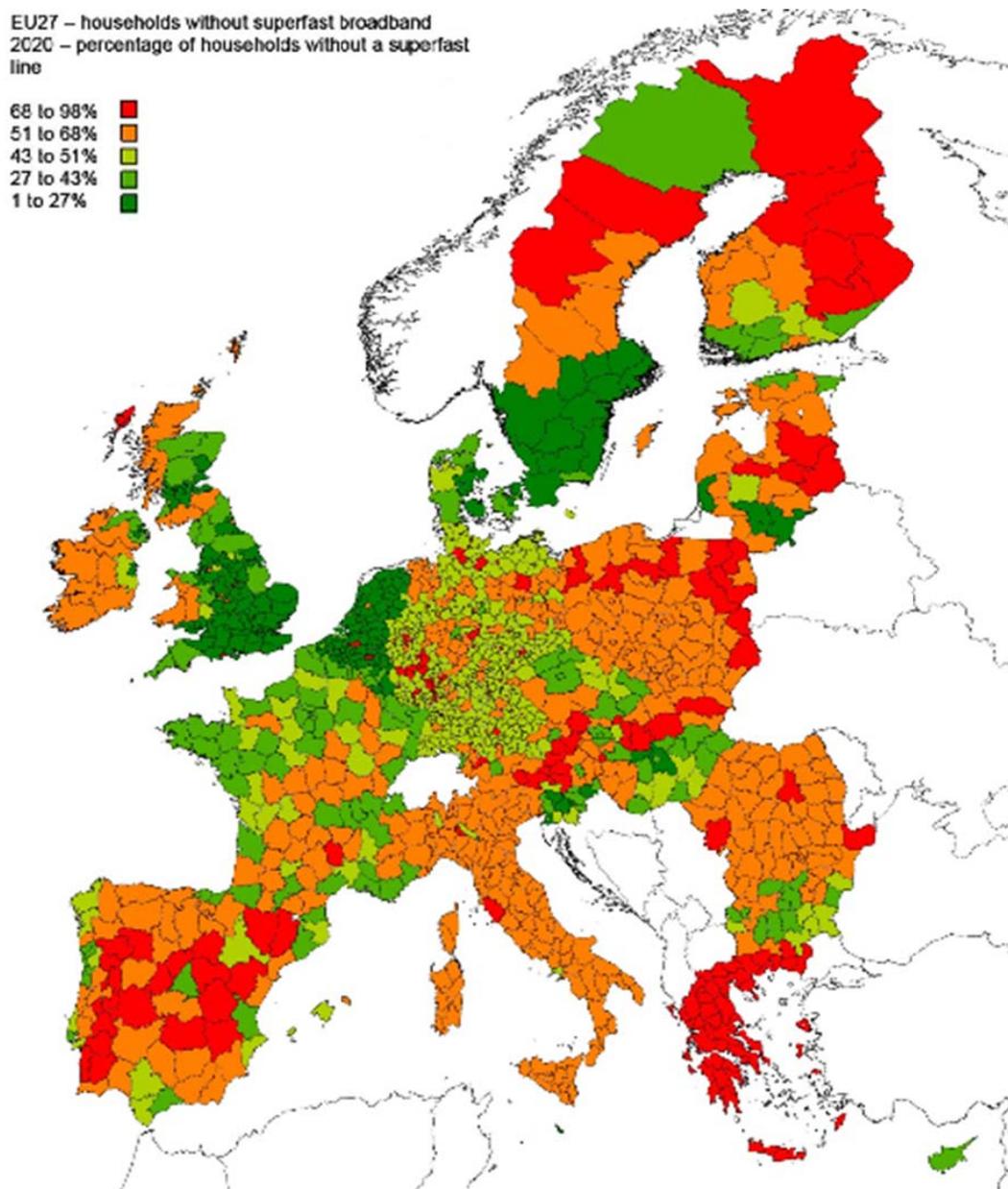


Figure 1: Superfast broadband gaps predicted for 2020 in EU27 countries [i.1]

Figure 2 shows the percentage of households in the EU27 countries and Turkey which predicted to have access to broadband speeds above 30 Mbps by 2020. Densely populated countries like Belgium, Malta, the Netherlands, Sweden and the United Kingdom will be leading in terms of superfast broadband availability. On the other hand, the study suggests that countries especially in the Eastern Europe and the Mediterranean will be still far from achieving the objectives of the Digital Agenda without public intervention. Very few countries will exceed 90 % availability.

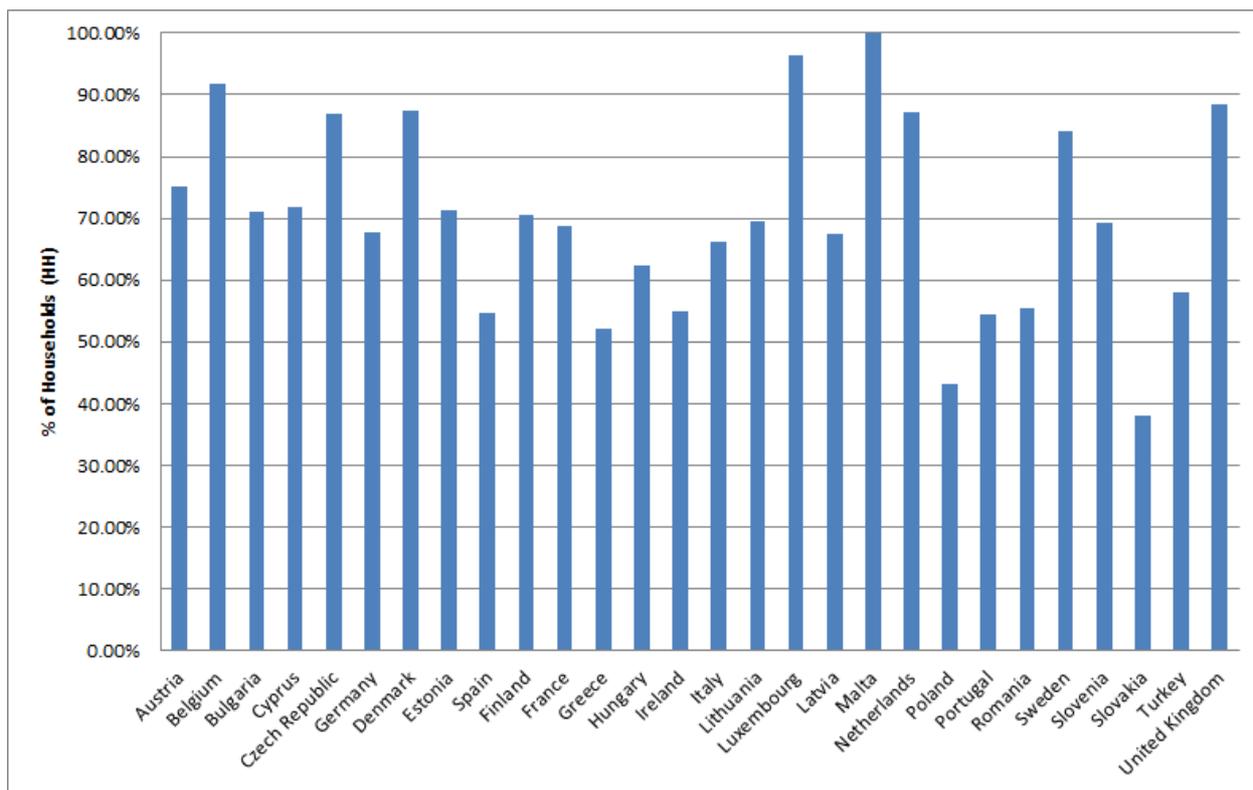


Figure 2: Superfast Broadband Availability by 2020 [i.1]

Figure 3 illustrates the take-up of superfast broadband in the same subset of countries. In other words, it illustrates the percentage of the total number of households in each country which will subscribe to superfast broadband by 2020. From the study data, we can establish that on average superfast broadband will be available to the 67,8 % of households but only the 53,8 % will take up the service.

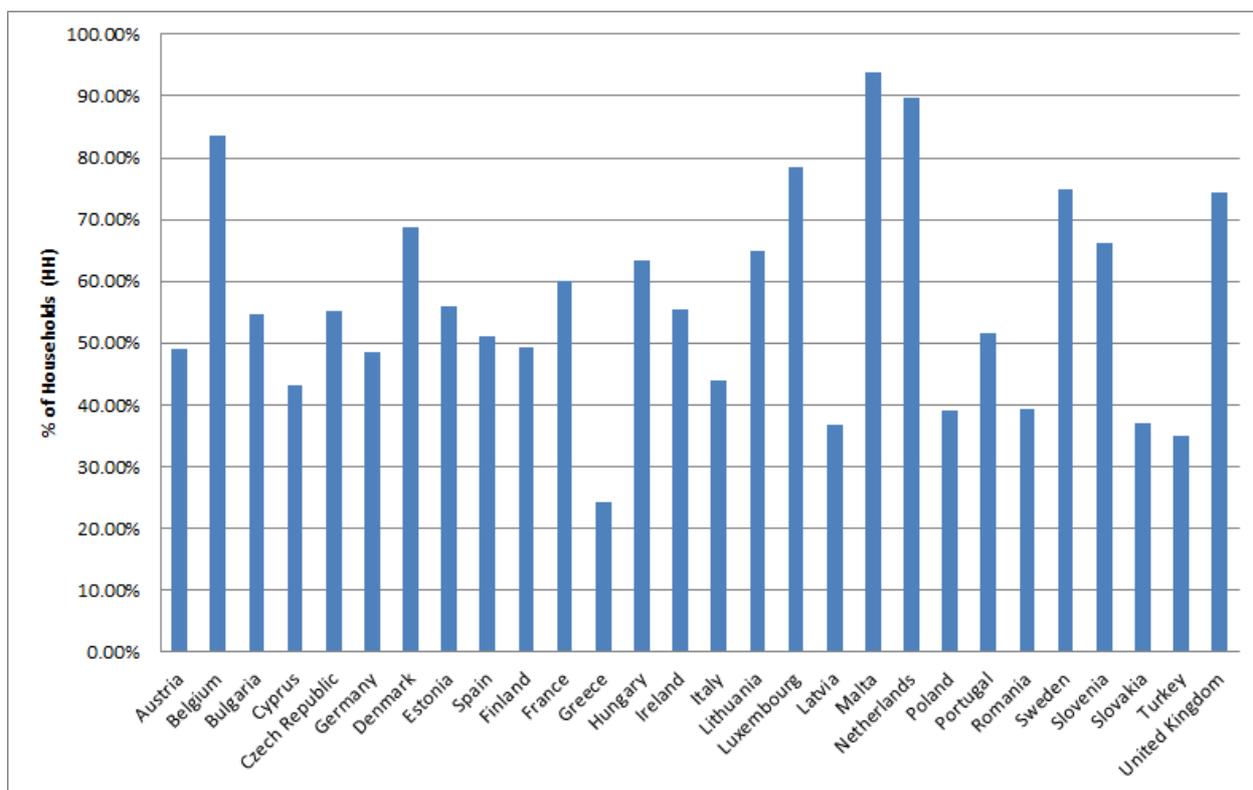


Figure 3: Superfast Broadband Take-up Penetration by 2020 [i.1]

Looking more in detail to satellite broadband service provision, figure 4 shows its addressable market (blue bars) and take-up percentage (red bars) by 2020 as established in [i.1]. In other words, it shows the percentage of households covered by satellite outside fixed and LTE intersection, and the percentage of premises which will subscribe to satellite broadband services. The study states that, in average, the 14,4 % of households in E27 and Turkey will have satellite as the only available technology for contracting broadband services. However, the average percentage of total households which will take up a satellite broadband connection is the 3,72 %, which are mostly located in remote areas.

Assuming 500 M of inhabitants in the Europe Union and an average of 2,4 inhabitants per house holds [i.39], the satellite broadband market potential corresponds to up to ~7,7 M households in Europe and Turkey in un served areas and partly in underserved areas.

Assuming an average number of 1 Million subscribers served per high throughput satellite operating in Ka band and delivering broadband access, the market represents in Europe a potential of several satellites to meet the Digital Agenda policy objective [i.2] that seeks to ensure that, by 2020, all Europeans have access to higher internet speeds of above 30 Mbps (peak rates).

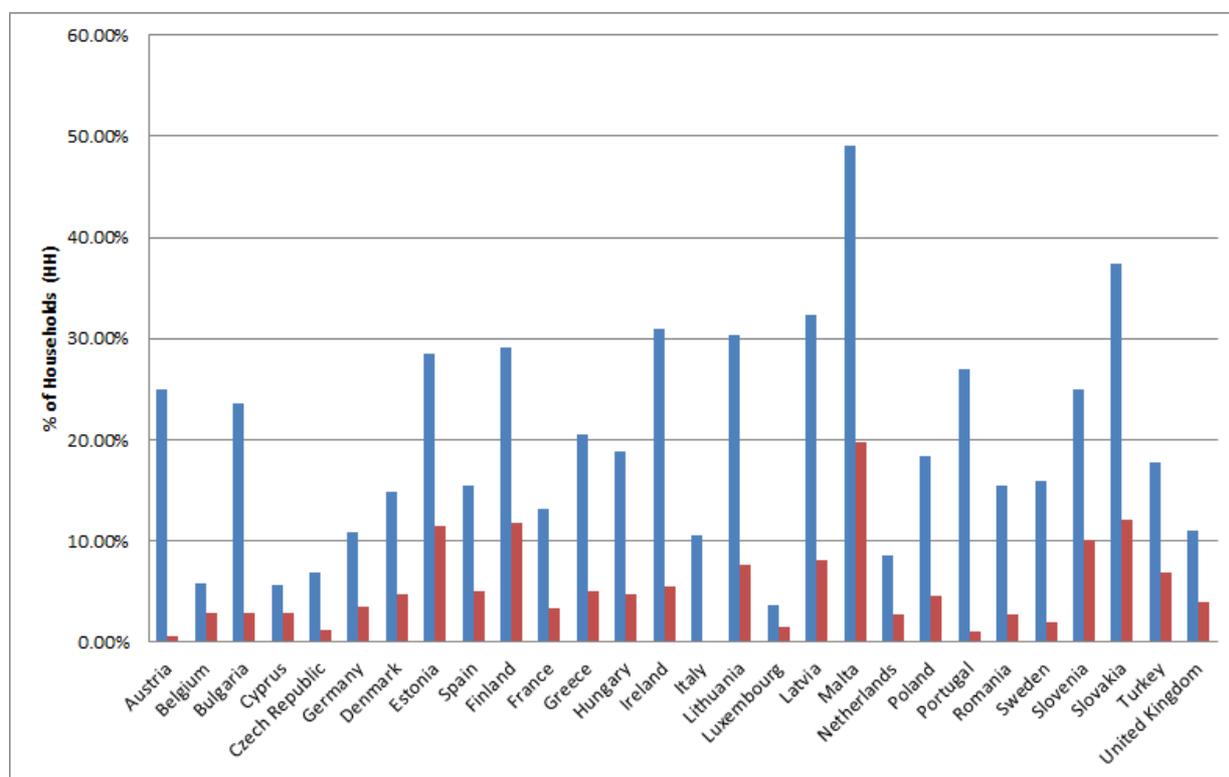


Figure 4: Satellite broadband addressable market (blue) and take-up penetration (red) by 2020

In view of this above market potential and considering the increasing bandwidth demand, there is strong interest to access extra spectrum including the chunks shared with other services. This justifies the need to explore Cognitive radio techniques in SatCom context to allow the exploitation of these shared frequency band with minimum risk of interference.

Furthermore, cognitive radio techniques are expected to have a high potential market since they can be used to alleviate some of the interferences experienced in exclusive FSS allocation:

- Cross Pol (Xpol) Interference.
- Adjacent Satellite Interference (ASI).
- Terrestrial Interference - FS to FSS.
- Earth station interference - Uplink feeder link to FSS.
- Interference to incumbent users 1. - FSS to FS.
- Interference to incumbent users 2. - FSS to BSS feeder link stations.

- Deliberate Interference (Jamming).

Cross Pol (Xpol) Interference: This type of interference is usually caused by incompatible modulation types transmitted in the orthogonal polarization field; poorly aligned antennas; and lack of training/experience of the uplink operators. It is extremely time consuming and labor intensive in both equipment and training. Due to its nature it is expected that Cognitive Radio will provide here only limited benefits.

ASI - Adjacent Satellite Interference: This type of interference is generally accidental, due to operator error, or poor inter-system coordination. Frequently, this can be resolved between the satellite operators. Unfortunately, this type of interference is becoming more prevalent as two degree spacing between satellites in the geostationary arc becomes more common. One main action to minimize is the provision of substantial training session of the installers and the operators. Separately the impacted satellites operators have to validate their EIRP settings to adhere to the specific allowed max. levels. As another main action, the provision of additional options to access further spectrum provided by future Cognitive Radio is understood to be a basis of substantial additional value.

Terrestrial Interference - FS to FSS: This type of interference often caused by terrestrial services to the fixed satellite services is different for the frequency bands, the interference type and highly dependent on the geographic region and the applicable regulatory framework being enforced. It is seen as a very important application for Cognitive Radio solutions with its potential application of dynamic adaptation measures to enhance the availability of satellite transmissions.

Earth station interference - Uplink feeder link to FSS: The feeder link uplink Earth stations (incumbent users) may cause harmful interference to cognitive FSS users in the shared frequency bands.

Interference to incumbent users 1. - FSS to FS: The cognitive FSS users may cause harmful interference to FS services in the shared frequency bands. The FS receiver towers (terrestrial link stations) may receive the FSS signal using the same frequency band and under the usage of the shared common bands.

Interference to incumbent users 2. - FSS to BSS feeder link stations: The cognitive FSS users may cause harmful interference to incumbent users of the bands used by BSS feeder link stations, received by the satellite on this incumbent network and be received as harmful interference by the users of the BSS feeder link network on the downlink.

Deliberate Interference: This sporadic type of interference is usually geopolitically motivated. It is, generally, relatively easy to locate, but almost impossible to remove without political intervention, which can prove difficult.

7 Technical information

ETSI members making comments should endeavour to reach consensus amongst themselves, to minimize the number of comments. If consensus cannot be reached on a clause, then it is divided into two sections: one for the proponents and one for comments on the text of the proponents. Such statements should be clearly attributable to the ETSI member(s) making these statements.

7.1 Detailed technical description

The system analysed in this clause refers to a satellite network operating in the Ka band and providing broadband access to user terminals. It supports a wide range of services among which Internet services (email, file sharing, P2P, P2M, voice and video-conferencing, video download or streaming in SD, HD or 3D format), backhaul services as well as telehealth, elearning and ecommerce and remote monitoring services.

Such network is typically addressing user terminals:

- fixed terminals on the roof of a residential home or a SME premises in rural or remote areas;
- mobile terminals on a mobile platforms such as trains, vessels or aircrafts.

The satellite network provides connectivity between the user terminals and anchor gateways, which are also connected to the Public Internet. An anchor gateway can typically serve up to ten thousands of user terminals (professional market) or up to hundred thousand of terminals (consumer market) in a star topology. The system's geostationary satellite also named "high throughput satellite" typically generates between several tens and several hundred beams to achieve high transmission and reception gains towards the user terminals distributed across its service area. Multi beam coverage allows to implement a frequency re-use scheme which allocate a given frequency band and polarization to a "group" of non-adjacent beams. Typically a frequency re-use factor of 4 is adopted in such multibeam satellite network.

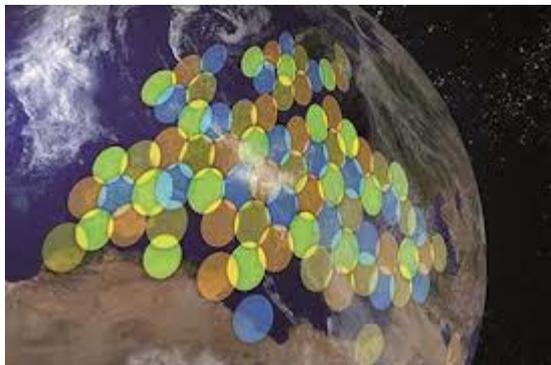


Figure 5: Illustration of a frequency re-use pattern in a multi beam satellite (reuse factor 4)

In order to accompany the ever increasing demand for bandwidth and cost per Mbps reduction, the satellite throughput has to be maximized. This can be achieved by:

- Reduce the beam width, typically well below $0,3^\circ$.
- Increase the frequency band allocated per beam, by using for example non exclusive FSS frequency bands that are shared between FSS and other services (e.g. FS or BSS in this system scenario).
- Efficient waveforms robust towards signal degradation thanks to interference mitigation techniques including ground-based signal processing.

In the document, we assume that the satellite network is based on state of the art radio interfaces, such as:

- Forward link: TDM based DVB-S2 [i.3] and its upcoming evolution DVB-Sx.
- Return link: MF-TDMA based DVB-RCS2 [i.4], [i.5] and [i.6].

(or similar radio interfaces, which operate in a comparable manner and have similar functionality but could use proprietary air interface technologies.)

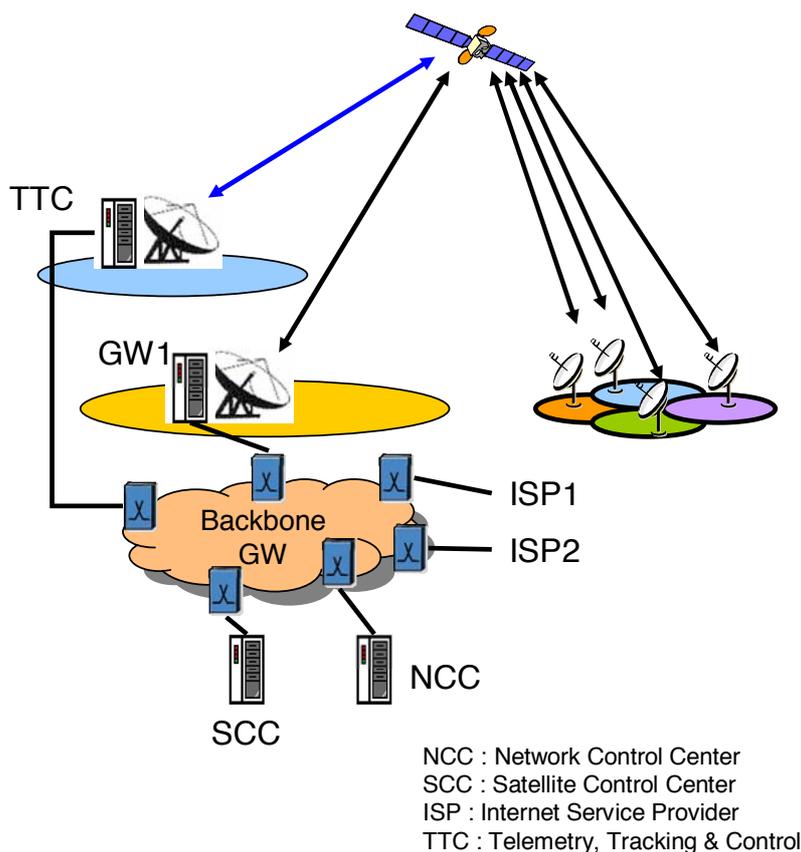


Figure 6: Overall satellite network architecture

As depicted above, the system encompasses:

- A space segment composed by at least one geostationary satellite. Each satellite allows to establish bi-directional links between a set of gateways (GW) and the user terminals, thanks to a set of feeder and user beams.
- A ground segment which includes:
 - A set of anchor gateways which are in charge of transmitting and receiving data, control and management traffic to or from the user terminals.
 - A Telemetry Tracking and Control (TTC) station to transmit and receive information to or from the space segment.
 - A Satellite Control Center (SCC) which aims at monitoring and controlling the space segment.
 - A Network Control Center (NCC) in charge of managing the set of gateways.
- A user segment which is composed of a set of user terminals. The user terminal is connected to a local area network in order to deliver the useful traffic to the end user. Each terminal includes a reception and a transmission RF chains. The size of the terminal dish is typically 75 cm, while its power ranges between 2 and 4 W.

The network connecting the anchor GWs and the user terminals follows a star topology. A backbone network, which is not part of the access network, is in charge of interconnecting the SCC, the NCC, the GWs, the TTC and the Internet Service Providers (ISPs), namely to convey management and control traffics.

A forward (respectively return) link is divided into a feeder (respectively a user) uplink and a user (respectively a feeder) downlink.

We consider 2 possible frequency plans based on a 4 color scheme.

A nominal frequency plan is illustrated in figure 7:

- The **user downlink** is assigned the exclusive FSS band (namely [19,7 - 20,2] GHz) and a portion of the Ka-band spectrum primarily shared with BSS (namely [17,3 - 17,7] GHz) and FS (namely [17,7 - 19,7] GHz). Thus the frequency plan assigned to the user downlink features 2,9 GHz of spectrum on two orthogonal circular polarization. This corresponds to a 1,4 GHz spectrum allocation per beam, according to a regular four-color scheme (including a frequency guard band between 18,7 GHz and 18,8 GHz). This enables an "increase" of the useful spectrum by 5,6 ($= 1,4 / 0,25$ GHz) with respect to systems operating in the exclusive FSS band only.
- Regarding the **user uplink**, the system uses the exclusive FSS band (namely [29,5 - 30] GHz) as well as the band [27,5 - 29,5] GHz shared with FS. Thus the frequency plan assigned to the user downlink features 2,5 GHz of spectrum on two orthogonal circular polarization. This corresponds to a 1,25 GHz spectrum allocation per beam, according to a regular four-color scheme. This enables an "increase" of the useful spectrum by 5 ($= 1,25 / 0,25$ GHz) with respect to systems operating in the exclusive FSS band only.

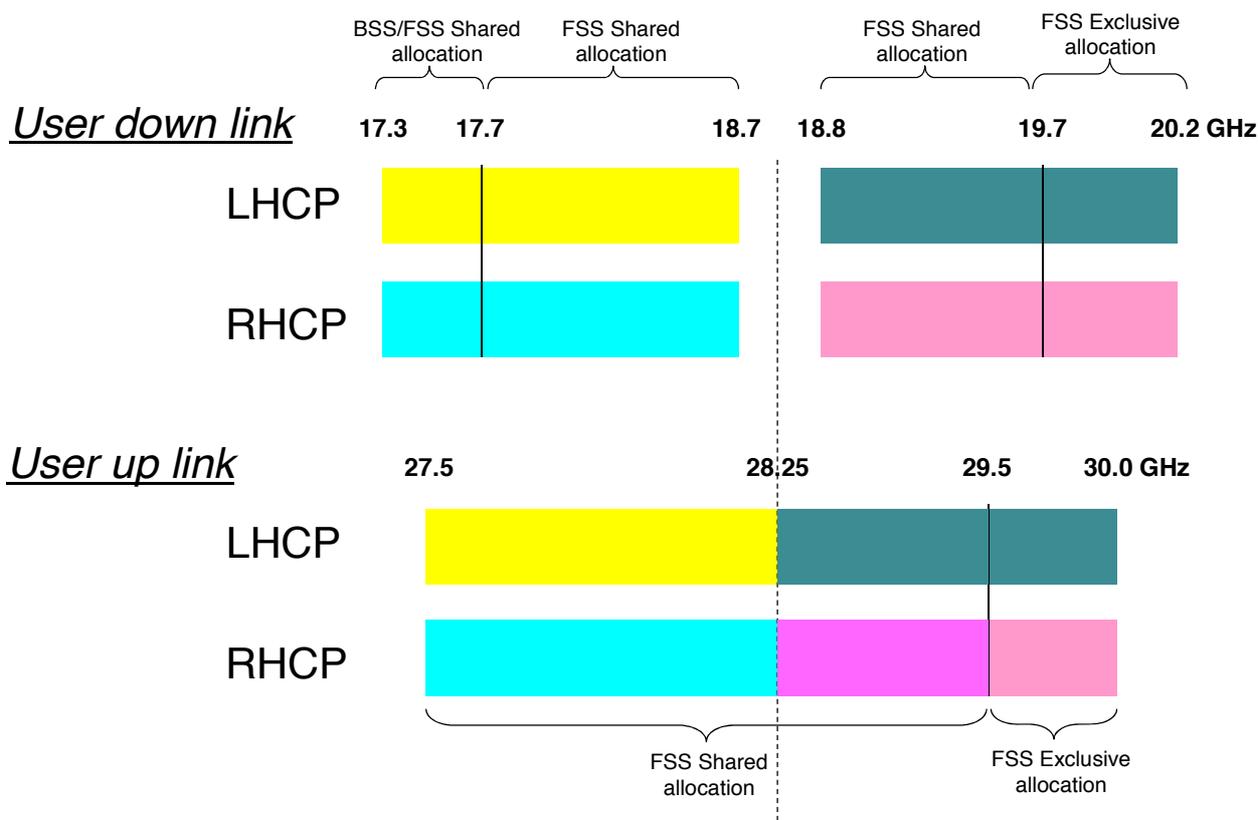


Figure 7: Nominal frequency plan for the FSS satellite system

An alternative frequency plan illustrated in figure 8:

- The **user downlink** is assigned the exclusive FSS band (namely [19,7 - 20,2] GHz) but also a portion of the Ka-band spectrum primarily shared with BSS (namely [17,3 - 17,7] GHz) and FS (namely [17,7 - 19,7] GHz). Thus the frequency plan assigned to the user downlink features 2,9 GHz of spectrum on two orthogonal circular polarization. This corresponds to a 1,4 GHz spectrum allocation per beam, according to a regular four-color scheme (including a frequency guard band between 18,7 GHz and 18,8 GHz). This enables an "increase" of the useful spectrum by 5,6 ($= 1,4/0,25$ GHz) with respect to systems operating in the exclusive FSS band only.
- Regarding the **user uplink**, the system uses the exclusive FSS band (namely [29,5 - 30] GHz) as well as the band [28,4465 - 28,9465] GHz shared with FS. Thus the frequency plan assigned to the user downlink features 1 GHz of spectrum on two orthogonal circular polarization. This corresponds to a 500 MHz spectrum allocation per beam, according to a regular four-color scheme. This enables an "increase" of the useful spectrum by 2 ($= 1 / 0,5$ GHz) with respect to systems operating in the exclusive FSS band only.

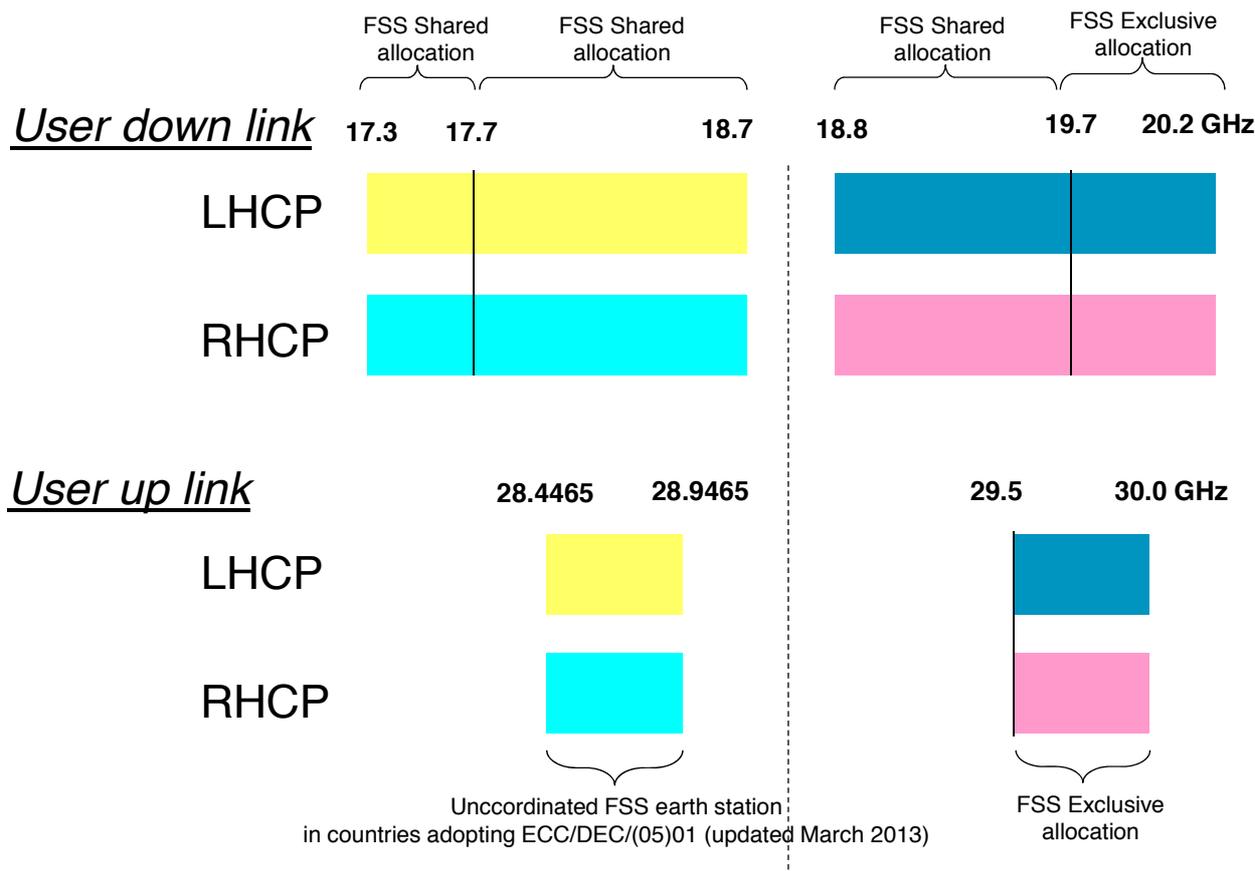


Figure 8: Alternative frequency plan for the FSS satellite system

In both cases, we assume that the feeder link uses spectrum at Q (downlink) and V (uplink) bands. Portions of Ka-band that are not used on the user uplink, could also be used so as to maximize the forward capacity per gateway, and thus reduce the number of gateways.

The use of cognitive radio techniques in the network is expected to allow the use of frequency bands shared with FS and BSS in order to increase the overall system throughput at comparable QoS than a satellite network operating in exclusive FSS bands only.

7.1.1 Principles of cognitive radio techniques for SatCom operating in Ka band

In the Ka band, the following three different Cognitive Radio Techniques can be used for allowing the spectral coexistence of the cognitive FSS system with the incumbent FS/BSS systems:

- (i) **Pre-coordinated areas:** The coexistence mechanism based on pre-coordinated areas is simple and can be applied simply using the prior knowledge about the locations of incumbent terminals, hence no need of creating a complicated database. For example, in rural areas, FS deployment is sparse while the FSS services are more likely to be used in these areas. In this case, one can design simple pre-coordinated areas around the existing FS links beyond which uncoordinated FSS earth stations can be deployed.
- (ii) **FS databases/Exclusion Zones:** Furthermore, database coexistence mechanisms require prior information about the incumbent terminals' locations, directivity, power levels, activity levels, etc. Some of this information can be obtained from regulators/operators and some information may need to be obtained with the help of spectrum sensing. In this context, the database approach could also be used as a preliminary step in order to avoid wideband sensing across large areas. Exclusion Zones can be considered as a simpler method related to the database which only needs to design spatial spectral gaps based on the geographical region. In this approach, optimized FSS channel assignment can be employed based on the accurate calculation of interference based on geographical and spectral distribution i.e., creating an interference cartography (IC) map.

- (iii) Dynamic Frequency Sharing (Sensing/Beamforming): It can be applied by putting intelligence into the FSS terminals in such a way that they can sense interference and adapt transceiver parameters in order to avoid the interference. Dynamic access by the cognitive system can be implemented either using protection through licensing or by continuously monitoring the vacant bands through periodic sensing and adaptation.

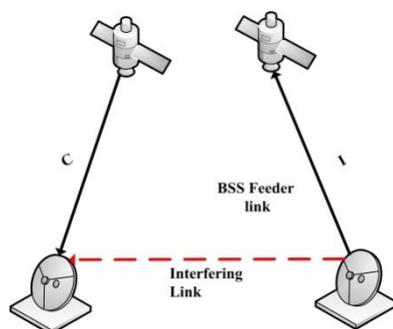


Figure 9: Scenario A

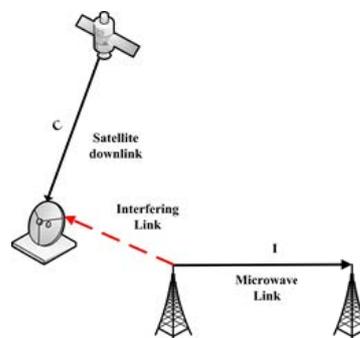


Figure 10: Scenario B

In Scenario A (coexistence of FSS downlink with BSS feeder links in 17,3 GHz - 17,7 GHz), the main issue is the coordination of cognitive FSS terminals with the incumbent BSS uplinks. Since the number of BSS feeder links is limited, for example 5 in UK, accurate information about the BSS feeder links can be easily acquired. In this scenario, dynamic sharing techniques may be redundant. A simple coordination mechanism based on the protection areas can be implemented in order to provide cognitive access to the FSS terminals without causing interference to the BSS system. Furthermore, existing ITU models or their modified versions can be investigated in order design protection zones around the existing BSS feeder stations.

In Scenario B (coexistence of FSS downlink with the FS links in 17,7 GHz - 19,7 GHz), FS databases can be a preliminary step in order to reduce the complexity of wideband sensing across large geographical areas. To establish such a database, a number of parameters of the FS links should be taken into account. These parameters can be used to verify locations at which FSS reception is not going to be interfered by the FS. The FS database information is in this case used to verify whether the FSS location is either at close proximity or inside the FS link and therefore may be subject to interference. Since the number of FS links is larger (estimated over 300 000 FS links in Europe in 2012, according to ECC Report 173 [i.37], and subject to changes over time) in comparison to the BSS feeder links in Scenario A, the feasibility and practical arrangements of obtaining the FS database accurately for the purpose described above needs to be investigated. Furthermore, it is necessary to choose low complexity algorithms and models in order to construct the Radio Environment Map (REM) based on the obtained information. The FS station information itself may not be necessary to be released to the FSS user/operator by the administration and a database user interface may provide the necessary information for a dedicated FSS earth station location in question, thus avoiding data protection and non-public information issues. If there exist clear gaps in the terrestrial channel occupancy, then it would be possible to straightforwardly apply the database approach. However, in practice, all the allocated FS bandwidth may be occupied for most of the time. In this context, another promising technique for avoiding harmful interference is sensing the FS transmission. For this purpose, the knowledge on the characteristics of FS links such as power, directivity and bandwidth is important in order to determine the correct sensing threshold. If prior information about the FS link parameters is not available, one needs to explore blind sensing and avoiding schemes. When a FSS terminal detects interference from the FS transmitters, the FSS system need to apply some cognitive actions such as switching to exclusive bands, resource/carrier allocation techniques or beamforming in order to achieve the desired QoS of the cognitive FSS link. The aforementioned cognitive actions can be selected depending on the allowable complexity level of implementation and desired performance level.

In Scenario C (coexistence of FSS uplinks with FS links in 27,5 GHz - 29,5 GHz), the main issue is the protection of FS receivers from the FSS uplink transmission. In this scenario, the deployment of uncoordinated FSS earth stations is highly unlikely unless some FS band gaps are agreed in advance. For Ka band gateways operating in this band, the coordination process is simpler since they are a few in numbers. However, the main problem arises when there are a large number of FSS user terminals. In this context, advanced models and algorithms need to be developed in order to construct the REM or IC map of FSS reception region based on the available information about the FS links. Consequently, based on the constructed REM and the available database, a fast online coordination mechanism can be implemented for the FSS system in order to protect the incumbent FS receivers. Furthermore, in the regions where FS deployment is sparse, pre-coordinated areas can be investigated in order to deploy the FSS terminals.

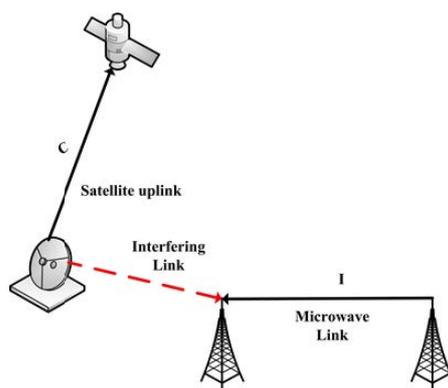


Figure 11: Scenario C

7.2 Technical parameters and implications on spectrum

The list of technical parameters should be sufficiently complete to enable sharing and compatibility studies, if required, to be carried out by CEPT.

7.2.1 Status of technical parameters

7.2.1.1 Current ITU and European Common Allocations

CEPT/ERC Report 25 [i.13], contains the European Common Allocations Table. From Satellite service point of view, we define for the present document the Ka band as:

- 17,3 GHz - 20,2 GHz for space-to-Earth communications.
- 24,65 GHz - 30 GHz for Earth-to-space communications.

These frequency bands, their respective radio service allocations (and footnotes for the bands and allocations) and applications as in the European Common allocation Table are provided in tables 1 and 2.

Table 1

Frequency band (incl. footnotes of CEPT/ERC Report 25 [i.13])	Allocations (incl. footnotes CEPT/ERC Report 25 [i.13])	Applications
17,3 GHz - 17,7 GHz	FIXED SATELLITE (EARTH-TO-SPACE) (SPACE-TO-EARTH) (5.516)	Feeder links Defence Systems
17,7 GHz - 18,1 GHz	FIXED	Feeder links FSS Earth stations Fixed ESOMPs
17,7 GHz - 18,1 GHz	FIXED-SATELLITE (EARTH-TO-SPACE) (5.516)	Feeder links
17,7 GHz - 18,1 GHz	FIXED-SATELLITE (SPACE-TO-EARTH) (5.484A)	Feeder links FSS Earth stations Fixed ESOMPs
18,1 GHz - 18,3 GHz (5.519)	FIXED-SATELLITE (SPACE-TO-EARTH) (5.484A)	ESOMPs Fixed FSS Earth stations Feeder links Weather satellites
18,1 GHz - 18,3 GHz (5.519)	FIXED	ESOMPs Fixed FSS Earth stations Feeder links Weather satellites

Frequency band (incl. footnotes of CEPT/ERC Report 25 [i.13])	Allocations (incl. footnotes CEPT/ERC Report 25 [i.13])	Applications
17,3 GHz - 17,7 GHz	FIXED SATELLITE (EARTH-TO-SPACE) (SPACE-TO-EARTH) (5.516)	Feeder links Defence Systems
18,1 GHz - 18,3 GHz (5.519)	METEOROLOGICAL-SATELLITE (SPACE-TO-EARTH)	ESOMPs Fixed FSS Earth stations Feeder links Weather satellites
18,3 GHz - 18,4 GHz (5.519)	METEOROLOGICAL-SATELLITE (SPACE-TO-EARTH)	FSS Earth stations Feeder links Fixed ESOMPs
18,3 GHz - 18,4 GHz (5.519)	FIXED	FSS Earth stations Feeder links Fixed ESOMPs
18,3 GHz - 18,4 GHz (5.519)	FIXED-SATELLITE (EARTH-TO-SPACE) (5.520)	FSS Earth stations Feeder links Fixed ESOMPs
18,3 GHz - 18,4 GHz (5.519)	FIXED-SATELLITE (SPACE-TO-EARTH) (5.484A)	FSS Earth stations Feeder links Fixed ESOMPs
18,4 GHz - 18,6 GHz	FIXED-SATELLITE (SPACE-TO-EARTH) (5.484A)	ESOMPs Fixed FSS Earth stations
18,4 GHz - 18,6 GHz	FIXED	ESOMPs Fixed FSS Earth stations
18,6 GHz - 18,8 GHz (5.522A)	EARTH EXPLORATION-SATELLITE (PASSIVE)	Passive sensors (satellite) FSS Earth stations Fixed ESOMPs
18,6 GHz - 18,8 GHz (5.522A)	FIXED	Passive sensors (satellite) FSS Earth stations Fixed ESOMPs
18,6 GHz - 18,8 GHz (5.522A)	FIXED-SATELLITE (SPACE-TO-EARTH) (5.522B)	Passive sensors (satellite) FSS Earth stations Fixed ESOMPs
18,8 GHz - 19,3 GHz	FIXED	ESOMPs Fixed FSS Earth stations
18,8 GHz - 19,3 GHz	FIXED-SATELLITE (SPACE-TO-EARTH) (5.523A)	ESOMPs Fixed FSS Earth stations
19,3 GHz - 19,7 GHz	FIXED	Fixed FSS Earth stations ESOMPs
19,3 GHz - 19,7 GHz	FIXED-SATELLITE (SPACE-TO-EARTH) (EARTH-TO-SPACE) (5.523B) (5.523C) (5.523D) (5.523E)	Fixed FSS Earth stations ESOMPs
19,7 GHz - 20,1 GHz	Mobile-Satellite (space-to-Earth)	ESOMPs MSS Earth stations HEST FSS Earth stations LEST
19,7 GHz - 20,1 GHz	FIXED-SATELLITE (SPACE-TO-EARTH) (5.484A) (5.516B)	ESOMPs MSS Earth stations HEST

Frequency band (incl. footnotes of CEPT/ERC Report 25 [i.13])	Allocations (incl. footnotes CEPT/ERC Report 25 [i.13])	Applications
17,3 GHz - 17,7 GHz	FIXED SATELLITE (EARTH-TO-SPACE) (SPACE-TO-EARTH) (5.516)	Feeder links Defence Systems
		FSS Earth stations LEST
20,1 GHz - 20,2 GHz (5.525) (5.526) (5.527) (5.528)	FIXED-SATELLITE (SPACE-TO-EARTH) (5.484A) (5.516B)	MSS Earth stations HEST LEST FSS Earth stations ESOMPs
20,1 GHz - 20,2 GHz (5.525) (5.526) (5.527) (5.528)	MOBILE-SATELLITE (SPACE-TO-EARTH)	MSS Earth stations HEST LEST FSS Earth stations ESOMPs

Table 2

Frequency band (incl. footnotes CEPT/ERC Report 25 [i.13])	Allocations (incl. footnotes CEPT/ERC Report 25 [i.13])	Applications
24,65 GHz - 24,75 GHz	FIXED	SRR Radiodetermination applications BFWA Fixed
24,65 GHz - 24,75 GHz	FIXED-SATELLITE (EARTH-TO-SPACE) (5.532B)	SRR Radiodetermination applications BFWA Fixed
24,75 GHz - 25,25 GHz	FIXED-SATELLITE (EARTH-TO-SPACE) (5.532B)	Fixed BFWA SRR Radiodetermination applications
24,75 GHz - 25,25 GHz	FIXED	Fixed BFWA SRR Radiodetermination applications
25,25 GHz - 25,5 GHz	FIXED	Radiodetermination applications BFWA SRR Fixed
25,25 GHz - 25,5 GHz	INTER-SATELLITE (5.536)	Radiodetermination applications BFWA SRR Fixed
25,25 GHz - 25,5 GHz	MOBILE	Radiodetermination applications BFWA SRR Fixed
25,5 GHz - 26,5 GHz (5.536A)	MOBILE	SRR Space research BFWA Fixed Radiodetermination applications

Frequency band (incl. footnotes CEPT/ERC Report 25 [i.13])	Allocations (incl. footnotes CEPT/ERC Report 25 [i.13])	Applications
25,5 GHz - 26,5 GHz (5.536A)	INTER-SATELLITE (5.536)	SRR Space research BFWA Fixed Radiodetermination applications
25,5 GHz - 26,5 GHz (5.536A)	SPACE RESEARCH (SPACE-TO-EARTH) (5.536C)	SRR Space research BFWA Fixed Radiodetermination applications
25,5 GHz - 26,5 GHz (5.536A)	FIXED	SRR Space research BFWA Fixed Radiodetermination applications
25,5 GHz - 26,5 GHz (5.536A)	Earth Exploration-Satellite (space-to-Earth) (5.536B)	SRR Space research BFWA Fixed Radiodetermination applications
26,5 GHz - 27 GHz (5.536A) (EU27)	Earth Exploration-Satellite (space-to-Earth) (5.536B)	Radiodetermination applications Space research SRR Defence systems
26,5 GHz - 27 GHz (5.536A) (EU27)	FIXED	Radiodetermination applications Space research SRR Defence systems
26,5 GHz - 27 GHz (5.536A) (EU27)	SPACE RESEARCH (SPACE-TO-EARTH) (5.536C)	Radiodetermination applications Space research SRR Defence systems
26,5 GHz - 27 GHz (5.536A) (EU27)	MOBILE	Radiodetermination applications Space research SRR Defence systems
26,5 GHz - 27 GHz (5.536A) (EU27)	INTER-SATELLITE (5.536)	Radiodetermination applications Space research SRR Defence systems
27 GHz - 27,5 GHz (EU27)	INTER-SATELLITE (5.536)	Defence systems
27 GHz - 27,5 GHz (EU27)	MOBILE	Defence systems
27 GHz - 27,5 GHz (EU27)	Earth Exploration-Satellite (space-to-Earth)	Defence systems
27 GHz - 27,5 GHz (EU27)	FIXED	Defence systems
27,5 GHz - 28,5 GHz (5.538) (5.540)	FIXED	Feeder links FSS Earth stations BFWA Fixed ESOMPs
27,5 GHz - 28,5 GHz (5.538) (5.540)	FIXED-SATELLITE (EARTH-TO-SPACE) (5.484A) (5.516B) (5.539)	Feeder links FSS Earth stations BFWA Fixed

Frequency band (incl. footnotes CEPT/ERC Report 25 [i.13])	Allocations (incl. footnotes CEPT/ERC Report 25 [i.13])	Applications
		ESOMPs
28,5 GHz - 29,1 GHz (5.540)	FIXED-SATELLITE (EARTH-TO-SPACE) (5.484A) (5.516B) (5.523A) (5.539)	ESOMPs BFWA Fixed FSS Earth stations Feeder links
28,5 GHz - 29,1 GHz (5.540)	FIXED	ESOMPs BFWA Fixed FSS Earth stations Feeder links
28,5 GHz - 29,1 GHz (5.540)	Earth Exploration-Satellite (Earth-to-space) (5.541)	ESOMPs BFWA Fixed FSS Earth stations Feeder links
29,1 GHz - 29,5 GHz (5.540)	Earth Exploration-Satellite (Earth-to-space) (5.541)	Feeder links FSS Earth stations BFWA ESOMPs Fixed
29,1 GHz - 29,5 GHz (5.540)	FIXED	Feeder links FSS Earth stations BFWA ESOMPs Fixed
29,1 GHz - 29,5 GHz (5.540)	FIXED-SATELLITE (EARTH-TO-SPACE) (5.516B) (5.523C) (5.523E) (5.535A) (5.539) (5.541A)	Feeder links FSS Earth stations BFWA ESOMPs Fixed
29,5 GHz - 29,9 GHz (5.540)	FIXED-SATELLITE (EARTH-TO-SPACE) (5.484A) (5.516B) (5.539)	ESOMPs SIT/SUT HEST LEST MSS Earth stations
29,5 GHz - 29,9 GHz (5.540)	Earth Exploration-Satellite (Earth-to-space) (5.541)	ESOMPs SIT/SUT HEST LEST MSS Earth stations
29,5 GHz - 29,9 GHz (5.540)	Mobile-Satellite (Earth-to-space)	ESOMPs SIT/SUT HEST LEST MSS Earth stations
29,9 GHz - 30 GHz (5.525) (5.526) (5.527) (5.538) (5.540)	MOBILE-SATELLITE (EARTH-TO-SPACE)	FSS Earth stations HEST LEST MSS Earth stations SIT/SUT ESOMPs
29,9 GHz - 30 GHz (5.525) (5.526) (5.527) (5.538) (5.540)	FIXED-SATELLITE (EARTH-TO-SPACE) (5.484A) (5.516B) (5.539)	FSS Earth stations HEST LEST MSS Earth stations SIT/SUT ESOMPs

Frequency band (incl. footnotes CEPT/ERC Report 25 [i.13])	Allocations (incl. footnotes CEPT/ERC Report 25 [i.13])	Applications
29,9 GHz - 30 GHz (5.525) (5.526) (5.527) (5.538) (5.540)	EARTH EXPLORATION-SATELLITE (EARTH-TO- SPACE) (5.541) (5.543)	FSS Earth stations HEST LEST MSS Earth stations SIT/SUT ESOMPs

Figure 12 shows the spectrum allocation for satellite services in Ka band, according to ITU.

ITU Regions corresponds to:

- Region 1: Europe (incl. Russia) and Africa and Arabic Peninsula.
- Region 2: Americas.
- Region 3: Asia Pacific.

ITU has identified specific bands suitable for the deployment of advanced broadband communications in the FSS (see RR footnote 5.516B CEPT/ERC Report 25 [i.13]).

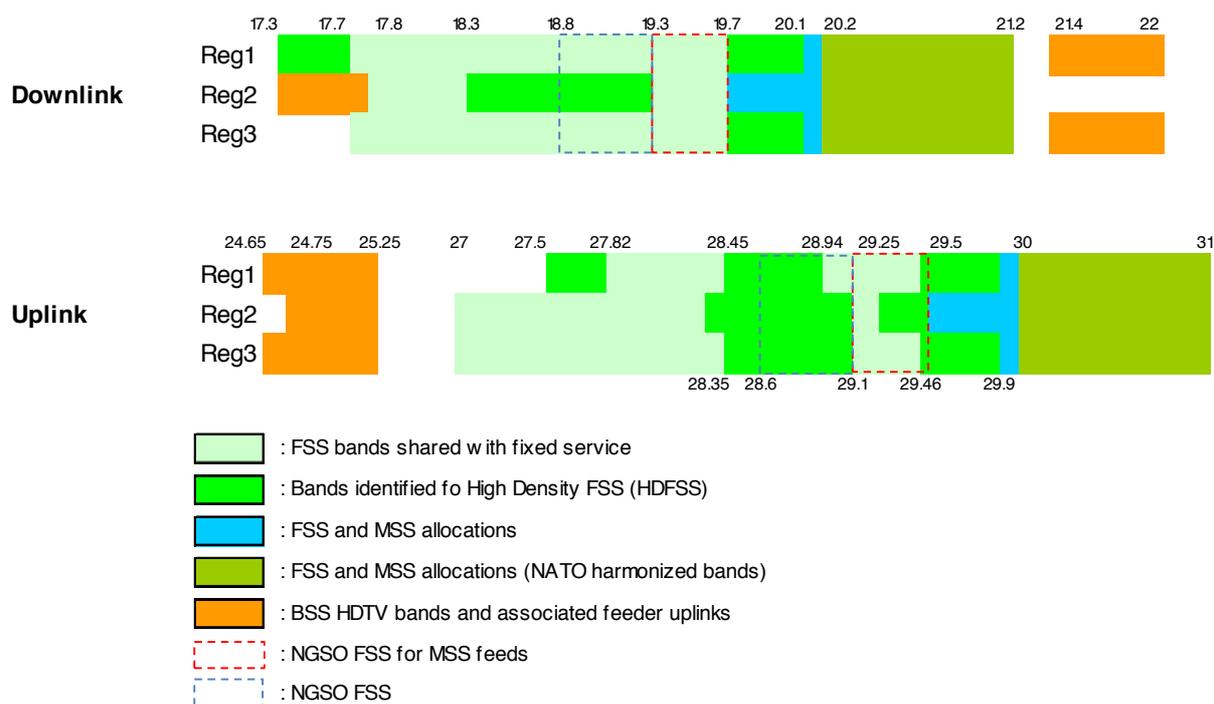


Figure 12: ITU Ka-Band Frequency allocations for satellite services

7.2.1.2 Sharing and compatibility studies (if any) already available

Existing studies in CEPT.

Table 3

Description of document/title	Application
ERC Report 099 on FWA [i.11]	Point-to-Multipoint
ECC Report 32-Improving co-existence Multipoint FS [i.12]	Point-to-Multipoint
ECC Report 76 Cross-Border coordination of Multipoint Fixed Wireless Systems in frequency bands from 3.4 GHz to 33.4 GHz [i.14]	MWS
ECC Report 152-Fixed Satellite Systems [i.9]	FSS Earth stations
ECC Report 184 on the use of ESOMPs operating with GSO Satellite Networks [i.32]	ESOMPs
ECC Report 198 on adaptive modulation and ATPC operations in fixed P-P systems [i.34]	Fixed
Summary of the WGFm Questionnaire on the 17.7-19.7 GHz Fixed Service [i.35]	Fixed
Responses of FS use of 28 GHz [i.40]	Fixed
ECC Report 211 Technical assessment of the possible use of asymmetrical point-to-point links [i.36]	Fixed
ECC Report 173 on Fixed Service in Europe.Current use and future trends post 2011.Excel Worksheet (Inventory & Forecast) [i.37]	Fixed

According to the analysis of allocations in Ka band reported in the clause 7.2.1.1 and the detailed description of the reference satcom system in the clause 7.1, three cases of frequency sharing scenarios with interference issues are identified and are illustrated by figure 13:

- Band [17,3 - 17,7] GHz: frequency sharing between the FSS and BSS. FSS could interfere BSS in certain conditions, but it is a matter of coordination on GSO. Interference from BSS to FSS may limit the use of the shared band by FSS.
- Band [17,7 - 19,7] GHz: frequency sharing between the FSS and the FS. Since the SatCom system is designed so as to yield to Ground Power Flux Density complying with the Article 21 of ITU regulations, no interference from the FSS onto the FS is foreseen. On the contrary interferences stemming from the FS onto the FSS may occur, owing to the following causes:
 - Reception of a FSS signal that overlaps with one of several FS channels.
 - Reception of a FSS signal in a band that is adjacent to one or several FS channels.
 - Saturation of the FSS terminal front-end by one or several FS channels (or BSS channels in the band [17,3 - 17,7] GHz).
- Band [27,5 - 29,5] GHz (nominal frequency plan): frequency sharing between the FSS and the FS. Interferences between FSS and FS may occur in the following circumstances:
 - Sub-bands [27,5 - 27,8285] GHz, [28,4445 - 28,8365] GHz, [29,4525 - 29,5] GHz identified primarily for FSS use as per decides 1 of ECC/DEC(05)01 [i.30]: interference may occur into FS in CEPT countries not implementing the ECC/DEC(05)01, and authorizing the operation of FS links in those bands.
 - Sub-bands [28,8365 - 28,9485] GHz identified primarily for FSS use as per decides 2 of ECC/DEC(05)01 [i.30]: interference may occur into FS in CEPT countries not implementing ECC/DEC(05)01 in the band [28,8365 - 29,9485] MHz, where the FS links licensed in some countries before 18 March 2005 could require protection, but not after 1st January 2020.
 - Sub-bands [28,8285 - 28,4445] GHz, [28,9485 - 29,4525] GHz identified primarily for FS use as per Decides 3 of ECC/DEC(05)01. Interference may occur if FSS earth stations transmit in the vicinity of a FS link receiver operating in the same band. ECC/DEC(05)01 Decides 5 explicitly forbids administrations to authorize uncoordinated FSS transmit stations in this band.
 - The FS/FSS receiver Adjacent Channel Selectivity is not sufficient to remove out-of-band emissions from the FSS/FS station.

- Band [28,4465 - 28,9465] GHz (alternative frequency plan): frequency sharing between the FSS and the FS. Interferences between FSS and FS may occur, only if:
 - CEPT Decision ECC/DEC/(05)01 [i.30] is not implemented in the band [28,8365 - 29,9485] MHz, where the FS links licensed in some countries before 18 March 2005 could require protection, but not after 1st January 2020.
 - The FS/FSS receiver Adjacent Channel Selectivity is not sufficient to remove out-of-band emissions from the FSS/FS station.

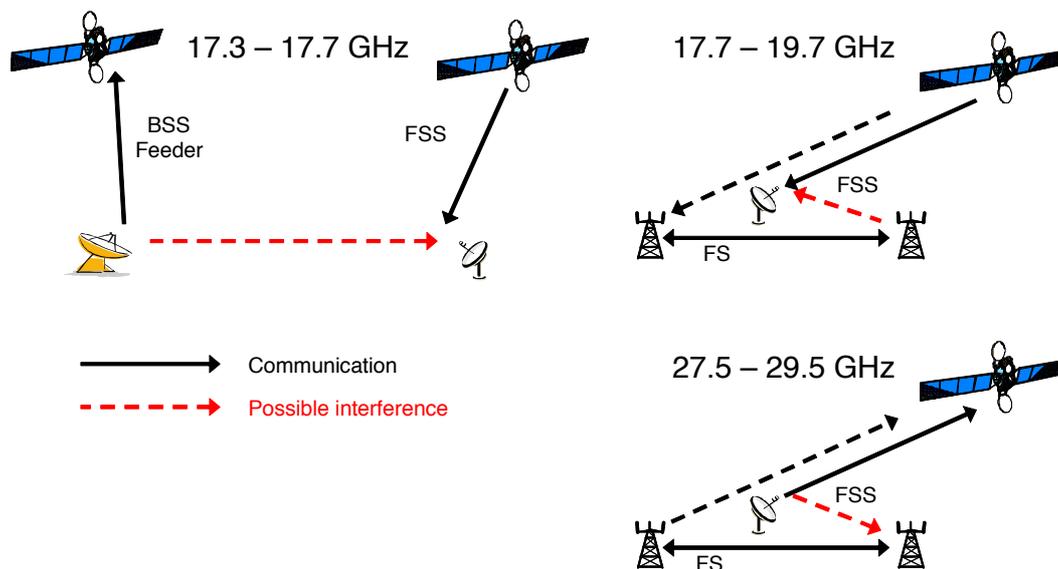


Figure 13: Interference scenarios in Ka band

Available sharing studies are identified below:

Band [17,3 - 17,7] GHz:

In this frequency band, the main sharing issue for FSS receive terminals corresponds to uplink Earth stations used for Feeder-links of BSS systems.

ECC/DEC(05)08 [i.31] is applicable in this band and indicates however that:

- that FSS earth stations transmitting in 17,3 GHz - 17,7 GHz for BSS feeder links are located at a few tens of known locations in CEPT countries.
- that the area around an FSS earth station transmitting in 17,3 GHz - 17,7 GHz for BSS feeder links where interference to an uncoordinated FSS receive earth station may be created is limited to a few tens of kilometres.

Band [17,7 - 19,7] GHz:

Sharing studies have been undertaken in CEPT SE40, and are on-going.

Band [27,5 - 29,5] GHz:

In this frequency band, FS and FSS are co-allocated at the ITU level. In CEPT, a band segmentation scheme has been implemented between FS and uncoordinated Earth stations of the FSS through the adoption of ECC/DEC(05)01, which last revision has been adopted in January 2013.

This decision defines frequency bands where uncoordinated FSS earth stations may be operated, and guard bands with the Fixed Service.

Uncoordinated FSS earth stations should not have their occupied band edges closer than 10 MHz from the edges of the bands identified for use by Terrestrial services (Fixed Service).

Sharing studies were conducted recently between the Fixed Service and ESOMPs. These studies can be found in ECC Report 184 [i.32]. In ITU, a proposed methodology can be found in Recommendation ITU-R SF.1719 [i.16].

7.2.1.3 Sharing and compatibility issues still to be considered

In order to assess the sharing compatibility among terrestrial and satellite systems a proper methodology has to be defined and considered, to compare different sharing techniques.

The sharing of the same frequency band between terrestrial and satellite communication will respect some protection requirements between the two systems. On one hand the incumbent (terrestrial or satellite) communications will be protected from the cognitive (satellite) communications, if active. At the same time, in order to achieve an acceptable reliability, the cognitive (satellite) link will be protected from the presence of any incumbent (terrestrial or satellite) communication. The protection requirements takes into account those defined by ITU-R and ECC.

At the same time the incumbent and cognitive systems respect some emission limits in order to avoid harmful interference towards different users. Mostly emission limits refer to in-band power limit, when the emission limit refers to the power emitted in the used frequency portion, and out of band power limit, when the emission limit refers to the power emitted outside the used frequency portion.

In order to assess the sharing compatibility some input parameters are required. The system parameters refers to those input information to be taken into account for setting up the cognitive system. The system input parameters can be grouped into three main classes: the geographical parameters, the terminal parameters and the radio interface parameters:

- Geographical parameters:

EXAMPLE 1: Coverage and Capture Areas of the incumbent and cognitive systems for a geographical point of view.

- Terminal parameters:

EXAMPLE 2: Locations/elevation/azimuth, antenna patterns, polarization of both the incumbent and the cognitive terminals.

- Radio interface parameters:

EXAMPLE 3: Link budget values, the channel rasters.

System input parameters along with protection requirements and emission limits work as an input for the CR techniques to be used for assuring an effective sharing between satellite and terrestrial components.

The different CR techniques, applied to the scenarios to be taken into account, can be compared by exploiting two main system level KPIs:

- *System Capacity*: The system capacity stands for the overall capacity that the system can support by taking into account both the incumbent and the cognitive systems. On one hand the cognitive techniques would allow to exploit those unused resources by the incumbent system thus increasing the overall system capacity. On the other hand the coexistence between incumbent and cognitive needs to be carefully designed for reducing the mutual interference that could result in no or low gain with respect to the system capacity. The system capacity is a good KPI because allows to compare different cognitive techniques aiming to consider that or those that allow its maximization.
- *Geographical availability*: The geographical availability stands for the overall area where the cognitive system can be implemented subject to the other constraints. This KPI is also function of the incumbent system density, however, given a certain density, higher is the geographical availability higher is the impact of the cognitive systems to the final users. The geographical availability allows to compare different cognitive techniques for each selected scenario with aim of selecting that technique that allow to maximize the area in which the cognitive system can be used.

The assessment of the sharing capability between incumbent and cognitive systems can be summarized by resorting to the definition of 7 cases, listed in table 4.

Table 4

Cases	Interference on DL	Interference on UL	Cognitive radio techniques	Scenarios	
1	Yes	No	No	A & B	DL CR gain
2	Yes	No	Yes	A & B	
3	No	Yes	No	C	UL CR gain
4	No	Yes	Yes	C	
5	Yes	Yes	No	A & B & C	DL & UL CR gain
6	Yes	Yes	Yes	A & B & C	
7	No	No	No	No interference in the shared band	Theoretical reference

Cases 1 and 2 refer to the sharing of a certain frequency band by a cognitive downlink system, where case 1 does not take into account any CR technique. The KPIs measured for the case 2 give an indication of the gain due to the exploitation of the CR techniques.

Cases 3 and 4 refer to the sharing of a certain frequency band by a cognitive uplink system, where case 3 does not take into account any CR technique. The KPIs measured for the case 4 give an indication of the gain due to the exploitation of the CR techniques.

Cases 5 and 6 refer to the sharing of a certain frequency band by a cognitive system operating in both uplink and downlink, where case 5 does not take into account any CR technique. The KPIs measured for the case 6 give an indication of the gain due to the exploitation of the CR techniques.

Case 7 acts as a theoretical reference considering the absence of any interference among incumbent and cognitive systems.

Proposed methods to compute the KPIs.

The aforementioned system-level KPI are computed through a comprehensive analysis that encompasses the service coverage of the system. Indeed the deployment of FS and BSS stations across Europe is strongly heterogeneous. This statement is mostly relevant for FS deployment, while rather few BSS stations are deployed and their footprint is limited with respect to Europe area. Furthermore, the geometry of the radio scene including interferences highly depends on the relative position of the satellite terminals with respect to satellite. Thus an analysis of the benefit brought by a CR technique on a fraction of the full coverage cannot be representative, except if a worst-case approach is considered.

In addition the computation is based on the concept of cognitive zones. For each FSS carrier, a cognitive zone is defined as the geographical area in which the carrier can only be used by employing cognitive techniques. The design of the cognitive zone will be enabled through a database dealing with the FS and BSS deployment, including geographical parameters (coverage area of the transmitting stations, and capture area of the receiving station), device parameters (location, elevation and azimuth angles, coverage footprint, height and altitude, device type) and environment parameters (frequency bands, power and polarization, number of channels).

This kind of database is typically built by national regulatory bodies. In the purpose of the computation of system-level KPI, it is likely that:

- The set of information of each database will be heterogeneous and incomplete.
- Only few countries will make available that kind of database.

In case real and comprehensive data is not available, a first approach would be to utilize the network planning information of a different bandwidth range and scale the frequency carriers assuming that the propagation characteristics do not change considerably. A fallback approach would be to simulate a certain network deployment by randomly positioning the links based on a predefined spatial density. In this case, the carrier for each link should be carefully selected to ensure that no intra-system interference occurs. For the example of FS, we propose to consider that the deployment of FS is related to the population density. This strong assumption is secured through a verification performed on available database. To illustrate this point, the figure here below maps the distribution of FS stations in France in the band 17,7 GHz - 19,7 GHz. It can be seen that large concentrations of FS stations seem to fit densely populated areas.

The computation of KPI is based on the assessment of the impact of interference of FS and BSS stations, while relying on the knowledge of the deployment of FS and BSS stations. The analysis takes into account:

- the set of parameters provided by the database, which have been recalled above;
- a grid of possible locations for FSS stations, with the appropriate step;
- the system parameters of the FSS satellite system (satellite beam lay-out, satellite EIRP and G/T, terminal features, air interface, carrier bandwidth, etc.);
- the mutual interference models between FS, BSS and FSS;
- the expected QoS of each service, in order to define a protection level;
- the possible CR techniques (spectrum awareness, resource allocation, interference management) and their associated cognition level that helps in avoiding/mitigating interferences.

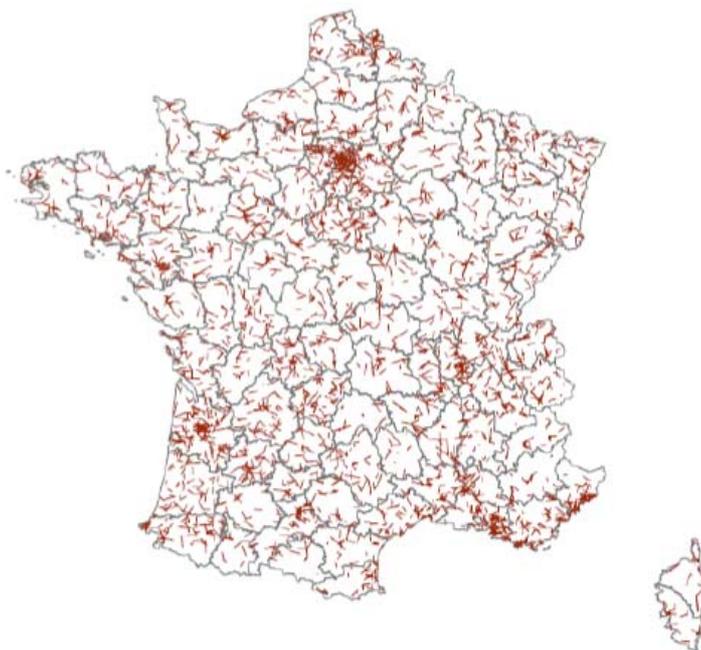


Figure 14: Distribution of FS stations in France

7.2.2 Transmitter parameters

7.2.2.1 FSS earth stations

This part includes the transmitter characteristics of FSS earth stations operating in the bands 29,5 GHz - 30,0 GHz and 27,5 GHz - 29,5GHz (non-exclusive bands).

7.2.2.1.1 Transmitter Output Power / Radiated Power

The compliance to EN 301 459 [i.17] is considered as baseline for the transmit parameters.

The reference transmit chain is: Modulator - HighPowerAmplifier - Antenna.

Transmission Mask (TM), (measured at modulator output): As specified in EN 302 307 [i.3] and subsequent updates.

Adjacent channel power (ACP): The adjacent channel power limitations are defined by the satellite operator and regulator and depend on the power class and type of terminal used.

Power control (PC): Power control is an option in the user terminal and if implemented it is taken into account in the requirements of the UT as outlined in EN 301 459 [i.17].

Spectral Power Density (SPD): In-band on-axis spectral power density is limited by satellite system operator constraints and regulatory requirements.

7.2.2.1.2 Antenna Characteristics

Transmission mask considered follows defined reference requirements in EN 301 459 [i.17], clause 4.2.3.

7.2.2.1.3 Operating Frequency

Preferably give several options of frequency bands and/or tuning ranges, so that CEPT/ECC-WGFM can choose the most suitable ones. Include frequency accuracy and stability and the tuning range of the equipment.

As per the frequency plan presented in clause 7.1, FSS earth stations transmit in the bands 29,5 GHz - 30,0 GHz and 27,5 GHz - 29,5 GHz (non-exclusive bands).

7.2.2.1.4 Bandwidth

Transmit bandwidth allocations depend on the achievable link budget (efficiency) as well as the required margins and the throughput to be achieved. The configurable range is:

- Minimum Tx bandwidth allocation per user terminal: 32 kHz.
- Maximum Tx bandwidth allocation per carrier and per user terminal: 2 MHz (27 MHz per Multi Frequency Time Division Multiplexing Access group of carriers).
- Maximum Tx bandwidth allocation per carrier group: 20 MHz - 30 MHz.

7.2.2.1.5 Unwanted emissions

Spurious emissions: Reference specification is EN 301 459 [i.17].

Out-of-band emissions: Defined by satellite system operator, typical 1 dBW / 4 kHz (= same reference as above).

Cross-polarization discrimination: 25 dB (min).

7.2.2.2 BSS earth stations

7.2.2.2.1 Transmitter Output Power / Radiated Power

The transmitter of the present link is the earth station for uplink to the BSS satellite.

No power limitations exist within the band, since it is not shared with other services.

A separate high power amplifier (HPA) processes the single carrier that is uplinked to one transponder. The HPA output power capability is in the range 100 W to 750 W. A typical example for the output power, at the antenna flange, is 19 dBW.

It is assumed BSS earth stations do not exceed E.I.R.P levels greater than 84 dBW as specified in table 3A2 of the Radio Regulations' appendix 30A [i.15].

7.2.2.2.2 Antenna Characteristics

The antenna gain pattern mask defined in Recommendation ITU-R S.580 [i.18] does usually apply. This mask, together with the referenced Recommendation ITU-R S.465 [i.19] and under the assumption of a large antenna diameter, is defined as follows:

$$\begin{aligned}
 G(\varphi) &\leq 29 - 25 \log \varphi \text{ dBi} && \text{for } \varphi \leq 20^\circ \\
 &\leq -3.5 \text{ dBi} && \text{for } 20^\circ < \varphi \leq 26,3^\circ \\
 &\leq 32 - 25 \log \varphi \text{ dBi} && \text{for } 26,3^\circ < \varphi \leq 48^\circ \\
 &\leq -10 \text{ dBi} && \text{for } 48^\circ < \varphi \leq 180^\circ
 \end{aligned}$$

(Off-axis EIRP masks are based on a slightly different gain pattern mask, but do not exist for the frequency band considered here.)

Satellite operators might request a more stringent gain pattern mask, where the $(29 - 25 \log \varphi \text{ dBi})$ envelope extends to $36,3^\circ$.

Typical antennas have a diameter of 9 m and an efficiency of 60 %. This leads to an antenna gain of 62 dB at 17,7 GHz.

7.2.2.2.3 Operating Frequency

According to scenario definition, the operating frequency range is 17,3 GHz to 17,7 GHz.

BSS feeder links are operated also in the 17,7 GHz to 18,1 GHz band. This band is considered in scenario B.

7.2.2.2.4 Bandwidth

With BSS, each transponder processes a single modulated carrier. The carrier bandwidth may exceed the nominal transponder bandwidth, but should not exceed the transponder spacing. The carrier bandwidth comprises the occupied bandwidth of an ideally modulated carrier and imperfections that slightly exceed the occupied bandwidth.

Transponder bandwidth and spacing combinations are as follows.

Table 5

Transponder bandwidth	Transponder spacing	Remark
27 MHz	38,36 MHz	Obsolete BSS frequency plan
33 MHz	38,36 MHz	In use, for example <i>Eutelsat Hotbird</i>
33 MHz	39 MHz	In use, for example <i>SES Astra</i>

Typical carrier characteristics with QPSK or 8PSK modulation (on the transponders in use) are as follows.

Table 6

Symbol rate	Roll-off	Ideal occupied bandwidth
27,5 Msps	35 %	37,125 MHz
29,5 Msps	25 %	36,875 MHz
30 Msps	25 %	37,5 MHz

It appears appropriate to take into account only the ideal occupied bandwidth and neglect the carrier imperfections.

7.2.2.2.5 Unwanted emissions

Unwanted EIRP emissions outside the assigned bandwidth are restricted to 4 dBW/4 kHz. This EIRP applies to the on-axis direction of the uplink antenna, whereas the EIRP towards a cognitive radio earth station is determined by the antenna gain pattern.

7.2.2.3 FS stations

FS transmitters characteristics can be found in Recommendation ITU-R F.758-5 [i.20].

7.2.2.3.1 Transmitter Output Power / Radiated Power

In addition to Recommendation ITU-R F.758-5 [i.20], information on FS power levels can be found in TR 102 243 [i.21].

7.2.2.3.2 Antenna Characteristics

Antenna characteristics can be found in Recommendations ITU-R F.699-7 [i.22] and F.1245-2 [i.38]. The choice among these two recommendations depends upon the type of sharing studies to be carried out.

7.2.2.3.3 Operating Frequency

Operating frequencies are given by channelling arrangements defined in ERC/REC 12-03 [i.23] for the band 17,7 GHz - 19,7 GHz, and in ERC Recommendation T/R 13-02 [i.33] for the band 27,5 GHz - 29,5 GHz.

7.2.2.3.4 Bandwidth

The bandwidth used by FS are according to the channelling arrangements described in ERC/REC 12-03 [i.23] for the band 17,7 GHz - 19,7 GHz, and in ERC Recommendation T/R 13-02 [i.33] for the band 27,5 GHz - 29,5 GHz.

7.2.2.3.5 Unwanted emissions

Emission masks for FS are defined in EN 302 217-2-2 [i.24], clause 4.2.4.

7.2.3 Receiver parameters

7.2.3.1 FSS earth stations

This part includes the receiver characteristics of FSS earth stations operating in the bands 17,3 GHz - 17,7 GHz and 17,7 GHz - 19,7 GHz.

Table 7: Receiver baseline parameters

Parameter	Description	Specification
LNB gain	Low Noise amplifier	55 dB to 65 dB (typical)
Typical system noise temperature	Reference system noise temperature used to evaluate the noise figure	290 K
Noise figure	Noise figure over the receive band range tuned to (i.e. 500 MHz - 600 MHz)	1,5 dB maximum over tuned frequency band
Intermodulation	2 nd order intermodulation measured at BUC output	26 dBc at Psat-1 dB
Blocking	Maximum LNB input power aggregated allowed (above which non-linear region of LNB)	-75 dBm (typical) to -60 dBm (max)
Tuner flexibility	The receiver is adjusted to a specific part of the frequency band to optimize noise figure A segmentation of the frequency band into 500 MHz band regions is proposed	500 MHz (typical) to 600 MHz (maximum)

7.2.3.2 BSS earth stations

The receiver of the present link is the input section of the BSS satellite payload.

The signals transmitted by the FSS satellite of a cognitive radio satellite system might cause interference to the receiving satellite of a BSS feeder link. Interference from the FSS satellite of the cognitive radio system is an issue of frequency coordination like interference from an FSS satellite of any conventional system. Therefore, receiver parameters of the BSS feeder link are not relevant here.

7.2.3.3 FS stations

FS receiver characteristics can be found in Recommendation ITU-R F.758-5 [i.20].

7.2.4 Channel access parameters

These parameters are system level parameters and relate to the ability of the receiver to insert into the system context of a receiver that shares the frequency access with other receivers.

It is assumed that the end user terminal of the cognitive system is capable of changing its frequency and modulation and coding parameters (physical layer channel parameters) on the forward (outbound) and on the return (inbound) link. The frequency, the modulation and coding as well as the transmit power settings can be changed as required by the system network control center (NCC) of the cognitive system.

7.2.4.1 FSS earth stations

The FSS earth station, the terminal of the cognitive system, has a reconfigurable air interface in forward and return link. The earth station is capable of accessing the frequency resources assigned by the system and to change its frequency assignments and power density parameters without losing the link to the system controlling gateway station.

The response time of the terminal to a change in its allocated capacity is defined as the "duty cycle" of the cognitive system, the time period required by the system to respond to a changing interference condition on the channel. Within the considered reference system, it takes in the order of 1 second to change down and uplink carriers.

The typical minimal frequency agility on the transmit side of the terminal is defined to be at least 20 MHz.

7.2.4.2 BSS earth stations

The channel is used by a single transmitter, which is the FSS satellite of the cognitive radio system. Therefore, channel access parameters are not relevant.

7.2.4.3 FS stations

Channel access parameters are not relevant in this case, since the FS system is incumbent and is assumed not be required to adapt to the changing environment.

7.3 Information on relevant standard(s)

As per FSS earth stations as well as ESOMP (Earth Stations on Mobile Platforms), the following harmonized standards applies in Ka band allocation.

- ETSI EN 303 978 [i.25]: Satellite Earth Stations and Systems (SES); Harmonized EN for Earth Stations on Mobile Platforms (ESOMP) transmitting towards satellites in geostationary orbit in the 27,5 GHz to 30,0 GHz frequency bands; 2012-12.
- ETSI EN 301 459 [i.17]: Satellite Earth Stations and Systems (SES); Harmonized EN for Satellite Interactive Terminals (SIT) and Satellite User Terminals (SUT) transmitting towards satellites in geostationary orbit in the 29,5 GHz to 30,0 GHz frequency bands; 2007-06.
- ETSI EN 301 360 [i.26]: Satellite Earth Stations and Systems (SES); Harmonized EN for Satellite Interactive Terminals (SIT) and Satellite User Terminals (SUT) transmitting towards geostationary satellites in the 27,5 GHz to 29,5 GHz frequency bands; 2006-02.

- ETSI EN 301 359 [i.27]: Satellite Earth Stations and Systems (SES); Satellite Interactive Terminals (SIT) using satellites in geostationary orbit operating in the 11 GHz to 12 GHz (space-to-earth) and 29,5 GHz to 30,0 GHz (earth-to-space) frequency bands; 1999-04.
- ETSI EN 301 358 [i.28]: Satellite Earth Stations and Systems (SES); Satellite User Terminals (SUT) using satellites in geostationary orbit operating in the 19,7 GHz to 20,2 GHz (space-to-earth) and 29,5 GHz to 30 GHz (earth-to-space) frequency bands; 1999-04.

As per BSS earth stations, the following harmonized standards applies in Ka band allocation:

- No harmonized standard exists. BSS uplink earth stations are treated individually by administrations.

As per FS transmitters/receivers the following harmonized standards applies in Ka band allocation:

- The principles of cognitive radio techniques for SatCom operating in Ka band will also be considered during the revision of existing harmonized standards or development of new harmonized standards in ETSI TC SES in line with the results of the considerations in CEPT/ECC. The description of the precise technical details of these techniques may be subject to inclusion in harmonized standards whereas the ECC harmonization measure (ECC Decision or Recommendation) will identify the applicability of the technique in the respective frequency range.

8 Radio spectrum request and justification

ECC/WGFM has already started the process of revising ERC/DEC(00)07 [i.29] and is also generating a new ECC Report, studying the enhanced operations of uncoordinated FSS Earth Stations operating in 17,7 GHz - 19,7 GHz. The present document is in support of this process. ECC/WGSE is conducting technical studies in PT SE40 in support of this work.

The principles of cognitive radio techniques for SatCom operating in Ka band as set out in clause 7.2.1 should be taken into account in the on-going investigations to find effective solutions that could improve the FS/FSS spectrum sharing.

9 Regulations

9.1 Uncoordinated FSS Earth Stations 9.1 Current regulations

Include, in particular, ITU, EC and ECC applicable regulations (see also annexes B and C).

From the table of service allocations in the ITU-R radio regulation document, the main sharing scenarios are identified in table 8.

Table 8: Sharing of Ka-band according to the ITU-R radio regulation document

Frequency bands	ITU-R Region 1	ITU-R Region 2	ITU-R Region 3
17,3 GHz - 17,7 GHz	FSS (space-Earth) BSS (feeder links) Radiolocation	FSS (space-Earth) BSS (feeder links) Radiolocation	FSS (space-Earth) BSS (feeder links) Radiolocation
17,7 GHz - 19,7 GHz	FSS (space-Earth) BSS (feeder links up 18,1 GHz) FS	FSS (space-Earth) FS	FSS (space-Earth) BSS (feeder links up 18,1 GHz) FS
27,5 GHz - 29,5 GHz (Note 1)	FSS (Earth to space) FS MS	FSS (Earth to space) FS MS	FSS (Earth to space) FS MS

CEPT has adopted a Decision, ECC/DEC/(05)08 [i.31], which gives guidance on the use of the band 17,3 GHz - 17,7 GHz by High Density applications in the Fixed-Satellite Service (HDFSS). The Decision stipulates that the designation of this band is without prejudice to the use of this band by BSS feeder links and that it is not allocated to any incumbent terrestrial service (except in some countries). The deployment of uncoordinated FSS Earth stations is also authorized in these bands. It is to be noted that administrations should exempt from individual licensing and allow the free circulation and use of the uncoordinated FSS earth stations operating in the band 17,3 GHz - 17,7 GHz.

CEPT has adopted a Decision, ERC/DEC(00)07 [i.29], which gives guidance on the use of the band 17,7 GHz - 19,7 GHz by Fixed Satellite Service and Fixed Services. The Decision stipulates that stations of the FSS can be deployed anywhere, but without right of protection from interference generated by Fixed Service radio stations. Nevertheless the Decision also requires both FS and FSS systems to implement interference mitigation measures. Decision ERC/DEC(00)07 [i.29] is widely implemented within CEPT, and FSS allocations are present in most CEPT countries according to the ECO Frequency Information System (EFIS).

CEPT Decision ECC/DEC/(05)01 [i.30] provides a segmentation between FS and FSS stations in the band 27,5 GHz - 29,5 GHz, which is depicted by figure 15. "Sat" and "Ter" refer to refer to FSS and FS respectively. The main points to be noted from this Decision are:

"...

- *Terminals employing bands labeled "sat" do not need site coordination, and are exempted from individual license.*
- *In the band labeled "sat*" (28,8365-29,9485 MHz) : There are legacy FS in few countries. The FS links licensed in these countries before 18 March 2005 could require protection, but not after 1st January 2020. No new FS links can be deployed in this band.*
- *The out-of-band EIRP radiated by FSS terminals in FS bands below 3° elevation shall not exceed -35 dBW/MHz.*
- *FSS terminals shall operate above 3° elevation.*
- *Power Control is mandatory for FSS terminals. The maximum EIRP of FSS terminals shall not exceed a value in a range from 60dBW to 55dBW. The maximum value may be fixed nationally.*
- *FSS terminals shall implement a minimum guard band of 10 MHz from bands identified for FS..."*

It should be noted that ECC Decisions are not mandatory instruments, and CEPT administrations may choose not to implement them.



Figure 15: Segmentation of the band 27,5 GHz - 29,5 GHz according to Decision ECC/DEC/(05)01

9.2 Proposed regulation and justification

9.2.1 FSS reception

ECC/WGFM has already started the process of revising ERC/DEC(00)07 [i.29] and is also generating a new ECC Report, studying the enhanced operations of uncoordinated FSS Earth Stations operating in 17,7 GHz - 19,7 GHz. This system reference document is prepared in support of this process. ECC/WGSE is conducting technical studies in PT SE40 in support of this work.

Uncoordinated earth stations in the frequency band 17,7 GHz - 19,7 GHz should be exempt of individual license and should be allowed for free circulation in CEPT countries.

Any sub-band not used by FS (e.g. duplex gap guard bands) within 17,7 GHz - 19,7 GHz should be identified by CEPT for protected FSS use.

Knowledge of FS characteristics (e.g. carrier bandwidth, power, Tx/Rx locations, etc.) in a data base could be exploited by the satellite cognitive radio technique to optimize the system capacity.

NOTE 1: Cognitive radio scheme may contribute to increase capacity by exploiting non exclusive FSS band along with exclusive FSS bands. However it relies on the use of exclusive band to ensure a minimum service performance for terminals under strong FS interference status.

NOTE 2: The Cognitive Radio techniques considered for Ka band will not be necessarily suitable for other frequency bands.

9.2.2 FSS transmission

Knowledge of FS characteristics (e.g. carrier bandwidth, power, Tx/Rx locations, etc.) in a data base could be exploited by the satellite cognitive radio technique to transmit in this band while avoiding interference to FS.

Provided that the efficiency of cognitive radio techniques could be demonstrated, FSS uplink use of the whole band 27,5 GHz - 29,5 GHz could be envisaged.

Annex A (informative): Bibliography

RSPG11-392 Final, Radio Spectrum Policy Group (RSPG) 2011, Report on Collective Use of Spectrum (CUS) and other spectrum sharing approaches, November 2011.

NOTE: Available at

http://rspg.ec.europa.eu/documents/documents/meeting/rspg26/rspg11_392_report_CUS_other_approaches_final.pdf?bcsi_scan_A5EE077DF30AEF84=ObZZkBth7NnUv5ydA2zII7vcmi45AAAA6ewnBw==&bcsi_scan_filename=rspg11_392_report_CUS_other_approaches_final.pdf.

<http://www.ict-corasat.eu/>.

ETSI EG 201 788: "Electromagnetic compatibility and Radio spectrum Matters (ERM); Guidance for drafting an ETSI System Reference document (SRdoc)".

History

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