

Dublin European Institute
A Jean Monnet Centre of Excellence

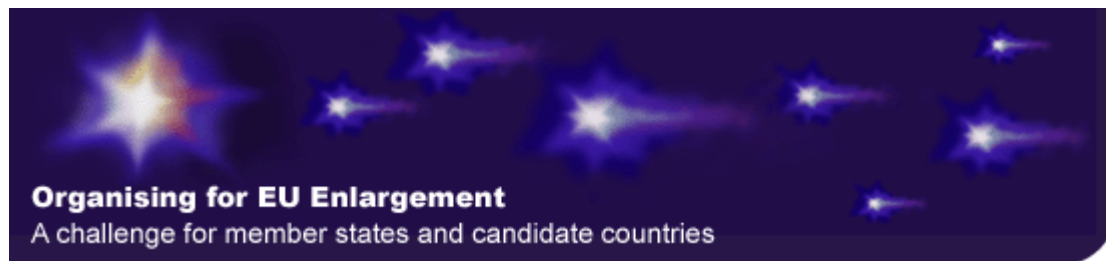
Organising for EU Enlargement

A Challenge for the Member States and the Candidate Countries

Project Co-ordination and Direction

National University of Ireland Dublin
Dublin European Institute

EU Fifth Framework Programme



Periodic Progress Report
6 Months

Contract No.

Project No.
HPSE-CT2001-00083-Organising for Enlargement

Title
Organising for EU Enlargement:
A Challenge for the Member States and the Candidate countries.

Project Coordinator
National University of Ireland Dublin

Project Director/Scientific Co-ordination
Professor Brigid Laffan, Dublin European Institute, NUI Dublin
Project Administrative Co-ordination
Mary Browne, Dublin European Institute, NUI Dublin

Partners:

	Partner/Role	Organisation Name	Research Director
1	Project Director/ Partner	National University of Ireland, Dublin	Professor Brigid Laffan
2	Partner	University of Tartu	Professor Wolfgang Dreschler
3	Partner	University of Helsinki	Professor Teija Tiilikainen
4	Partner	University of Athens	Professor Calliope Spanou
5	Partner	Budapest University of Economic Sciences and Public Administration	Professor Attila Ágh
6	Partner	University of Lubljana	Professor Danica Fink-Hafner

Reference Period: ` October 1, 2002 to March 31, 2003
Starting Date: ` October 1, 2001
Duration: ` 34 months
Date of issue of this report: ` May 2003

Project Financed within the Key Action Improving the Socio-economic Knowledge Base

2. Scientific Overview

2.1. Research Design

Phase I of the research project focused on the interaction and intersection of core executive government in six states with the policy-making processes of the Union. The aim was to map and analyse the manner in which national core executives organised for managing relations with Brussels – Managing Europe from Home. This was approached through macro and micro level analysis. The macro level research yielded six national case studies that provide empirically rich and analytically sharp analyses of how the national core executives adapted their domestic organisations to act as ‘translator devices’ for the national in the EU and the European in the domestic. The micro level study has been designed to examine in detail the strategies and decisions during the process of negotiations. In order for the analysis to draw upon controversial issues the project partners selected the most appropriate case studies. The member states, Ireland, Greece and Finland, selected the negotiations associated with the European Arrest Warrant. Hungary has examined the Free Movement of Capital, Slovenia the Abolition of duty free shops and Estonia taxation. The research findings illustrate a range of strategies and rich comparison – Negotiating European Issues: National Strategies and Priorities.

There is a significant shift in focus in Phase II of the research, namely a shift from core executive management to multileveled governance in the context of two policy areas, regional policy and environmental policy. The second phase of the research broadens the analytical focus to encompass other levels of government – EU sub-state and state/society – through multileveled governance. Here attention is focused on the emergence of multileveled policy networks and the interaction between public actors and the wider civil society. This phase of the research is designed to capture multileveled dynamics and to address mechanisms of Europeanisation. Regional and environmental policy were chosen deliberately as both policy areas designed to trigger institutional, process and policy change at national level. They represent two different types of policy, redistributive and market enabling.

2.2. Scientific progress

The conduct of the research project for the six month period October 2002 to March 2003 involved four objectives.

1. The editing and preparation for publication of the research findings and comparative material on the management of EU business by the core executives in the six states under consideration in this project.
2. The collection of data and its analysis in respect to the micro case studies identified by each research partner.
3. The preparation of a comparative report based on the findings of the micro case studies ‘Negotiating European Issues: National Strategies and Priorities’
4. The finalisation of the research framework for Phase II of the project.

Objectives 2 and 4 have been completed while objectives 1 and 3 are nearing completion. It was decided at the project Management Board meeting in January 2003 that the full texts of the national reports and a set of occasional papers for the macro study on the national core executive management of EU business should be posted in the public area of the project web site and published in printed form. This has involved comprehensive editing and some redrafting but the material is now ready for publication in June 2003. The individual micro case studies have been completed and the comparative report is in the final stage of preparation, some editing will be required before this material is ready for distribution. The research framework and schedule for Phase II of the project (regional and environmental policy, see item 3 of this report) was

decided on at the project Management Board meeting in January 2003. The reports, on the first stage of this research, have been submitted by the project partners.

2.3. Deviation or alteration to planned activities

A table of the scheduled workpackages and the progress achieved to date is presented under item 3 of this report. There has been some slippage in terms of the publication of reports due to the need for language editing and some redrafting of reports to ensure the availability of comparative material for the project's overall analysis. In order to ensure that the research partners have been able to proceed with the research scheduled the Dublin European Institute has taken responsibility for editing and preparation of reports and papers for publication. In respect to Phase I of the project first, the reports and occasional papers for the macro study 'Managing Europe from Home' are currently being posted in the public area of the project web site and are ready for printing. Second, the comparative paper on the micro case studies 'Negotiating European Issues: National Strategies and Priorities' is currently being finalised along with the national reports, these will be edited for publication.

The research schedule for Phase II has been adjusted firstly, by bringing the start date forward by one month to January 2003, secondly, by specifying that research on regional and environmental policy should be conducted concurrently and thirdly, by distributing the research tasks and submission deadlines over three stages (details provided in the table under item 3 of this report). It is not expected that these alterations will have any bearing on the completion of the Phase II by January 2004 as originally scheduled.

2.4. Activities planned

Four key activities are planned for the next reporting period March 2003 to September 2003.

1. Distribution of the national reports and occasional papers 'Managing Europe from Home' to stakeholders and interested parties in countries of the project partners.
2. Completion of a comparative article along with a series of articles from the project partners based on the 'Managing Europe from Home' research for submission to Governance for consideration for a special issue.
3. Completion and editing of the comparative report and case studies on the micro study. The posting of this material on the public area of the project web site and publication in printed form for distribution.
4. Presentation by the research teams of the first set of case studies on regional and environmental policy at the project plenary scheduled for the beginning of September 2003 at the University of Lubljana, Slovenia.

3. Synthesis of Workpackages

Project Stage		
Project Initiation	Workpackages 1 Recruitment of researchers Installation of web site	
Phase One	Macro	Micro
	EU management by national core executive Workpackage 2 Research Template for Phase 1 Workpackages 3-8 National analysis of core executive management of EU business National reports Workpackage 9 Comparative analysis of national core executive management of EU business	Negotiation case studies Workpackage 2 Calibration of the decision making models Workpackages 10-15 Case studies of national negotiations on specific EU policies National reports Workpackage 16 Comparative analysis of national negotiations on specific EU policies
Phase Two	Macro	Micro
	Workpackages 17-22 Macro analysis of regional policy Workpackages 24-29 Macro analysis of environmental regulation Workpackages 23 & 30 Comparative analysis of national studies in both policy areas	Workpackages 17-22 Model based analysis of regional policy Workpackages 24-29 Model based analysis of environmental regulation Workpackages 23 & 30 Comparative analysis of national studies in both policy areas
Project Completion	Workpackages 31-34 Final OEUE project report incorporating an analysis of the relevance of the research findings for <ul style="list-style-type: none"> • EU and national decision makers and • policy recommendations 	

3.1. Review of workpackages

Originally proposed Workpackages	Progress Report October 2002 – March 2003
PHASE I	PHASE I
Macro study	Macro study
Workpackages 3-8	Workpackages 3-8
<p>Start date and duration: November – June 2002</p> <p>Partners responsible: All</p> <p>Research: National analysis of core executive management of EU business</p> <p>Deliverables: National Reports</p>	<p>Research findings presented to the project plenary in Budapest August 2002.</p> <p>National Reports from all partners were submitted to the Dublin European Institute by October 2002.</p> <p>The reports have been edited for language and in some cases redrafted to allow material to be added or updated.</p> <p>The project plenary hosted by the Dublin European Institute in Louvain 16-17 January 2003 decided</p> <ul style="list-style-type: none"> o that the National Reports 'Managing European from Home' would be posted in the public area of the project web site o that a series of Occasional Papers comprising a paper from each partner would be posted on public area of the web site and distributed in printed form. <p>The Occasional Papers (for which a template was distributed in November 2003) were submitted to the Dublin European Institute at the end of February 2003. The series of National Reports and Occasional Papers are currently being posted in the public area of the project web site and are now ready for printing following some rewriting and editing for language.</p>
Workpackage 9	Workpackage 9
<p>Start date and duration June-July 2002</p> <p>Partners responsible: Dublin European Institute</p> <p>Deliverables: Interim comparative report 'Managing Europe from Home'</p>	<p>The comparative report 'Managing Europe from Home' was drafted in November 2002, by the Professor Brigid Laffan, Project Director, and distributed to the project partners inviting their comments. The paper was posted to the Partner Area of the web site, www.oeue.net (Accessible by using the project director's user name and password: Username blaffan, Password test)</p> <p>In May the comparative report has been redrafted in preparation for submission, along with a series of articles based on the occasional papers, to the journal Governance for per review and consideration for a special issue. It is expected that this material will be submitted at the end of June.</p>

Originally proposed Workpackages	Progress Report October 2002 – March 2003
PHASE I	PHASE I
Micro Case study	Micro case study
Workpackages 10-15	Workpackages 10-15
<p>Start date and duration: June – October 2002</p> <p>Partners Responsible: All partners</p> <p>Research: Micro national case studies Case studies of national negotiations on specific EU policies</p> <p>Deliverables:</p> <ul style="list-style-type: none"> o Data on key issues of negotiation in a series of national micro case studies o National working papers on the micro case studies 	<p>Submitted by the project partners to the Project Coordinator and DECIDE, University of Groningen at the end of October 2002.</p> <p>Data and analysis discussed at a workshop hosted by the Dublin European Institute in Dublin, 7-9 November 2002. Facilitators Dr. Diane Payne, Department of Sociology, University College Dublin and Professor Frans Stokman, DECIDE, University of Groningen (See Appendix I)</p> <p>Draft national reports submitted by all the partners to the Dublin European Institute in December 2002 and January 2003.</p>
Workpackage 16	
<p>Start date and duration: November – December 2002</p> <p>Partners Responsible: Dublin European Institute</p> <p>Objectives:</p> <ul style="list-style-type: none"> o To compare and contrast the negotiating positions, strategies and comparative success or failure of member states. o To compare and contrast the negotiating positions and strategies of the candidate countries with respect to the accession negotiations o To use the modelling approach to explore alternative scenarios to determine future achievement of policy preferences <p>Methodology: Analysis of the data from the national reports using the modelling techniques</p> <p>Deliverable: Interim Comparative Report 'Negotiating European Issues: National Strategies and Priorities'</p> <p>Brief report on Research Strategy for Phase II of the project.</p>	<p>The data has been analysed based on qualitative modelling techniques using the software and assistance provided by DECIDE, University of Groningen.</p> <p>Work in progress and contacts with journals for a possible special issue have been made.</p> <p>Undertaken as part of the planning for Phase II of the project discussed by the Management Board in Leuven in January 2003 (see Appendix II).</p>

PHASE II	PHASE II			
Originally proposed Workpackages	Progress Report October 2002 – March 2003			
Workpackages 17-22	Adjustments to Workpackages 17-22, 23, 24-29 and 30			
<p>Start date and duration: February 2003 – July 2003</p> <p>Partners Responsible: All partners</p> <p>Research: Ireland, Greece and Finland: Analysis of regional policy in the member state to include the formal organisational structure for regional policy, the tracing of the procedures and processes (e.g. programming and partnership), the negotiations on the Community Support Framework and process evaluation, feedback and policy learning.</p> <p>Estonia, Hungary and Slovenia: Analysis of the prospective operation of EU regional policy in the candidate states to include the formal structure of regional policy, tracing the procedures and process (e.g. programming and partnership), negotiations with the Commission on preaccession aid/Structural Funds and the process of evaluation, feedback and policy learning.</p> <p>Deliverables:</p>	<p>As noted in the twelve month report (October 2001 – September 2002) the start date for research on Phase II was brought forward by one month and it was agreed by the Management Board (Budapest 31 August 2003) to hold a Board in January 2003 in order to decide on the framework to be adopted for Phase II of the project.</p> <p>The Management Board meeting, 16-17 January 2003, was hosted by the Dublin European Institute, University College Dublin, at the Irish Institute for European Affairs, Leuven.</p> <p>Professor Brigid Laffan, Project Director, presented a discussion paper proposing the framework for Phase II.</p> <p>During the discussion in Leuven the following decisions were taken:</p> <ol style="list-style-type: none"> 1. To undertake research on both policy areas in tandem; 2. To complete this phase of the work by the end of January 2004; 3. To organise this phase of the work in three stages; 4. To do two case studies in each policy area (regional and environmental) <p>The research template was distributed to all partners in February 2003 detailing the research to be conducted for each stage and the deadlines for submission of reports.</p> <table border="1"> <tr> <td>OEUE Phase II: Environment and Regional Policy</td> </tr> <tr> <td>Stage I January – April 2003</td> </tr> <tr> <td> <p>Regional policy 4 research tasks</p> <ul style="list-style-type: none"> • Documentary analysis of the legacy • Analyse impact of changes in EU regulations • Analyse policy domain and institutional context • Who are key actors at different stages <p>Environmental policy 2 research tasks</p> <ul style="list-style-type: none"> • Documentary analysis of environmental policy • Identify the key actors in the implementation <p>Deadline 17 April 2003</p> </td> </tr> </table>	OEUE Phase II: Environment and Regional Policy	Stage I January – April 2003	<p>Regional policy 4 research tasks</p> <ul style="list-style-type: none"> • Documentary analysis of the legacy • Analyse impact of changes in EU regulations • Analyse policy domain and institutional context • Who are key actors at different stages <p>Environmental policy 2 research tasks</p> <ul style="list-style-type: none"> • Documentary analysis of environmental policy • Identify the key actors in the implementation <p>Deadline 17 April 2003</p>
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PHASE II	PHASE II
Originally proposed Workpackages	Progress Report October 2002 – March 2003
Workpackages 17-22, 23, 24-29 and 30	Adjustments to Workpackages 17-22, 23, 24-29 and 30 cont.
<p>Workpackage 23 Start date and duration July – September 2003</p> <p>Partner Responsible Dublin European Institute</p> <p>Deliverable Interim Comparative Report: The Public Policy Agenda in Europe: Regional Policy</p> <p>Workpackages 24-29 Start date and duration: July – November 2003</p> <p>Partners responsible: All partners</p> <p>Research: Ireland, Greece and Finland Develop a data set on the implementation of EU environmental regulation in the member states. To include details on the procedures and processes associated with policy, transposition, implementation, evaluation and policy learning.</p> <p>Estonia, Hungary and Slovenia Develop a data set on the adaptation to EU environmental regulation. To include institutional adaptation, transposition of the acquis, policy learning and the identification of actors.</p> <p>Workpackages 30</p> <p>Macro analysis of environmental regulation</p> <p>Deliverables</p>	<p>Stage II May – July 2003</p> <p>Regional Case Study 1 Distinct spatial area – Objective 1</p> <ul style="list-style-type: none"> Analyse the implementation of the CSF (member states) and pre-accession (candidate states) <p>Environmental Case Study 1 Habitats Directive</p> <ul style="list-style-type: none"> Trace the process from the original directive through implementation to application. Transposition, application and re-steering (member states); preparation for transposition and application, programme of adoption, adoption of administrative capacity to apply acquis (candidate states) <p>Deadline 31 July 2003</p> <p>Stage III September – November 2003</p> <p>Regional case study 2 Sectoral study</p> <ul style="list-style-type: none"> Trace the process from the original directive through implementation to application. Transposition, application and re-steering (member states); preparation for transposition and application, programme of adoption, adoption of administrative capacity to apply acquis (candidate states) <p>Environmental case study 2 Waste management</p> <ul style="list-style-type: none"> Trace the process from the original directive through implementation to application. Transposition, application and re-steering (member states); preparation for transposition and application, programme of adoption, adoption of administrative capacity to apply acquis (candidate states) <p>Deadline 28 November 2003</p> <p>OEUE Comparative Study 3 December 2003 – January 2004</p> <p>Drafting and finalisation of the comparative report 'The Public Policy and Regulatory Agenda in Europe: Regional and Environmental Policy'</p> <p>The comparative report will be prepared by the Dublin European Institute in consultation with the research partners.</p>

4. Dissemination of results

The Dublin European Institute is currently finalising the publication of the National Reports and Occasional Papers on the management of European business 'Managing European from Home' as discussed above. This material will be distributed in June 2003. The Project Director, Professor Brigid Laffan, is editing a series of papers based on this material for submission to Governance for consideration as a special issue.

The project partners from the University of Athens, University of Helsinki and the University of Ljubljana have all presented papers based on their research finding to conferences of their national political science bodies and are preparing material for publication in associated journals.

5. Managerial Report

During the current reporting period the Dublin European Institute has hosted two project meetings. A workshop was held in University College Dublin 7-9 November 2002, which allowed the researchers to present their data on their respective case studies and discuss the analysis of their findings with Dr. Diane Payne, UCD and Professor Frans Stokman, DECIDE, University of Groningen (see Appendix 1). A meeting of the Management Board was held in the Irish Institute for European Affairs, Leuven, 16-17 January 2003, at which the framework and schedule for Phase II of the project were decided. The next project plenary is scheduled for 4-6 September 2003 hosted by the University of Lubljana.

Communication between the research partners and the project co-ordinator occur regularly through e-mail and telephone. The project reports, discussed above, are currently being posted to the project web site www.oeue.net and research templates are posted on the partner area of the site accessible by using the Project Directors username and password: Username blaffan Password test.

5.1 Partner Contact details

	Partner contact	Institutional Address	Telephone	Fax	E-mail
1	Co-ordinator Mary Browne	Dublin European Institute Room 520 Library Building University College Dublin Belfield Dublin 4 Ireland	353-1- 7164633	353-1- 2692589	Mary.browne @ucd.ie
1	Project Director Professor Brigid Laffan	as above	353-1- 7164619	353-1- 2692589	Brigid.laffan @ucd.ie
2	Professor Wolfgang Drechsler	Faculty of Social Sciences University of Tartu Tieji 78 EE-50410 Tartu Estonia	372-7- 375583	372-7- 375582	Wjmd @psych.ut.ee
3	Professor Teija Tiilikainen	Department of Political Science P.O. Box 54 (Unioninkatu 37) FIN-00014 University of Helsinki Finland	358-9- 1918831	358-9- 1918832	Teija.Tiilikainen @helsinki.fi
4	Professor Calliope Spanou	University of Athens Department of Political Studies and Public Administration 19 Omirou Street 10672 Athens Greece	30-10- 3688904		K.spanou@ cc.uoa.gr
5	Professor Attila Ágh	Department of Political Science Faculty of Social Science Budapest University of Economic Science and Public Administration Fovam ter 8 Budapest-1093 Hungary	36-1- 2188049	36-1- 2188049	Attila.agh @polit.bke.hu
6	Professor Danica Fink Hafner	Faculty of Social Sciences University of Social Sciences Univerisity of Ljubljana Kardeljeva ploščad 5 1000 Ljubljana Slovenija	386-1- 5805227	386-1- 5805101	Danica.fink- hafner@uni.lj.si

Appendix I
 Third research plenary
 Agenda and Attendance
 National University of Ireland Dublin
 Dublin European Institute
 7-9 November 2002
 Phase 1
 Micro Case Study Analyses
 Collective Decision Modelling

Thursday 7 November 2002

- 9.15-12.30 Welcome by Dr. Diane Payne, NUI Dublin, Department of Sociology
 Introduction of Professor Frans Stokman, DECIDE, University of Groningen
 Presentations of the research findings on the micro case studies of the
 European Arrest Warrant
 Ireland, Greece and Finland
- 13.30-4.30 Analysis of the data sets for the micro case studies on the European Arrest
 Warrant
- 17.00 Dublin European Institute Guest Lecture
 Professor Frans Stokman, University of Groningen
 Strategic intervention in collective decision making

Friday 8 November 2002

- 9.30-12.30 Micro case studies of the negotiation on specific chapters by the candidate
 countries
 Slovenia (taxation, customs and excise)
 Estonia (taxation)
 Hungary (the free movement of capital)
- 13.30-17.00 Analysis of the data sets for micro case studies by the candidate countries

Saturday 9 November 2002

- 9.30-4.00 Comparative analyses of all the case studies

Attendance

National University of Ireland	
Dublin European Institute	Professor Brigid Laffan
	Dr. Diane Payne (Facilitator)
	Mary Browne
	Jane O'Mahony
Finland	
University of Helsinki	Jussi Kinnunen
Greece	
University of Athens	George Andreou
Hungary	
Budapest University of Economic Science and Public Administration	Tímea Palvölgyi Árpád Rózsás
Slovenia	
University of Ljubljana	Damjan Lajh
Estonia	
University of Tartu	Leno Saarniit
Facilitator	
DECIDE bv, University of Groningen	Professor Frans Stokman

Appendix II
 Fourth project plenary
 Agenda and Attendance
 Hosted by the Dublin European Institute
 Irish Institute for European Affairs, Leuven
 16-17 January 2003.

Thursday 16 January 2003

- 9.15 Organising for EU Enlargement Project – Planning for Phase II
 Chair: Professor Brigid Laffan, OEUE Project Director, DEI, NUI Dublin.
 Presentation of discussion paper on OEUE Phase II
 i. General discussion
 ii. Methodology
- 14.00 Organising for EU Enlargement Project – Planning for Phase II
 iii. European Regional and Environmental policy
 Guest speaker: Dr. Paul McAleavey, Member of the Cabinet of the Commissioner of the Environment.
 iv. Work schedule for Phase II

Friday 17 January 2003

- 9.15 Phase I 'Managing Europe from Home'
 Submission of the occasional papers
 Managing Board Meeting
- 14.00 Phase I Micro Case Study – National Reports
 Dr. Diane Payne
 Review of reports

Attendance

National University of Ireland	
Dublin European Institute	Professor Brigid Laffan
	Dr. Diane Payne
	Mary Browne
	Jane O'Mahony
Finland	
University of Helsinki	Jussi Kinnunen
Greece	
University of Athens	Professor Calliope Spanou
Hungary	
Budapest University of Economic Science and Public Administration	Professor Attila Ágh Arpád Rózsás
Slovenia	
University of Ljubljana	Professor Fink Hafner Damjan Lajh
Estonia	
University of Tartu	Kadri Kallas