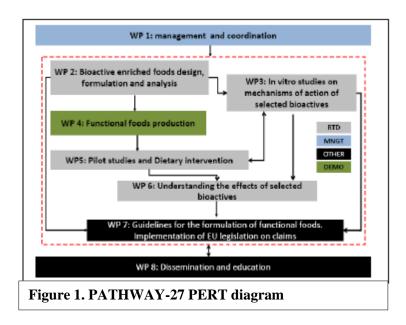
PATHWAY-27 specific objectives can be summarized as follow:

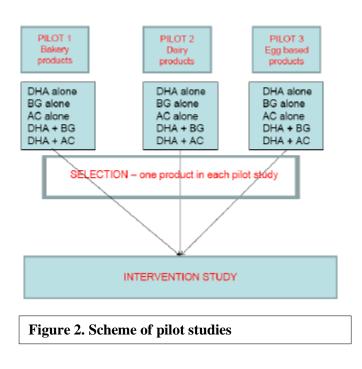
- critical evaluation of the bioactive-food matrix interaction;
- evaluation of the modifications occurring during food processing and storage;
- address possible synergies between different bioactives;
- investigate on digestibility of BEF and consequent bioavailability of bioactives;
- investigate on BEF effectiveness using strong clinical markers;
- evidence new markers of effectiveness by means of omics techniques:

- develop an integrated *in vitro-in vivo* approach allowing a better understanding of the clinical effect of BEF and its underlying mechanism/s of action.



- Three matrices were chosen based on their frequency of consumption in the diet, and three food within each matrix was enriched with the bioactives alone and in combination. In total, 45 BEF prototypes were developed.
- The ingredients containing high enough concentration of the different bioactive compounds (AC, OBG and DHA) were produced, taking care of their safety.
- After a pre-selection based on organoleptic characteristics, 30 BEF remained in the focus.
- A complete sensory characterization of the 30 selected BEF was done by the trained sensory panel. Consumer tests were also performed.
- A questionnaire to assess food product liking was developed. The questionnaire was used within the PATHWAY-27 human intervention studies, and could be useful in other clinical studies administering food.
- Characterization of BEF by NMR spectroscopy allowed a better understanding of the bioactive/food matrix interactions.
- Bioactive compound bioaccessibility was assessed by *in vitro* digestion.
- A methodology based on the integrated analysis of BEF characteristics was developed to select the best 3 products (one in each matrix) to be used in intervention studies.
- The bioactive content of the selected BEF was determined after long storage time, considering this parameter as an additional one to evaluate the product shelf-life.
- The three selected products (milkshakes, biscuits, pancakes) enriched with five different bioactive combinations were produced for use in pilot studies.

- The safety characteristics of BEF were determined and certified, and their shelf-life evaluated also considering bioactive retention.
- The bioactive content in each production batch was assessed to ensure reproducibility of tested food, which is one of the basic requirements of EFSA for the substantiation of the health claims.



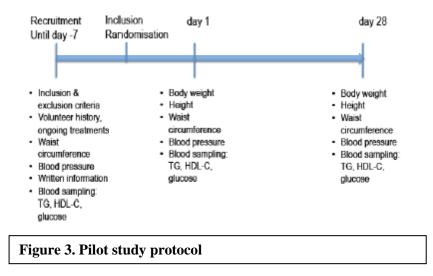


Table 1. MetS diagnosis criteria

- elevated waist circumference (men ≥ 102 cm; women ≥ 88 cm)
- elevated fasting triglycerides ($\geq 150 \text{ mg/dL}$)
- reduced fasting HDL-cholesterol (men $\leq 40 \text{ mg/dL}$; women $\leq 50 \text{ mg/dL}$)
- elevated blood pressure (systolic \geq 130 mmHg and/or diastolic \geq 85 mmHg) or hypotensive treatment



- Pilot studies allowed selecting the most promising BEF in each matrix.
- The three BEF selected in the pilot studies and the corresponding placebos were produced for use in the LIS.
- A strategy was developed to ensure an un-stoppable supply of fresh BEFs and placebos to recruiting centres during pilot studies and the LIS.
- The foodomics approach was applied to assess the effect of the incorporation of a functional ingredient on the reproducibility and/or stability of three different food matrices.
- Since the LIS needed to be extended, a strategy was developed to minimise food waste and the production efforts ensuring the blindness in the study.
- Production issues, critical control points, management and problem-solving activities for the industrial exploitation were carefully addressed.

- A four-arms large intervention study (LIS) was designed and performed. The LIS was a multicentre, randomized, placebo-controlled, parallel-arm dietary intervention study. Intervention lasted 12 weeks.
- Volunteers at risk for or affected by MetS were enrolled in the study. Modification in triglycerides (TG) and HDL-cholesterol blood levels were the primary endpoints.
- 5401 putative volunteers were contacted by the 4 RCs, of whom 1361 accepted to carry out the screening visit. 420 resulted eligible and 325 accepted to enter the study and were randomized. Among them, 109 accepted to carry out additional analysis included in the sub-study.

- 89 subjects dropped out while 236 volunteers (82 in the sub-study) completed the 12-week trial, and subjects with at least 70% compliance to consumption for each administered food were included in the per protocol (PP) statistical analysis.
- In volunteers with low HDL-c (\leq 50 mg/dl for females, \leq 40 mg/dl for males) at baseline, all BEF significantly increase HDL-c (the so-called "good cholesterol"). The positive effect of BEF was already achieved after 6 weeks of consumption, and it lasted over the whole period of treatment.
- The HDL-c increasing effect was more evident using BEF enriched with DHA+AC.
- The group receiving DHA+OBG experienced a clear reduction of LDL-c level (the so-called "bad cholesterol").
- Consumption of all food enriched with DHA was associated to significant reduction of systolic blood pressure. The effect appeared mainly ascribable to administration of DHA+OBG and DHA+AC embedded in pancakes.
- A general guideline for conducting nutritional trials was made public.

Highlights

- The rs17300539 SNP on the ADIPOQ gene was found associated with the risk of MetS in all national sub-cohorts.
- NMR-based metabolomics analyses could discriminate samples collected at the beginning and the end of the study with high accuracy, confirming that treatment has an impact on the metabolome.
- We detected significant changes in the lipoprotein profiles in serum of volunteers receiving DHA+AC embedded in the bakery product, but not in the egg product.
- Genes associated with epigenetic alterations induced by the BEF were enriched in binding sites for a series of transcription factors with reported functions in adipose tissue function.
- An increase in some microbial groups induced by BEF consumption seemed to exclude the increase of pathogenic members of the same group of bacteria, suggesting an ecological barrier function.

- Since *in vivo* foods are digested and some bioactives are extensively metabolized so that the effective molecules are very different from parent compounds, cells were supplemented with the main metabolites of bioactive used to formulate BEF.
- Cells were supplemented using bioactive concentrations within the physiological range, and possible cytotoxicity was evaluated prior to other experiments.
- In cultured hepatocytes, a "DHA signature" was clearly evidenced, indicating that DHA has the greatest impact on the transcriptome, lipidome and metabolome. Cholesterol and fatty acid metabolism were the main pathways regulated by DHA. PRO and PCA modulated DHA effect, while their impact when supplemented alone was small.
- In hepatocytes, the epigenetic effect of DHA was related more to chromatin changes than DNA methylation and was consistent with the observed modulation of cholesterol and fatty acid metabolism.
- A new strategy for administration of DHA and other fatty acids to human adipocytes was developed.
- DHA appeared by far the bioactive with the greatest impact on the adipocyte transcriptome, promoting adipocyte function. Again, PRO and PCA displayed positive or negative cross-talk with DHA.
- In adipocytes, DHA and combinations induced strong changes in DNA methylation, while PCA and PRO had little effects on this epigenetic mark.

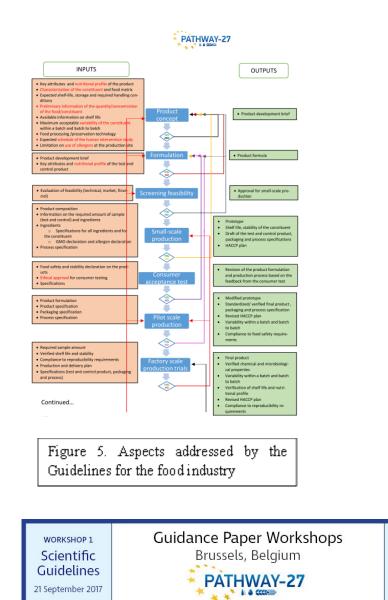


Figure 6. The PATHWAY-27 Guidance Paper Workshps

WORKSHOP 2

Industry Guidelines

22 September 2017

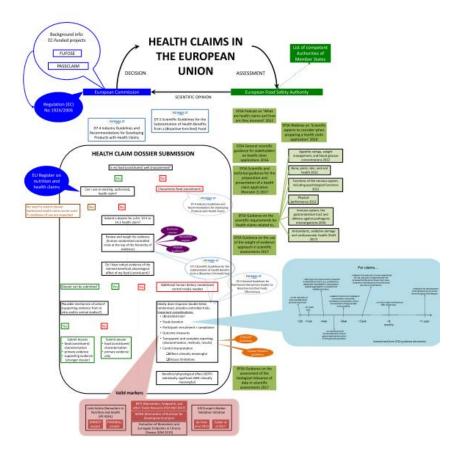


Figure 7. The interactive diagram of the regulatory framework

- A set of guidelines (**Guidelines for the scientific community**) addressed to scientists from both academia and the food industry was developed.
- Another set of guidelines (**Guidelines for the food industry**) offering a structured product development approach addressing all aspects that SMEs and their suppliers should consider when designing products with health claims in Europe was also developed.
- The starting step of the Guidelines for the food industry was to conduct a survey amongst relevant stakeholders to collect and identify industry/SME needs and difficulties in establishing and submitting health-claims.
- Finalisation of both Scientific and Industry Guidelines was based on interaction with key stakeholders. The Guidance Paper Workshops held in Brussels in September 2017 allowed reviewing the Guidelines before publication.
- An additional set of information supporting the implementation of the European legislation frameworks on health claims was also prepared.



Figure 8. The PATHWAY-27 project logo

Consortium members, and relevant contact details

	Institution	Principal investigators	Contact details
1	ALMA MATER STUDIORUM- UNIVERSITA DI BOLOGNA (IT) - UNIBO	Alessandra Bordoni (project coordinator) Luigi Ricciardiello (project co-coordinator) Francesco Capozzi Andrea Gianotti Alesssandro Zamboni (project manager)	Alessandra.bordoni@unibo.it Luigi.ricciardiello@unibo.it Francesco.capozzi@unibo.it Andrea.gianotti@unibo.it a.zamboni@unibo.it
2	INSTITUT NATIONAL DE LA RECHERCHE AGRONOMIQUE (F) - INRA	Didier Dupont Corinne Malpuech- Brugère	<u>Didier.Dupont@inra.fr</u> <u>corinne.malpuech-brugere@uca.fr</u>
3	KAROLINSKA INSTITUTET (SWE) - KI	Peter Arner	Peter.Arner@ki.se
4	MAXRUBNERINSTITUTBUNDESFORSCHUNGSINSTITUTFURERNAHRUNGUNDLEBENSMITTEL (D) - MRI	Achim Bub Bernhard Watzl	achim.bub@mri.bund.de bernhard.watzl@mri.bund.de
5	TEKNOLOGIAN TUTKIMUSKESKUS VTT Oy (FIN) - VTT	Kaisu Honkapää	<u>kaisu.honkapaa@vtt.fi</u>
6	DEUTSCHES KREBSFORSCHUNGSZENTRUM (D) - DKFZ	Clarissa Gerhauser	<u>c.gerhauser@dkfz.de</u>
7	UNIVERSITY OF LEEDS (UK) - ULE	Caroline Orfila Lisa Marshall Christine Bosch	<u>c.orfila@leeds.ac.uk</u> <u>l.j.marshall@leeds.ac.uk</u> <u>c.bosch@leeds.ac.uk</u>

		James Thorne	j.l.thorne@leeds.ac.uk
8	SYDDANSK UNIVERSITET (DEN) - SDU	Susanne Mandrup	<u>s.mandrup@bmb.sdu.dk</u>
9	AINIA (ES) - AINIA	Lidia Tomas Beatriz Pérez Blanca Viadel	<u>ltomas@ainia.es</u> <u>bperez@ainia.es</u> bviadel@ainia.es
10	CENTRE DE RECHERCHE EN NUTRITION HUMAINE D'AUVERGNE (F) - CRNH	Corinne Malpuech- Brugère	<u>corinne.malpuech-brugere@uca.fr</u>
11	THE LEEDS TEACHING HOSPITALS NATIONAL HEALTH SERVICE TRUST (UK) - LTHT	Julian Bart	julian.barth@nhs.net
12	EGE UNIVERSITESI (Turkey) - EGE	Sibel Karakaya Sedef Nehir El	<u>sibel.karakaya@ege.edu.tr</u> <u>sedef.el@ege.edu.tr</u>
13	CAMPDEN BRI Magyarorszag Nonprofit Korlatolt Felelossegu Tarsasag (HU) - CBHU	Andras Sebok	a.sebok@campdenkht.com
14	INTERNATIONAL LIFE SCIENCES INSTITUTE EUROPEAN BRANCH AISBL (B) - ILSI	Stephane Vidry Cyril Marsaux Peter Putz	<u>svidry@ilsieurope.be</u>
15	LEBENSMITTELVERSUCHSANSTALT (A) - LVA	Julian Drausinger Christine Grabler	<u>Julian.drausinger@lva.at</u> Christine.grabler@lva.at
16	JRC -JOINT RESEARCH CENTRE- EUROPEAN COMMISSION (B) – JRC	Stefan Storcksdieck Sandra Caldeira	Stefan.STORCKSDIECK@ec.europa.eu Sandra.CALDEIRA@ec.europa.eu
17	INTERNATIONAL FOOD NETWORK (UK) - NFI	Nick Henson	Nick.henson@covance.com
18	ADWARE RESEARCH FEJLESZTO ES TANACSADO KFT (HU) - ADWR	Timea Polgar Imola Nemeth	timea.polgar@adwareresearch.com) imola.nemeth@adwareresearch.com
19	GIOTTO BIOTECH SRL (IT) - GIOTTO	Claudio Luchinat Leonardo Tenori Veronica Ghini	<u>luchinat@giottobiotech.com</u> <u>tenori@cerm.unifi.it</u> ghini@cerm.unifi.it
20	NGB GENETICS (IT) - NGB	Vittorio Lucchini	v.lucchini@ngbgenetics.com
21	APPLICATIONS SANTE DES LIPIDES SARL (F) - ASL	Gerard PIERONI Mohamed GAD Céline ATRUX	gerard.pieroni@asl-lipides.com mh.gad@asl-lipides.com celine.atrux@asl-lipides.com
22	ABRO BIOTEC SL (ES) - ABRO	Alberto Guadarrama Ángela García	<u>aguadarrama@matarromera.es</u> agarcia@matarromera.es
23	SWEDISH OAT FIBER AB (SWE) - SOF	Frederic Prothon	f.prothon@naturex.com
24	ADEXGO IPARI, KERESKEDELMI ES SZOLGALTATO KFT (HU) - ADX	Tamás Tóth Éva Csavajda Hajnalka Hingyi	<u>tamas.toth@adexgo.hu</u> <u>eva.csavajda@adexgo.hu</u> <u>hajnalka.hingyi@adexgo.hu</u>

25	DESARROLLOS	PANADEROS	Joaquin Salvo Agramunt	xsalvo@grupodesarrollo.com
	LEVANTINOS SLL (ES)) - DPL	Teresa Jiménez-Lopez	calidad@grupodesarrollo.com

Other colleagues actively worked in PATHWAY-27. The Consortium wish to acknowledge them for their helpful contribution:

Raquel Almarcha, Julien Amat, Alice Arianna, Yassen Assenov, Csaba Baár, Christel Björk, Adeline Blot, Kersten Breuer, Martina Broßart, Maria del Pilar Cabezon Mateos, Noël Cano, Fraser Chadwick, Lilla Csavajda, Francesca Danesi, Mattia Di Nunzio, Claudia Dörr, Ann Kathrin Engelbert, Sara Fernández, Clarissa Feuerstein, Laura Flander, Marianna Farnè, Tanja Gadau, Silvia Garelli, Susanne Gräber, Sarah Greve, Adrienn Hegyi, Maria Herrmann, Eva Hoch, Kate James, Christele Jouve, Zsófia Kertész, Zsófia Kovács, Nicolai Kraut, Anita Kriebel, Tünde Kuti, Françoise Laporte, Bjørk Ditlev Larsen, Jurga Laurencikiene, Noëlle Lyon-Belgy, Pavlo Lutsik, Guy Manlhiot, Elisa Marcato, Nathalie Meunier, Amelia Moreno, Lorenzo Nissen, Claudia Pacciolla, Csaba Palkó, Hélène Parrot, Gianfranco Picone, Carlos Pineda-Vadillo, Olga Prontera, Dominique Provenchère, Amandine Prulhière, Ruddy Richard, Mikael Rydén, Jean-Paul Rigaudière, Ulrike Rossi, Begoña Ruiz, Jean-Louis Sébédio, Stephanie Seifert, Nisha Sharma, Juhani Sibakov, Ute Stadler-Prayle, Samantha Sutulic, Attila Tanai, Reka Toth, Eleonora Urbinati, Veronica Valli, Dieter Weichenhan.